

Revenue Account for the Quarter ended 30th September 2021

Policyholders' Account (Technical Account)

(Amounts in lacs of Indian Rupees)

Particulars	Sch	Linked Business					Non Linked								Par Non Linked Individual Life	Total
		Individual Life	Group Life	Pension Individual	Group Pension	Health Individual	Individual Life	Group Life	Group Life Variable	Pension Individual	Annuity Individual	Group Pension	Group Pension Variable	Health Individual		
		(Rs)	(Rs)	(Rs)	(Rs)	(Rs)	(Rs)	(Rs)	(Rs)	(Rs)	(Rs)	(Rs)	(Rs)	(Rs)	(Rs)	(Rs.)
Premium earned-Net	L-4															
(a) Premium		56,189	19,869	1,944	15,229	64	93,893	32,683	1,422	5	5,467	52,724	529	198	35,642	315,858
(b) Reinsurance ceded		(1,653)	(0)	(0)	-	(5)	(2,646)	(8,923)	-	-	-	-	-	(68)	(111)	(13,406)
(c) Reinsurance accepted		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub - Total		54,536	19,869	1,943	15,229	59	91,247	23,760	1,422	5	5,467	52,724	529	130	35,532	302,452
Income from investments																
(a) Interest, Dividend & Rent - Gross		17,590	10,055	770	1,550	77	20,089	4,376	1,601	32	592	3,148	2,599	2	8,618	71,099
(b) Profit on Sale / Redemption of Investments		60,118	6,387	1,481	1,556	186	7,891	878	216	19	-	437	685	0	2,968	82,822
(c) (Loss) on Sale / Redemption of Investments		(4,388)	(310)	(136)	(82)	(17)	(116)	(50)	(26)	(0)	-	(42)	(564)	-	(194)	(5,927)
(d) Transfer /Gain (Loss) on revaluation / change in Fair value		68,079	10,968	2,582	2,392	375	(401)	-	-	-	-	-	-	-	-	83,995
(e) Amortisation of Premium / Discount on investments		1,815	408	89	64	3	635	114	3	0	6	90	48	(0)	242	3,518
Sub - Total		143,213	27,508	4,787	5,479	623	28,098	5,318	1,795	51	598	3,632	2,768	2	11,634	235,507
Other Income	L-5															
(a) Contribution from the Shareholders' Account		-	-	-	-	-	-	4,131	-	-	-	-	-	-	555	4,686
(b) Contribution from Shareholders Account towards Excess EoM		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Others (Interest etc)		173	12	1	9	0	769	32	0	0	3	31	1	1	337	1,370
Sub - Total		173	12	1	9	-	769	4,163	-	-	3	31	1	1	892	6,056
TOTAL (A)		197,922	47,389	6,731	20,717	682	120,114	33,241	3,217	56	6,068	56,387	3,298	133	48,058	544,015
Commission	L-5	2,765	8	54	0	2	8,544	576	(0)	0	92	-	(1)	10	2,832	14,883
Operating Expenses related to Insurance Business	L-6	9,957	181	76	18	9	20,205	2,683	16	1	21	11	(2)	23	4,485	37,684
Provision for doubtful debts		7	0	0	-	0	19	1	-	-	0	-	-	0	7	35
Bad Debts written off		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Provision for Tax		-	-	-	-	-	-	-	-	-	-	-	-	-	1,228	1,228
Provision (other than taxation)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(a) For diminution in the value of investment (net)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b) Others (to be specified)		-	-	-	-	-	(0)	-	-	-	-	-	-	-	-	-
Goods and Services Tax on Charges		2,546	314	75	48	15	-	-	-	-	-	-	-	-	-	2,997
TOTAL (B)		15,274	504	205	66	26	28,768	3,260	16	1	113	11	(3)	33	8,553	56,827
Benefits paid (Net)	L-7	89,507	13,017	4,010	2,986	125	19,393	14,271	2,425	219	818	363	38,240	16	4,973	190,362
Interim Bonuses Paid		-	-	-	-	-	-	-	-	-	-	-	-	-	396	396
Change in valuation of liability against life policies in force		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(a) Gross		(3,024)	9	(105)	3	(35)	72,796	24,050	409	(213)	5,016	55,748	(35,320)	20	33,993	153,346
(b) (Amount ceded in Re-insurance)		82	1	0	-	8	(2,295)	(8,341)	-	-	-	-	-	0	141	(10,402)
(c) Amount accepted in Re-insurance		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Fund Reserve		91,472	33,063	2,168	17,510	417	-	-	-	-	-	-	-	-	-	144,629
(e) Fund Reserve - PDF		3,655	-	66	-	-	-	-	-	-	-	-	-	-	-	3,721
TOTAL (C)		181,692	46,090	6,139	20,499	515	89,893	29,981	2,834	5	5,833	56,111	2,920	36	39,503	482,052
Surplus/ (Deficit) (D) = (A) - (B) - (C)		956	795	387	152	141	1,453	-	367	50	122	265	381	64	-	5,136
Appropriations	L-8															
Transfer to Shareholders Account		956	795	387	152	141	1,453	-	367	50	122	265	381	64	-	5,135
Transfer to Other Reserves		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Balance being Funds for Future Appropriations		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL (D)		956	795	387	152	141	1,453	-	367	50	122	265	381	64	-	5,135
The total surplus as mentioned below :	L-9															
(a) Interim Bonuses Paid		-	-	-	-	-	-	-	-	-	-	-	-	-	387	387
(b) Terminal Bonus Paid		-	-	-	-	-	-	-	-	-	-	-	-	-	9	9
(c) Allocation of Bonus to Policyholders		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Surplus/(Deficit) shown in the Revenue Account		956	795	387	152	141	1,453	-	367	50	122	265	381	64	-	5,135
(d) Total Surplus : {a+b+c+d}		956	795	387	152	141	-	-	367	50	122	265	381	64	387	5,531

Revenue Account for the Quarter ended 30th September 2020
Policyholders' Account (Technical Account)
(Amounts in lacs of Indian Rupees)

