

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

(Read with Regulation 10)

PART - A

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Statement as on: June 30, 2020

Name of Fund Life

Statement of Downgraded Investments

Periodicity of Submission: Quarterly

Rs Thousands									
No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A	<u>During the quarter¹</u>								
B.	<u>As on Date ²</u>								
1	2% TATA STEEL LTD NCD (MD 23/04/2022)	ECOS	159882.03	22.05.2012	ICRA-LONG	AA+	AA	28.10.2016	
2	8.15% TATA STEEL LTD NCD (MD 01/10/2026)	ECOS	549487.92	04.10.2016	ICRA-LONG	AA+	AA	28.10.2016	
3	7.59% PNB HOUSING FINANCE LTD NCD (MD 27/07/2022)	HTDN	49990.23	26.07.2017	FITCH-LONG	AAA	AA	09.03.2020	
4	8.07% ENERGY EFFICIENCY SERVICES LTD NCD STRPP B (MD 20/09/2021)	IORD	99955.36	20.12.2016	ICRA-LONG	AA	AA-	04.01.2019	
5	8.85% YES BANK LTD NCD (24/02/2025)	IORD	249750.03	24.02.2015	ICRA-LONG	AA+	BB+	24.03.2020	
6	9.81% TATA MOTORS LTD NCD (MD 20/08/2024)	ORAD	149941.70	17.09.2014	ICRA-LONG	AA	AA-	05.08.2019	
7	9.35% TATA MOTORS LTD NCD (MD 10/11/2023)	ORAD	300516.42	14.11..2014	ICRA-LONG	AA	AA-	05.08.2019	
8	9.02% TATA MOTORS LTD NCD (MD 10/12/2021)	ORAD	100396.11	10.12.2014	ICRA-LONG	AA	AA-	05.08.2019	
9	8.90% INDIABULLS HOUSING FINANCE LTD NCD SR. III B (MD 26/09/2021)	HTDN	99800.18	28.09.2016	CARE-LONG	AAA	AA	17.02.2020	
10	9.35% GRUH FINANCE LTD NCD (MD 31/10/23) F-020	HTDN	99890.60	06.12.2018	ICRA-LONG	AAA	AA	13.11.2019	
11	9.50% YES BANK LTD. PERPETUAL ADD TIER 1 BASEL 3 CALL (23/12/2021)	EAPB	0.00	23.12.2016	ICRA-LONG	AA	D	06.03.2020	
12	9.50% GRUH FINANCE LTD NCD (MD 30/10/28) F 016	HTDN	299549.98	26.10.2018	ICRA-LONG	AAA	AA	13.11.2019	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 15-Jul-20

Signature

Full Name

Amit Jain

Chief Finance Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per guidelines issued by the Authority

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

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PART - A

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Statement as on: June 30, 2020

Name of Fund Pension & General Annuity

Statement of Downgraded Investments

Periodicity of Submission: Quarterly

Rs Thousands

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A	<u>During the quarter¹</u>								
B.	<u>As on Date²</u>								
1	8.15% TATA STEEL LTD NCD (MD 01/10/2026)	ECOS	391839.47	04.10.2016	ICRA-LONG	AA+	AA	28.10.2016	
2	2% TATA STEEL LTD NCD (MD 23/04/2022)	ECOS	322296.75	22.05.2012	ICRA-LONG	AA+	AA	28.10.2016	
3	7.59% PNB HOUSING FINANCE LTD NCD (MD 27/07/2022)	HTDN	49990.23	26.07.2017	FITCH-LONG	AAA	AA	09.03.2020	
4	7.89% CAN FIN HOMES LTD. NCD MD (18/05/2022) SERIES 6	HTDN	42986.93	22.05.2017	ICRA-LONG	AAA	AA	19.12.2019	
5	9.35% GRUH FINANCE LTD NCD (MD 31/10/23) F-020	HTDN	249726.49	06.12.2018	ICRA-LONG	AAA	AA	13.11.2019	
6	9.50% GRUH FINANCE LTD NCD (MD 30/10/28) F 016	HTDN	299549.98	26.10.2018	ICRA-LONG	AAA	AA	13.11.2019	
7	8.90% INDIABULLS HOUSING FINANCE LTD NCD SR. III B (MD 26/09/2021)	HTDN	149700.26	28.09.2016	CARE-LONG	AAA	AA	17.02.2020	

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Date: 15-Jul-20

Signature

Full Name

Amit Jain

Chief Finance Officer

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FORM L-35-DOWNGRADING OF INVESTMENTS - 2

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PART - A

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Name of Fund Linked

Statement as on: June 30, 2020

Statement of Downgraded Investments

Periodicity of Submission : Quarterly

(Rs Thousands)

No.	Name of the Security	COI	Amount	Date of Purchase	Current Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A	<u>During the quarter¹</u>								
B	<u>As on Date²</u>								
1	2% TATA STEEL LTD NCD (MD 23/04/2022)	ECOS	2014342.20	22.05.2012	ICRA-LONG	AA+	AA	28.10.2016	
2	8.15% TATA STEEL LTD NCD (MD 01/10/2026)	ECOS	527559.50	04.10.2016	ICRA-LONG	AA+	AA	28.10.2016	
3	8.07% ENERGY EFFICIENCY SERVICES LTD NCD STRPP B (MD 20/09/2021)	IORD	103142.80	20.12.2016	ICRA-LONG	AA	AA-	04.01.2019	
4	7.58% PNB HOUSING FINANCE LTD NCD (MD 15/03/2021)	HTDN	254674.25	24.10.2017	FITCH-LONG	AAA	AA	09.03.2020	
5	7.63% PNB HOUSING FINANCE LTD NCD (MD 15/12/2020)	HTDN	253293.75	15.06.2017	FITCH-LONG	AAA	AA	09.03.2020	
6	7.40% GRUH FINANCE LTD NCD (MD 30/09/20) F-010	HTDN	250759.25	27.09.2017	ICRA-LONG	AAA	AA	13.11.2019	
7	7.89% CAN FIN HOMES LTD. NCD MD (18/05/2022) SERIES 6	HTDN	431282.01	22.05.2017	ICRA-LONG	AAA	AA	19.12.2019	
8	9.50% GRUH FINANCE LTD NCD (MD 30/10/28) F 016	HTDN	170543.55	26.10.2018	ICRA-LONG	AAA	AA	13.11.2019	
9	9.02% TATA MOTORS LTD NCD (MD 10/12/2021)	ORAD	262206.50	10.12.2014	ICRA-LONG	AA	AA-	05.08.2019	
10	8.90% INDIABULLS HOUSING FINANCE LTD NCD SR. III B (MD 26/09/2021)	HTDN	260785.00	28.09.2016	CARE-LONG	AAA	AA	17.02.2020	
11	7.50% TATA MOTORS LTD NCD (MD 22/06/2022)	ORAD	259722.75	23.10.2018	CARE-LONG	AA	AA-	20.08.2019	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 15-Jul-20

Signature _____
Full Name Amit Jain
 Chief Finance Officer

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