Particulars	Sch	Linked Business					Non Linked								Par Non Linked Individual Life	Total
		Individual Life	Group Life	Pension Individual	Group Pension	Health Individual	Individual Life	Group Life	Group Life Variable	Pension Individual	Annuity Individual	Group Pension	Group Pension Variable	Health Individual	(Rs)	(Rs.)
		(Rs)	(Rs)	(Rs)	(Rs)	(Rs)	(Rs)	(Rs)		(Rs)	(Rs)	(Rs)		(Rs)	(Rs)	(Rs.)
Premium earned-Net	L-4															
(a) Premium		48,117	24,280	1,739	1,505	80	75,092	31,455	(12,205)	19	2,188	14,170	8,546	224	34,164	229,374
(b) Reinsurance ceded		(1,665)	(0)	(0)	-	(5)	(2,101)	(3,596)	-	-	-	-	-	(68)	(75)	(7,510)
(c) Reinsurance accepted		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub - Total		46,451	24,280	1,739	1,505	75	72,992	27,859	(12,205)	19	2,188	14,170	8,546	156	34,089	221,864
Income from investments																
(a) Interest, Dividend & Rent - Gross		17,625	8,080	781	1,506	78	15,616	3,838	1,483	55	433	2,780	2,279	1	6,536	61,092
(b) Profit on Sale / Redemption of Investments		50,464	5,266	1,920	1,678	174	1,314	158	154	0	-	124	89	0	1,183	62,523
(c) (Loss) on Sale / Redemption of Investments		(14,961)	(1,649)	(561)	(542)	(83)	(763)	(332)	(130)	(0)	-	20	(98)	-	(969)	(20,070)
(d) Transfer /Gain (Loss) on revaluation / change in Fair value		36,188	286	973	976	201	(230)	-	-	-	-	-	-	-	-	38,394
(e) Amortisation of Premium / Discount on investments		2,735	857	161	165	7	552	102	9	1	5	74	13	0	132	4,813
Sub - Total		92,050	12,840	3,273	3,783	378	16,488	3,766	1,516	56	437	2,998	2,284	2	6,881	146,752
Other Income																
(a) Contribution from the Shareholders' Account		-	372	-	-	-	1,461	-	-	-	-	-	-	-	2,920	4,752
(b) Contribution from Shareholders Account towards Excess EoM		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Others (Interest etc)		206	31	2	2	0	693	48	(21)	0	3	18	9	1	418	1,409
Sub - Total		206	403	2	2	-	2,154	48	(21)	-	3	18	9	1	3,338	6,161
TOTAL (A)		138,707	37,523	5,014	5,290	453	91,634	31,673	(10,710)	75	2,628	17,186	10,839	159	44,308	374,777
Commission	L-5	2,130	8	61	0	3	7,288	328	-	0	46	-	1	18	2,960	12,843
Operating Expenses related to Insurance Business	L-6	8,116	356	70	52	7	16,127	747	(172)	1	10	1	47	48	3,909	29,319
Provision for doubtful debts		1	-	0	-	-	2	0	-	-	0	-	-	0	1	4
Bad Debts written off		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Provision for Tax		-	-	-	-	-	-	-	-	-	-	-	-	-	1,317	1,317
Provision (other than taxation)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(a) For diminution in the value of investment (net)		-	-	-	-	-	60	-	-	-	-	-	-	-	-	60
(b) Others (to be specified)		-	-	-	-	-	(0)	-	-	-	-	-	-	-	-	-
Goods and Services Tax on Charges		2,390	266	71	52	16	-	-	-	-	-	-	-	-	-	2,795
TOTAL (B)		12,636	629	202	105	25	23,477	1,075	(172)	1	56	1	48	66	8,187	46,338
Benefits paid (Net)	L-7	74,460	11,152	3,627	1,376	109	6,831	6,964	2,036	35	404	184	991	29	3,251	111,448
Interim Bonuses Paid		-	-	-	-	-	-	-	-	-	-	-	-	-	144	144
Change in valuation of liability against life policies in force		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(a) Gross		(3,428)	(11)	(187)	(3)	87	64,832	23,122	(12,993)	25	1,822	16,999	9,622	(12)	32,745	132,620
(b) (Amount ceded in Re-insurance)		285	0	0	-	8	(3,506)	464	-	-	-	-	-	19	(19)	(2,749)
(c) Amount accepted in Re-insurance		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Fund Reserve		37,721	25,752	(41)	2,855	207	-	-	-	-	-	-	-	-	-	66,494
(e) Fund Reserve - PDF		15,132	-	804	-	-	-	-	-	-	-	-	-	-	-	15,936
TOTAL (C)		124,169	36,894	4,203	4,227	412	68,157	30,550	(10,957)	60	2,226	17,183	10,613	36	36,121	323,893
Surplus/ (Deficit) (D) = (A) - (B) - (C)		1,902	-	609	958	16	-	48	419	14	346	2	178	57	-	4,546
Appropriations	L-8															
Transfer to Shareholders Account		1,766	-	611	958	16	-	48	419	14	346	2	178	57	-	4,414
Transfer to Other Reserves		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Balance being Funds for Future Appropriations		136	-	(2)	-	-	-	-	-	-	-	-	-	-	-	134
TOTAL (D)		1,902	-	609	958	16	-	48	419	14	346	2	178	57	-	4,548
The total surplus as mentioned below :	L-9															
(a) Interim Bonuses Paid		-	-	-	-	-	-	-	-	-	-	-	-	-	140	140
(b) Terminal Bonus Paid		-	-	-	-	-	-	-	-	-	-	-	-	-	4	4
(c) Allocation of Bonus to Policyholders		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Surplus/(Deficit) shown in the Revenue Account		1,902	-	609	958	16	-	48	419	14	346	2	178	57	-	4,548
(d) Total Surplus : {a+b+c+d}		1,902	-	609	958	16	-	48	419	14	346	2	178	57	140	4,692

Form L1 - RA

Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109 dated 31st January 2001

Revenue Account for the Half Year ended 30th September, 2021

Policyholders' Account (Technical Account)

(Amounts in lacs of Indian Rupees)

Particulars	Sch	Linked Business					Non Linked								Par Non Linked Individual Life	Total
		Individual Life	Group Life	Pension Individual	Group Pension	Health Individual	Individual Life	Group Life	Group Life Variable	Pension Individual	Annuity Individual	Group Pension	Group Pension Variable	Health Individual		
Premium earned-net	L-4															
(a) Premium		103,376	29,880	3,479	17,962	128	154,340	50,519	4,387	13	7,521	56,858	1,445	343	61,888	492,138
(b) Reinsurance ceded		(2,860)	(1)	(0)	-	(11)	(4,825)	(13,904)	-	-	-	-	-	(112)	(180)	(21,894)
(c) Reinsurance accepted		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub - Total		100,515	29,880	3,479	17,962	117	149,514	36,615	4,387	13	7,521	56,858	1,445	230	61,708	470,244
Income from Investments																
(a) Interest, Dividend & Rent - Gross		34,778	19,495	1,560	2,965	156	39,264	8,616	3,191	68	1,139	6,153	5,267	3	16,924	139,580
(b) Profit on sale / redemption of investments		102,248	11,635	2,737	2,843	337	11,686	1,137	224	35	0	453	699	0	6,227	140,261
(c) (Loss) on sale / redemption of investments		(10,660)	(954)	(285)	(218)	(33)	(573)	(72)	(26)	(1)	(0)	(43)	(632)	(0)	(219)	(13,717)
(d) Transfer /Gain (Loss) on revaluation / change in Fair value*		119,870	12,580	3,938	3,181	584	(627)	-	-	-	-	-	-	-	-	139,527
(e) Amortisation of Premium / Discount on investments		3,832	979	182	161	6	1,288	174	7	0	9	135	57	0	348	7,178
Sub - Total		250,067	43,735	8,131	8,933	1,050	51,038	9,855	3,396	102	1,148	6,699	5,391	4	23,281	412,829
Other Income																
(a) Contribution from the Shareholders' Account		-	-	-	-	-	-	12,793	-	-	-	-	-	-	1,825	14,618
(b) Contribution from Shareholders Account towards Excess EoM		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Others (Interest etc)		358	23	3	11	0	1,394	54	3	0	5	35	2	1	636	2,526
Sub - Total		358	23	3	11	-	1,394	12,847	3	-	5	35	2	1	2,461	17,144
TOTAL (A)		350,940	73,638	11,613	26,906	1,167	201,946	59,317	7,786	115	8,674	63,592	6,838	235	87,450	900,217
Commission	L-5	4,658	14	97	0	4	13,628	937	0	-	130	-	0	17	4,841	24,326
Operating Expenses related to Insurance Business	L-6	17,531	418	126	39	16	35,736	3,448	66	1	32	20	106	57	8,461	66,056
Provision for doubtful debts		(0)	-	(0)	-	-	(1)	(0)	-	-	(0)	-	-	-	(0)	(2)
Bad Debts written off		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Provision for Tax		-	-	-	-	-	-	-	-	-	-	-	-	-	2,217	2,217
Provision (other than taxation)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(a) For diminution in the value of investment (Net)		-	-	-	-	-	(1)	-	-	-	-	-	-	-	-	(1)
(b) Others - Provision for standard and non standard assets		-	-	-	-	-	(1)	-	-	-	-	-	-	-	-	(1)
Goods and Services Tax on Charges		4,949	617	144	94	30	-	-	-	-	-	-	-	-	-	5,834
TOTAL (B)		27,137	1,049	367	134	50	49,360	4,385	66	1	163	20	106	73	15,518	98,429
Benefits paid (Net)	L-7	163,688	32,549	6,671	4,248	226	33,286	29,973	5,842	432	1,320	402	42,457	39	8,885	330,017
Interim Bonuses Paid		-	-	-	-	-	-	-	-	-	-	-	-	-	675	675
Change in valuation of liability against life policies in force		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(a) Gross **		(2,745)	5	(94)	3	(41)	113,850	34,846	1,386	(407)	6,981	62,890	(36,145)	11	62,534	243,073
(b) (Amount ceded in Re-insurance)		473	2	0	-	12	(3,650)	(9,886)	-	-	-	-	-	10	(162)	(13,201)
(c) Amount accepted in Re-insurance		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Fund Reserve		145,316	38,563	2,890	22,264	677	-	-	-	-	-	-	-	-	-	209,710
(e) Fund Reserve - PDF		16,378	-	1,115	-	-	-	-	-	-	-	-	-	-	-	17,493
TOTAL (C)		323,109	71,120	10,582	26,515	874	143,485	54,932	7,229	25	8,300	63,293	6,312	60	71,932	787,767
Surplus/ (Deficit) (D) = (A) - (B) - (C)		694	1,469	664	257	243	9,101	-	491	89	211	279	420	102	-	14,021
Appropriations																
Transfer to Shareholders Account		694	1,469	664	257	243	9,101	-	491	89	211	279	420	102	-	14,020
Transfer to Other Reserves		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Balance being Funds for Future Appropriations		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL (D)		694	1,469	664	257	243	9,101	-	491	89	211	279	420	102	-	14,020
The total surplus as mentioned below :																
(a) Interim Bonuses Paid		-	-	-	-	-	-	-	-	-	-	-	-	-	658	658
(b) Terminal Bonus Paid		-	-	-	-	-	-	-	-	-	-	-	-	-	17	17
(c) Allocation of Bonus to policyholders		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Surplus/(Deficit) shown in the Revenue Account		694	1,469	664	257	243	9,101	-	491	89	211	279	420	102	-	14,020
(e) Total Surplus : {a+b+c+d}		694	1,469	664	257	243	9,101	-	491	89	211	279	420	102	675	14,695

*Represents the deemed realised gain as per norms specified by the Authority.

**represents Mathematical Reserves after allocation of bonus.

Form L1 - RA

Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109 dated 31st January 2001

Revenue Account for the Half Year ended 30th September, 2020

Policyholders' Account (Technical Account)

(Amounts in lacs of Indian Rupees)

Particulars	Sch	Linked Business					Non Linked								Par Non Linked Individual Life	Total	
		Individual Life	Group Life	Pension Individual	Group Pension	Health Individual	Individual Life	Group Life	Group Life Variable	Pension Individual	Annuity Individual	Group Pension	Group Pension Variable	Health Individual			
Premium earned-net	L-4																
(a) Premium		88,506	38,261	3,588	2,734	159	115,127	51,592	6,580	38	3,863	15,173	19,592	376	52,745	398,334	
(b) Reinsurance ceded		(2,924)	(0)	(0)	-	(11)	(3,707)	(7,818)	-	-	-	-	-	(112)	(122)	(14,694)	
(c) Reinsurance accepted		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Sub - Total		85,583	38,260	3,588	2,734	148	111,420	43,774	6,580	38	3,863	15,173	19,592	264	52,623	383,640	
Income from Investments																	
(a) Interest, Dividend & Rent - Gross		32,473	15,253	1,501	2,800	141	30,091	7,089	2,876	108	837	5,377	4,248	3	12,442	115,238	
(b) Profit on sale / redemption of investments		72,062	7,691	2,863	2,466	232	1,665	237	186	1	-	191	187	0	1,220	89,001	
(c) (Loss) on sale / redemption of investments		(43,818)	(3,225)	(1,135)	(1,034)	(120)	(926)	(395)	(176)	(0)	-	(39)	(135)	-	(969)	(51,973)	
(d) Transfer /Gain (Loss) on revaluation / change in Fair value*		189,735	24,855	6,244	8,179	819	(1,564)	-	-	-	-	-	-	-	-	228,269	
(e) Amortisation of Premium / Discount on investments		5,207	1,814	288	303	11	983	177	33	2	8	134	38	0	207	9,206	
Sub - Total		255,659	46,388	9,761	12,714	1,083	30,248	7,108	2,919	111	845	5,663	4,338	3	12,900	389,741	
Other Income																	
(a) Contribution from the Shareholders' Account	-	1,601	-	-	37	6,408	-	-	-	-	-	-	-	4,632	12,677		
(b) Contribution from Shareholders Account towards Excess EoM	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
(c) Others (Interest etc)	437	54	6	4	0	1,362	80	9	0	5	20	27	2	773	2,782		
Sub - Total	437	1,655	6	4	37	7,770	80	9	-	5	20	27	2	5,405	15,459		
TOTAL (A)	341,679	86,303	13,355	15,452	1,268	149,438	50,962	9,508	149	4,713	20,856	23,957	269	70,928	788,840		
Commission	L-5 L-6	3,870	11	130	0	5	11,566	569	-	0	76	-	1	34	4,678	20,942	
Operating Expenses related to Insurance Business		13,721	582	134	76	18	32,424	1,581	75	2	24	25	201	98	7,888	56,849	
Provision for doubtful debts		(0)	-	-	-	-	(0)	(0)	-	-	-	-	-	-	(0)	-	
Bad Debts written off		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Provision for Tax		-	-	-	-	-	-	-	-	-	-	-	-	-	2,106	2,106	
Provision (other than taxation)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(a) For diminution in the value of investment (Net)		-	-	-	-	-	299	-	-	-	-	-	-	-	179	479	
(b) Others - Provision for standard and non standard assets		-	-	-	-	-	(1)	-	-	-	-	-	-	-	-	(1)	
Goods and Services Tax on Charges		4,441	517	337	99	38	-	-	-	-	-	-	-	-	-	5,432	
TOTAL (B)		22,033	1,109	601	176	61	44,289	2,149	75	2	100	25	202	131	14,852	85,807	
Benefits paid (Net)		L-7	109,700	18,643	5,474	2,352	140	10,021	9,542	6,338	44	757	771	1,364	35	4,852	170,033
Interim Bonuses Paid			-	-	-	-	-	-	-	-	-	-	-	-	-	355	355
Change in valuation of liability against life policies in force	-		-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(a) Gross **	(1,603)		(6)	(169)	(3)	247	101,953	39,009	2,858	85	3,269	19,709	22,268	89	50,927	238,632	
(b) (Amount ceded in Re-insurance)	(221)		1	0	-	3	(6,825)	(966)	-	-	-	-	-	(66)	(57)	(8,130)	
(c) Amount accepted in Re-insurance	-		-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d) Fund Reserve	188,933		66,557	5,694	10,223	817	-	-	-	-	-	-	-	-	-	272,224	
(e) Fund Reserve - PDF	18,827		793	-	-	-	-	-	-	-	-	-	-	-	-	19,621	
TOTAL (C)	315,637		85,194	11,792	12,571	1,207	105,149	47,585	9,196	129	4,026	20,480	23,633	57	56,078	692,735	
Surplus/ (Deficit) (D) = (A) - (B) - (C)	4,009		-	962	2,705	-	-	1,228	237	18	587	351	122	81	-	10,298	
Appropriations																	
Transfer to Shareholders Account	3,950		-	975	2,705	-	-	1,228	237	18	587	351	122	81	-	10,254	
Transfer to Other Reserves	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
Balance being Funds for Future Appropriations	59	-	(13)	-	-	-	-	-	-	-	-	-	-	-	46		
TOTAL (D)	4,009	-	962	2,705	-	-	1,228	237	18	587	351	122	81	-	10,300		
The total surplus as mentioned below :																	
(a) Interim Bonuses Paid	-	-	-	-	-	-	-	-	-	-	-	-	-	349	349		
(b) Terminal Bonus Paid	-	-	-	-	-	-	-	-	-	-	-	-	-	6	6		
(c) Allocation of Bonus to policyholders	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
(d) Surplus/(Deficit) shown in the Revenue Account	4,009	-	962	2,705	-	-	1,228	237	18	587	351	122	81	-	10,300		
(e) Total Surplus : {a+b+c+d}	4,009	-	962	2,705	-	-	1,228	237	18	587	351	122	81	355	10,655		

*Represents the deemed realised gain as per norms specified by the Authority.

**represents Mathematical Reserves after allocation of bonus.

Statement of Profit and Loss Account for the quarter and half year ended 30th September, 2021

Shareholder's Account (Non-technical Account)

(Amounts in Lacs)

Particulars	Sch	(Audited) Quarter ended 30th September, 2021	(Audited) Quarter ended 30th September, 2020	(Audited) Half Year ended 30th September, 2021	(Audited) Half Year ended 30th September, 2020
Amounts transferred from Policyholder's Account (Technical Account)		5,134	4,414	14,021	10,252
Income from Investments					
(a) Interest, Dividends & Rent - Gross		4,711	4,593	9,248	9,140
(b) Profit on sale / redemption of investments		83	67	592	160
(c) (Loss on sale / redemption of investments)		-	(6)	(74)	(6)
(d) Amortisation of Premium / Discount on Investments		303	144	451	249
Other Income		-	-	-	-
Total (A)		10,231	9,212	24,238	19,795
Expense other than those directly related to the insurance business	L-6	942	1,084	1,762	1,754
Interest on subordinated debt		543	-	816	-
Expenses towards CSR activities		3	115	63	115
Penalties		-	5	-	22
Bad debts written off		-	-	-	-
Provision (other than taxation)					
(a) For diminution in the value of investments (net)		-	-	-	-
(b) Provision for doubtful debts		-	-	-	-
(c) Others		-	-	-	-
Contribution to Policyholders Account towards Excess EoM		-	-	-	-
Contribution towards the Remuneration of MD/ CEOs		168	43	258	87
Contribution to the Policyholder's Account		4,683	4,753	14,618	12,680
Total (B)		6,339	6,000	17,515	14,658
Profit before tax		3,892	3,212	6,723	5,137
Less: Provision for Taxation		591	609	960	974
Profit after tax		3,301	2,603	5,763	4,163
Appropriations					
(a) Balance at the beginning of the period		10,754	706	8,293	(853)
(b) Interim dividends paid during the period		-	-	-	-
(c) Proposed final dividend		-	-	-	-
(d) Dividend distribution on tax		-	-	-	-
(e) Debenture redemption reserve		1,950	-	1,950	-
(f) Transfer to reserves / other accounts		-	-	-	-
Loss carried forward to the Balance Sheet		12,105	3,309	12,106	3,310
Earning Per Share (Basic and Diluted), Face Value of Rs.10 (in Rs.) (not annualized for quarter and half year)		0.17	0.14	0.30	0.22

FORM L-3- A-BS
Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109 dated 31st January 2001

Balance Sheet as at 30th September, 2021

(Amounts in Lacs)

Particulars	Sch	Audited As at 30th September, 2021	Audited As at 30th September, 2020
Sources of Funds			
Shareholders' Funds:			
Share Capital	L-8,L-9	1,90,121	1,90,121
Share Application Money Pending Allotment		-	-
Reserves and Surplus	L-10	51,263	34,200
Credit/(Debit) / Fair Value Change Account		4,117	1,070
Sub - Total		2,45,501	2,25,391
Borrowings	L-11	34,500	-
Policyholders' Funds:			
Credit/(Debit) Fair Value Change Account		28,134	17,773
Policy Liabilities		24,78,507	19,42,951
Insurance Reserves			
Provision for Linked Liabilities		24,86,290	23,31,999
Funds for discontinued policies			
(i) Discontinued on account of non-payment of premium		1,06,925	81,463
(ii) Others		-	-
Credit/(Debit) Fair Value Change Account (Linked)		4,30,721	1,60,877
Total Linked Liabilities		30,23,936	25,74,339
Sub - Total		55,65,077	45,35,064
Funds for Future Appropriations			
- Linked Liabilities		-	1,197
Deferred Tax Liabilities (Net)		-	-
Total		58,10,578	47,61,652
Application of Funds			
Investments			
Shareholders'	L-12	2,69,600	2,29,286
Policyholders'	L-13	24,18,281	18,86,048
Assets Held to Cover Linked Liabilities	L-14	30,23,936	25,74,339
Loans	L-15	25,688	18,649
Fixed Assets	L-16	9,335	9,329
Deferred Tax Assets (Net)		-	-
Current Assets			
Cash and Bank Balances	L-17	25,568	23,906
Advances and Other Assets	L-18	2,67,865	1,35,363
Sub - Total (A)		2,93,433	1,59,269
Current Liabilities	L-19	2,17,008	1,06,355
Provisions	L-20	12,687	8,913
Sub - Total (B)		2,29,695	1,15,268
Net Current Assets (C) = (A-B)		63,738	44,001
Miscellaneous Expenditure (to the extent not written off or adjusted)		-	-
Debit Balance In Profit and Loss Account (Shareholders' Account)		-	-
Total		58,10,578	47,61,652

Contingent Liabilities		
Particulars	As at 30th September, 2021	As at 30th September, 2020
Partly paid-up investments	33,904	57,650
Claims, other than against policies, not acknowledged as debts by the Company	234	220
Underwriting commitments outstanding	Nil	Nil
Guarantees given by or on behalf of the Company	25	25
Statutory demands / liabilities in dispute, not provided for	Refer Note Below	Refer Note Below
Reinsurance obligations to the extent not provided for in the accounts	Nil	Nil
Others *	4,591	4,346

* Represents potential liability to the Company (net of reinsurance) in respect of cases filed against the Company's decision of repudiation of death claims and customer complaints.

Note :

The company has received Show Cause-Cum-Demand notices for earlier period relating to Service Tax demands of **Rs.3,982** as at 30th September, 2021, (as at 30th September, 2020 Rs.3,982) plus applicable interest and penalty. Basis legal opinion obtained, management is of the opinion that these show-cause cum demand notices are not legally tenable and has contested at appellate authority.

Form L-4- Premium Schedule*

(Amounts in Lacs)

Particulars	Quarter ended	Quarter ended	(Audited) Half Year ended	(Audited) Half Year ended
	30th September, 2021	30th September, 2020	30th September, 2021	30th September, 2020
1 First year premiums	60,896	45,794	94,062	79,257
2 Renewal Premiums	1,50,460	1,21,008	2,59,689	1,98,361
3 Single Premiums	1,04,502	62,572	1,38,387	1,20,716
Total Premiums	3,15,858	2,29,374	4,92,138	3,98,334
Premium Income from Business written :				
In India	3,15,858	2,29,374	4,92,138	3,98,334
Outside India	-	-	-	-
Total Premium	3,15,858	2,29,374	4,92,138	3,98,334

* Net of GST/ Service Tax

Form L-5- Commission expenses Schedule

(Amounts in Lacs)

Particulars	Quarter ended 30th September, 2021	Quarter ended 30th September, 2020	Half Year ended 30th September, 2021	Half Year ended 30th September, 2020
Commission paid				
Direct - First year premiums	9,218	7,832	14,666	13,379
Renewal premiums	4,610	3,822	7,934	6,029
Single premiums	344	191	534	236
Sub-total	14,172	11,845	23,134	19,644
Add: Commission on Re-insurance Accepted	-	-	-	-
Less: Commission on Re-insurance Ceded	-	-	-	-
Net Commission	14,172	11,845	23,134	19,644
Rewards and Remuneration to Agents	711	998	1,193	1,298
Total Commission and rewards & remuneration	14,883	12,843	24,327	20,942
Breakup of Commission				
Particulars :				
Individual Agents	4,914	5,068	8,502	8,522
Corporate Agents	8,614	6,351	13,493	10,280
Brokers	644	425	1,127	840
Micro Agents	-	-	-	-
Direct Business - Online	-	-	-	-
Direct Business - Others	-	-	-	-
Common Service Centre (CSC)	-	-	-	-
Web aggregator	(0)	1	12	2
IMF	-	-	-	-
Others	-	-	-	-
Total	14,172	11,845	23,134	19,644
Commission and Rewards on business written :				
In India	14,883	12,843	24,327	20,942
Outside India	-	-	-	-
Total Commission	14,883	12,843	24,327	20,942

Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109 dated 31st January 2001

Form L-6- Operating Expenses Related to Insurance Business Schedule

(Amounts in Lacs)

Particulars	Quarter ended	Quarter ended	Audited	Audited
	30th September, 2021	30th September, 2020	Half Year ended 30th September, 2021	Half Year ended 30th September, 2020
1 Employees' remuneration and welfare benefits	18,833	14,851	34,584	31,453
2 Travel, conveyance and vehicle running expenses	174	111	336	198
3 Training expenses	171	447	277	487
4 Rents, rates and taxes	1,246	1,334	2,610	2,537
5 Repairs	684	643	1,259	1,226
6 Printing and stationery	75	45	151	88
7 Communication expenses	254	203	482	394
8 Legal and professional charges	251	221	446	446
9 Medical fees	372	213	679	508
10 Auditor's fees, expenses etc.				
(a) as auditor	26	20	47	42
(b) as adviser or in any other capacity, in respect of				
i) Taxation matters	-	-	-	-
ii) Insurance matters	-	-	-	-
iii) Management services	2	2	2	2
(c) in any other capacity	-	-	-	-
11 Advertisement and publicity	9,972	6,172	14,931	9,876
12 Interest and Bank Charges	307	290	577	539
13 Brand/Trade Mark usage fee/charges	-	-	-	-
14 Business Development and Sales Promotion Expenses	18	(23)	31	(7)
15 Stamp duty on policies	825	786	1,304	1,401
16 Information Technology Expenses	1,947	1,660	3,763	3,401
17 Others : 1) Recruitment and seminar expenses	211	213	329	315
2) (Profit)/Loss on sale of assets	25	21	83	8
3) Electricity expenses	268	257	433	452
4) Miscellaneous expenses	256	225	462	442
5) Outsourcing expenses	902	799	1,539	1,399
18 Depreciation	863	824	1,731	1,636
Total	37,684	29,316	66,054	56,844

Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109 dated 31st January 2001

Operating Expenses Other Than Those Directly Related To Insurance Business

(Amounts in Lacs)

Particulars	Quarter ended	Quarter ended	Audited	Audited
	30th September, 2021	30th September, 2020	Half Year ended 30th September, 2021	Half Year ended 30th September, 2020
1 Employees' remuneration, welfare benefits and other manpower costs	543	582	1,043	1,054
2 Legal and professional charges	48	65	56	65
3 Auditors Fees (Reporting Pack)	3	5	9	10
4 Interest and bank charges	26	31	94	49
5 Others: Miscellaneous expenses	321	401	559	575
Total	942	1,084	1,762	1,754

Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109 dated 31st January 2001

Form L-7- Benefits Paid (Net) Schedule

(Amounts in Lacs)

Particulars	Quarter ended 30th September, 2021	Quarter ended 30th September, 2020	Audited Half Year ended 30th September, 2021	Audited Half Year ended 30th September, 2020
1 Insurance Claims				
(a) Claims by Death	55,503	23,290	1,09,212	32,526
(b) Claims by Maturity	34,921	29,481	70,374	47,352
(c) Annuities / Pension payment	493	355	950	708
(d) Periodical Benefits	1,241	322	2,027	517
(e) Health	3	3	23	(6)
(f) Surrender	1,21,480	66,879	1,96,397	1,00,409
(g) Other benefits				
(i) Riders	235	199	483	302
(ii) Survival and Others	3,904	1,540	7,021	2,682
2 (Amount ceded in reinsurance):				
(a) Claims by Death	(27,317)	(10,562)	(56,220)	(14,359)
(b) Claims by Maturity	-	-	-	-
(c) Annuities / Pension payment	-	-	-	-
(d) Periodical Benefits	-	-	-	-
(e) Health	(101)	(59)	(248)	(98)
(f) Other benefits	-	-	-	-
3 Amount accepted in reinsurance:				
(a) Claims by Death	-	-	-	-
(b) Claims by Maturity	-	-	-	-
(c) Annuities / Pension Payment	-	-	-	-
(d) Periodical Benefits	-	-	-	-
(e) Health	-	-	-	-
(f) Other benefits	-	-	-	-
Total	1,90,362	1,11,448	3,30,017	1,70,033
Benefits paid to Claimants				
1. In India	1,90,362	1,11,448	3,30,017	1,70,033
2. Outside India	-	-	-	-
Total	1,90,362	1,11,448	3,30,017	1,70,033

Note:

- Claims include specific claims settlement costs, wherever applicable.
- Legal, other fees and expenses also form part of the claims cost, wherever applicable.

Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109 dated 31st January 2001

Form L-8-Share Capital Schedule

(Amounts in Lacs)

Particulars	As at 30th September, 2021	As at 30th September, 2020
1 Authorised Capital		
3,750,000,000 Equity Shares of Rs.10/- each	3,75,000	3,75,000
2 Issued Capital		
1,901,208,000 Equity Shares	1,90,121	1,90,121
(Previous Year : 1,901,208,000 Equity Shares) of Rs. 10/- each fully paid up		
3 Subscribed Capital		
1,901,208,000 Equity Shares	1,90,121	1,90,121
(Previous Year : 1,901,208,000 Equity Shares) of Rs. 10/- each fully paid up		
4 Called-up Capital		
Equity Shares of Rs. 10/- Each	1,90,121	1,90,121
Less : Calls unpaid	-	-
Add : Shares forfeited (Amount originally paid up)	-	-
Less : Par value of Equity Shares bought back	-	-
Less: Preliminary Expenses	-	-
(Expenses including commission or brokerage on underwriting or subscription on shares)		
Total	1,90,121	1,90,121

Out of the total equity share capital, 96,96,16,080 equity shares (30th Sept, 2020 - 96,96,16,080 equity shares) of Rs.10 each are held by the holding company, Aditya Birla Capital Limited.

Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109 dated 31st January 2001

Form L-9-Pattern of Shareholding Schedule

Particulars	As at		As at	
	30th September, 2021		30th September, 2020	
Shareholder	Number of	% of	Number of	% of
	Shares	Holding	Shares	Holding
Promoters:				
Indian	96,96,16,080	51%	96,96,16,080	51%
Foreign	93,15,91,920	49%	93,15,91,920	49%
Others	-	-	-	-
Total	1,90,12,08,000	100%	1,90,12,08,000	100%

DETAILS OF EQUITY HOLDINGS

PART A :

PARTICULARS OF THE SHAREHOLDING PATTERN OF ADITYA BIRLA SUN LIFE INSURANCE COMPANY LIMITED, AS AT QUARTER ENDED 30TH SEPTEMBER 2021

Sr.No.	CATEGORY	No. of Investors	No. of shares held	% of Shareholdings	Paid up equity (Rs.in lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
(I)	(II)		(III)	(IV)	(V)	No. of shares (VI)	As a percentage of Total Shares held(VII) = (VI)/(III)*100	Number of Shares (VIII)	As a percentage of Total Shares Held (IX)= (VIII)/(III)*100
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals: (Names of major shareholders)								
	i) A. Dhananjaya as a nominee of Aditya Birla Capital Limited		1	-	0.0001	-	0		
	ii) Pinky Mehta as a nominee of Aditya Birla Capital Limited		1	-	0.0001	-	0		
	iii) Subhro Bhaduri as a nominee of Aditya Birla Capital Limited		1	-	0.0001	-	0		
	iv) Ajay Kakar as a nominee of Aditya Birla Capital Limited		1	-	0.0001	-	0		
	v) Lalit Vermani as a nominee of Aditya Birla Capital Limited		1	-	0.0001	-	0		
ii)	Bodies Corporate: (i) Aditya Birla Capital Limited		969,616,075	51.00	96,961.61	-	0		
iii)	Financial Institutions / Banks								
iv)	Central Government / State Government(s)/President of India								
v)	<i>Persons acting in Concert(Please specify)</i>								
vi)	<i>Any Other(Please specify)</i>								
A.2	Foreign Promoters								
i)	Individuals: (Names of major shareholders)		0	0.00	0	-	0		
ii)	Bodies Corporate (i) Sun Life Financial (India) Insurance Investments Inc.		931,591,920	49.00	93,159.19	-	0		
iii)	<i>Any other (Please specify)</i>								
B.	Non Promoters								
B.1	Public shareholders								
1.1)	Institutions								
i)	<i>Mutual Funds</i>								
ii)	<i>Foreign Portfolio Investors</i>								
iii)	<i>Financial Institutions/Banks</i>								
iv)	<i>Insurance Companies</i>								
v)	<i>FII belonging to the foreign promoter</i>								
vi)	<i>FII belonging to the foreign promoter of Indian Promoter</i>								
vii)	<i>Provident Fund/Pension Fund</i>								
viii)	<i>Alternate Investment Funds</i> <i>Any other(Please specify)</i>								
1.2)	Central Government/State Government(s)/President of India								
1.3)	Non Institutions								
i)	<i>Individual share capital upto Rs. 2 Lacs</i>								
ii)	<i>Individual share capital in excess Rs. 2 Lacs</i>								
iii)	<i>NBFCs registered with RBI</i>								
iv)	<i>Others:</i> <i>- Trusts</i> <i>- Non Resident Indian(NRI)</i> <i>- Clearing Members</i> <i>- Non Resident Indian Non Repatriable</i> <i>- Bodies Corporate</i> <i>- IEPF</i> <i>Any other (Please specify)</i>								
B.2	Non Public Shareholders								
2.1)	<i>Custodian/DR Holder</i>								
2.2)	<i>Employee Benefit Trust</i>								
2.3)	<i>Any other (Please specify)</i>								
	Total		1,901,208,000	100	190,121	-	-		

Footnotes:

- (i): All holdings, above 1% of the paid up equity, have to be separately disclosed
 Indian Promoters - As defined under regulation 2(1)(g) of the Insurance Regulatory and Development
 Authority (Registration of Indian Insurance Companies) Regulation, 2000
 (iii): Where a company is listed, the column "shares pledged or otherwise encumbered" shall not be applicable to "Non Promoters" category.

FOR ADITYA BIRLA SUN LIFE INSURANCE COMPANY LIMITED

SHAILENDRA KOTHAVALA
 CHIEF COMPLIANCE AND RISK OFFICER

(Please repeat the tabulation in case of more than one Indian Promoter)

SL. No.	Category	No. of Investors	No. of shares held	% of shareholdings	Paid up equity (Rs. In lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
(I)	(II)		(III)	(IV)	(V)	Number of Shares (VI)	As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of Shares (VIII)	As a percentage of Total Shares Held (IX) = (VIII)/(III)*100
A.	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals/ HUF (Names of major shareholders):								
	Mrs. Rajashree Birla	1	773,989	0.03	77.40				
	Mr. Kumar Mangalam Birla	1	51,790	0.00	5.18				
	Mrs. Neerja Birla	1	102,286	0.00	10.23				
	Mrs. Vasavadatta Bajaj	1	165,951	0.01	16.60				
	Aditya Vikram Kumarmangalam Birla Huf .	1	125,608	0.01	12.56				
				-	-				
ii)	Bodies Corporate:			-	-				
	BIRLA CONSULTANTS LIMITED	1	122,334	0.01	12.23				
	BIRLA INDUSTRIAL FINANCE (INDIA) LIMITED	1	122,479	0.01	12.25				
	BIRLA INDUSTRIAL INVESTMENTS (INDIA) LIMITED	1	26,119	0.00	2.61				
	ECE INDUSTRIES LTD.	1	471,931	0.02	47.19				
	GRASIM INDUSTRIES LIMITED	1	1,309,240,000	54.19	130,924.00			77,000,000	5.88
	HINDALCO INDUSTRIES LIMITED	1	39,511,455	1.64	3,951.15				-
	BIRLA GROUP HOLDINGS PRIVATE LIMITED	1	175,006,156	7.24	17,500.62				-
	RAJRATNA HOLDINGS PRIVATE LIMITED	1	938	0.00	0.09				-
	UMANG COMMERCIAL COMPANY PRIVATE LIMITED	1	37,444,766	1.55	3,744.48				-
	VIKRAM HOLDINGS PVT LTD	1	1,050	0.00	0.11				-
	VAIBHAV HOLDINGS PRIVATE LIMITED	1	938	0.00	0.09				-
	BIRLA INSTITUTE OF TECHNOLOGY AND SCIENCE	1	925,687	0.04	92.57				-
	Pilani Investment And Industries Corporation Ltd.	1	33,601,721	1.39	3,360.17			250,000	0.74
	RENUKA INVESTMENTS & FINANCE LIMITED	1	339,059	0.01	33.91				-
	IGH HOLDINGS PRIVATE LIMITED	1	53,692,810	2.22	5,369.28			250,000	0.47
				-	-				
				-	-				
iii)	Financial Institutions / Banks			-	-				
iv)	Central Government / State Government(s)/President of India			-	-				
v)	Persons acting in Concert (Please specify)			-	-				
vi)	Any Other (Please specify)			-	-				

A.2 Foreign Promoters				-	-				
i) Individuals (Names of major shareholders):				-	-				
ii) Bodies Corporate\$:				-	-				
iii) Any Other (Please specify)				-	-				
P.T. Indo Bharat Rayon (GDR)	1	28,005,628	1.16	2,800.56					
P T Sunrise Bumi Textiles (GDR)	1	1,776,250	0.07	177.63					
P T elegant Textile Industry (GDR)	1	1,132,250	0.05	113.23					
Thai Rayon Public Company Limited (GDR)	1	2,695,000	0.11	269.50					
Surya Kiran Investments PTE Limited (Equity and GDR)	1	22,507,000	0.93	2,250.70			22,500,000	99.97	
			-	-					
B. Non Promoters				-	-				
B.1 Public shareholders				-	-				
1.1) Institutions				-	-				
i) Mutual Funds	40	24,696,288	1.02	2,469.63					
ii) Foreign Portfolio Investors	145	57,267,262	2.37	5,726.73					
iii) Financial Institutions/Banks	143	1,365,378	0.06	136.54					
iv) Insurance Companies	15	59,092,162	2.45	5,909.22					
v) FII belonging to the foreign promoter #			-	-					
vi) FII belonging to the foreign promoter of Indian Promoter #			-	-					
vii) Provident Fund/Pension Fund			-	-					
viii) Alternate Investment Funds	4	98,795,701	4.09	9,879.57					
ix) Any other(Please specify)			-	-					
Foreign Body Corporate	1	100,000,000	4.14	10,000.00			-	-	
1.2) Central Government/State Government(s)/President of India	2	11,068	0.00	1.11					
1.3) Non Institutions			-	-					
i) Individual share capital upto Rs. 2 Lacs	515,579	210,811,009	8.73	21,081.10					
ii) Individual share capital in excess Rs. 2 Lacs	912	61,437,019	2.54	6,143.70					
iii) NBFCs registered with RBI	12	224,648	0.01	22.46					
iv) Others:			-	-					
Trusts	47	217,842	0.01	21.78					
Overseas Corporate Bodies	9	18,361,303	0.76	1,836.13					
Non-Resident Indian (NRI)	8,936	13,456,789	0.56	1,345.68					
Clearing Members	187	1,530,725	0.06	153.07					
Bodies Corporate	2,486	43,917,506	1.82	4,391.75					
Foreign Nationals	11	8,534	0.00	0.85					
Any other (Please specify)			-	-					
Outstanding GDRs (Balancing Figure)	1	16,920,634	0.70	1,692.06					
B.2 Non Public Shareholders			-	-					
2.1) Custodian/DR Holder			-	-					
2.2) Employee Benefit Trust			-	-					
2.3) Any other (Please specify)			-	-					
			-	-					
Total	528,555	2,415,957,063	100.00	241,595.71	-	-	100,000,000	4.14	

Footnotes:

- At A.1 and A.2 of Part B above, the names of individuals and bodies corporate must be specifically and separately mentioned.
- Insurers are required to highlight the categories which fall within the purview of Regulation 11(1) (ii) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies) regulations, 2000.
- Details of Investors (excluding employees holding under ESOP) have to be provided where the Insurance Company is unlisted.
- Details of Indian investors, singly and jointly holding more than 1% have to be provided where the Insurance Company is listed
 - # Please specify the names of the FIIs, indicating those FIIs which belong to the group of the Joint Venture partner/foreign investors of the Indian insurance company.
 - \$ Please specify the names of the OCBs, indicating those OCBs which belong to the Group of the Joint Venture partner/foreign investor of the Indian insurance company.

Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109 dated 31st January 2001

Form L-10-Reserves and Surplus Schedule

(Amounts in Lacs)

Particulars	As at		As at	
	30th September, 2021		30th September, 2020	
1 Capital Reserve	-		-	
2 Capital Redemption Reserve	6,829		6,829	
3 Share Premium	20,000		20,000	
4 Revaluation Reserve	-		-	
5 General reserves	-		-	
Opening balance	4,061		4,061	
Add: Additions during the year	-		-	
Less: Debit balance in Profit and Loss Account				
Less: Amount utilized for Buy - back	-	4,061	-	4,061
6 Catastrophe Reserve	-		-	
7 Other Reserves :				
a) Debenture Redemption Reserve :				
Opening balance	-		-	
Add: Additions during the year	3,450		-	
Less: Deductions during the year	-	3,450	-	-
b) Realised Hedge Reserves non inked policyholder		4,816		
8 Balance of profit in Profit and Loss Account				
Opening balance	8,294		(853)	
Add: Additions during the year	3,813		4,163	
Less: Deductions during the year	-	12,107	-	3,310
Total		51,263		34,200

Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109 dated 31st January 2001

Form L-11-Borrowings Schedule

(Amounts in Lacs)

Particulars	As at	As at
	30th September, 2021	30th September, 2020
1 Debentures/Bonds	34,500	-
2 Banks	-	-
3 Financial Institutions	-	-
4 Others	-	-
Total	34,500	-

Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109 dated 31st January 2001

Form L-12- Investments - Shareholders' Schedule

(Amounts in Lacs)

Particulars	As at 30th September,2021	As at 30th September, 2020
Long Term Investments		
1 Government securities and Government guaranteed bonds including Treasury Bills (Refer Note 4 below)	92,887	65,497
2 Other Approved Securities	2,626	2,641
3 Other Investments		
(a) Shares		
(aa) Equity (Refer Note 8 below)	976	10,027
(bb) Preference	-	-
(b) Mutual Funds	-	-
(c) Derivative Instruments	-	-
(d) Debentures / Bonds	53,858	49,161
(e) Other Securities (Fixed Deposits)	-	-
(f) Subsidiaries (Refer Note 3 below)	4,200	3,900
Investment Properties - Real Estate	-	-
4 Investments in Infrastructure and Social Sector	82,360	61,872
5 Other than Approved Investments	20,269	22,448
Total (A)	257,176	215,545
Short Term Investments		
1 Government securities and Government guaranteed bonds including Treasury Bills (Refer Note 4 below)	100	-
2 Other Approved Securities	-	-
3 Other Investments		
(a) Shares		
(aa) Equity	2,045	-
(bb) Preference	-	-
(b) Mutual Funds (Refer Note 8 below)	-	-
(c) Derivative Instruments	-	-
(d) Debentures / Bonds	9,266	2,998
(e) Other Securities		
- Fixed Deposits (Refer Note 5 below)	-	990
- Others	1,013	5,154
(f) Subsidiaries	-	-
Investment Properties-Real Estate	-	-
4 Investments in Infrastructure and Social Sector	-	1,995
5 Other than Approved Investments	-	2,604
Total (B)	12,424	13,741
Total (A) + (B)	269,600	229,286
Notes:		
1 Aggregate amount of Company's investments and the market value:		
a) Aggregate amount of Company's investment other than listed Equity Securities & Mutual Funds	257,982	208,389
b) Market Value of above investment	276,901	227,589
2 Investment in holding company at cost		
3 Investment in subsidiaries company at cost	4,200	3,900
4 Government Securities deposited with the Clearing Corporation of India Ltd (CCIL) for collateralized borrowing and lending obligation segment		
a) Amortised cost	9,781	4,625
b) Market Value of above investment	10,286	4,971
5 Fixed Deposits towards margin requirement for equity trade settlement and Bank Guarantee		
a) Deposited with National Securities Clearing Corporation Limited (NSCCL)		
b) Deposited with Indian Clearing Corporation Limited (ICCL)		
6 Investment made out of catastrophe reserve		
7 Debt Securities are held to maturity and reduction in market values represent market conditions and not a permanent diminution in the value of investments,if any.		
8 Historical cost of equity and equity related securities included above:		
a. Mutual Funds	-	-
b. Equity Stocks	4,601	5,342
c. Additional Tier 1 Bonds	2,900	14,485
9 The value of equity shares lent by the Company under securities lending and borrowing scheme (SLB) and outstanding		

Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109 dated 31st January 2001

Form L-13-Investments - Policyholders' Schedule

(Amounts in Lacs)

Particulars	As at	As at
	30th September, 2021	30th September, 2020
Long Term Investments		
1 Government securities and Government guaranteed bonds including Treasury Bills	1,283,982	958,071
2 Other Approved Securities	10,396	12,406
3 (a) Shares		
(aa) Equity (Refer Note 7 below)	75,512	69,635
(bb) Preference	-	-
(b) Mutual Funds	-	-
(c) Derivative Instruments	-	-
(d) Debentures / Bonds	341,832	320,234
(e) Other Securities		
- Fixed Deposits	-	-
(f) Subsidiaries	-	-
Investment Properties-Real Estate	14,835	2,974
4 Investment in Infrastructure and Social Sector	526,814	382,321
5 Other than Approved Investments	27,178	32,291
Total (A)	2,280,549	1,777,932
Short Term Investments		
1 Government securities and Government guaranteed bonds including Treasury Bills	9,130	10,332
2 Other Approved Securities	-	-
- Fixed Deposits	-	-
- Others	2,003	-
Other Investments		
3 (a) Shares		
(aa) Equity	5,114	-
(bb) Preference	-	-
(b) Mutual funds (Refer Note 7 below)	-	3,016
(c) Derivative Instruments	-	-
(d) Debentures / Bonds	20,150	12,915
(e) Other Securities	-	-
- Fixed Deposits	500	60
- Others	89,840	70,100
(f) Subsidiaries	-	-
(g) Investment Properties-Real Estate	-	-
3 Investment in Infrastructure and Social Sector	7,967	10,693
4 Other than Approved Investments	3,028	1,000
Total (B)	137,732	108,116
Total (A) + (B)	2,418,281	1,886,048

Notes:

1	Aggregate amount of Company's investments and the market value:		
	a) Aggregate amount of Company's investment other than listed Equity Securities & Mutual Funds	2,285,476	1,778,603
	b) Market Value of above investment	2,422,650	1,933,920
2	Investment in holding company at cost	40	28
3	Investment in subsidiaries company at cost		
4	Government Securities deposited with the Clearing Corporation of India Ltd (CCIL) for collateralized borrowing and lending obligation segment		
	a) Amortised cost	-	-
	b) Market Value of above investment	-	-
5	Investment made out of catastrophe reserve		
6	Debt Securities are held to maturity and reduction in market values represent market conditions and not a permanent diminution in the value of investments, if any.		
7	Historical cost of equity and equity related securities included above:		
	a. Mutual Funds	-	3,072
	b. Equity Stocks	69,108	56,770
	c. Additional Tier 1 Bonds	11,100	18,417
	d. Infrastructure Investment Trusts	10,155	8,948
	e. Alternate Investment Funds	10,928	11,483
	f. Real Estate Investment Properties	14,434	2,776
8	The value of equity shares lent by the Company under securities lending and borrowing scheme (SLB) and outstanding		

Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109 dated 31st January 2001

Form L-14- Assets Held to cover Linked Liabilities

(Amounts in Lacs)

Particulars	As at 30th September,2021	As at 30th September, 2020
Long Term Investments		
1 Government securities and Government guaranteed bonds including Treasury Bills	588,349	501,511
2 Other Approved Securities	5,980	7,820
3 (a) Shares		
(aa) Equity (Refer Note 6 below)	1,012,035	722,809
(bb) Preference	-	121
(b) Mutual Funds	-	-
(c) Derivative Instruments	-	-
(d) Debentures / Bonds	277,039	253,652
(e) Other Securities (Fixed Deposits)	-	-
(f) Subsidiaries	-	-
Investment Properties-Real Estate	1,032	-
4 Investments in Infrastructure and Social Sector	523,513	397,172
5 Other than Approved Investments	160,419	111,947
Total (A)	2,568,367	1,995,031
Short Term Investments		
1 Government securities and Government guaranteed bonds including Treasury Bills	116,509	90,372
2 Other Approved Securities	697	-
3 (a) Shares	-	-
(aa) Equity	-	-
(bb) Preference	69	-
(b) Mutual Funds (Refer Note 6 below)	-	31,935
(c) Derivative Instruments	-	-
(d) Debentures / Bonds	71,703	58,659
(e) Other Securities		
- Fixed Deposits	1,500	8,000
- Others	181,378	286,378
(f) Subsidiaries	-	-
(g) Investment Properties-Real Estate	-	-
3 Investments in Infrastructure and Social Sector	51,451	80,886
4 Other than Approved Investments	2,671	2,172
Total (B)	425,978	558,402
Other Assets		
1 Bank Balances	44	58
2 Interest Accrued and Dividend Receivable	42,008	37,526
3 Fund Charges	(115)	(99)
4 Outstanding Contracts :		
(a) Investment sold - pending for settlement	14,544	-
(b) Investment purchased - pending for settlement	(32,692)	-
(c) Net receivable to unit linked funds	4,103	-
(d) Other receivable/(payable)	1,699	-
Total (C)	29,591	37,486
Total (A) + (B) + (C)	3,023,936	2,590,919

Notes

1	Aggregate amount of Company's investments and the market value:		
	a) Aggregate amount of Company's investment other than listed Equity Securities & Mutual Funds	1,647,472	1,549,760
	b) Market Value of above investment	1,688,667	1,622,300
2	Investment in holding company at cost	3,940	1,838
3	Investment in subsidiaries company at cost	-	-
4	Investment made out of catastrophe reserve	-	-
5	Debt Securities are held to maturity and reduction in market values represent market conditions and not a permanent diminution in the value of investments, if any.		
6	Historical cost of equity and equity related securities included above:		
	a. Mutual Funds	45,734	69,153
	b. Equity Stocks	870,399	766,927
	c. Redeemable Preference Shares	19	39
7	The value of equity shares lent by the Company under securities lending and borrowing scheme (SLB) and outstanding	508	351

Aditya Birla Sun Life Insurance Company LimitedRegistration Number: 109 dated 31st January 2001**L-14A Aggregate value of Investments other than Listed Equity Securities and Derivative Instruments**

(Amounts in lacs of Indian Rupees)

Particulars	Shareholders		Policyholders		Assets held to cover Linked Liabilities		Total	
	As at 30th Sep 2021	As at 30th Sep 2020	As at 30th Sep 2021	As at 30th Sep 2020	As at 30th Sep 2021	As at 30th Sep 2020	As at 30th Sep 2021	As at 30th Sep 2020
Long Term Investments:								
Book Value	2,47,603.78	1,97,251.41	21,55,884.90	16,73,502.66	12,24,155.52	10,30,282.20	36,27,644.20	29,01,036.27
Market Value	2,66,278.08	2,16,302.59	22,91,980.58	18,27,929.35	12,62,756.50	10,95,833.11	38,21,015.16	31,40,065.05
Short Term Investments:								
Book Value	10,378.63	11,137.63	1,29,590.68	1,05,099.91	4,23,316.33	5,19,477.68	5,63,285.64	6,35,715.22
Market Value	10,623.24	11,286.23	1,30,669.09	1,05,991.10	4,25,910.14	5,26,466.85	5,67,202.47	6,43,744.18

Note: Market Value in respect of Shareholders and Policyholders investments should be arrived as per the guidelines prescribed for linked business investments under IRDAI Investment (Regulations) 2016.

Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109 dated 31st January 2001

Form L-15- Loans Schedule

(Amounts in Lacs)

Particulars	As at 30th September,2021	As at 30th September, 2020
1 Security-Wise Classification		
Secured		
(a) On mortgage of property		
(aa) In India	-	-
(bb) Outside India	-	-
(b) On Shares, Bonds, Govt. Securities, etc.	-	-
(c) Loans against policies	25,688	18,649
(d) Others	-	-
Unsecured	-	-
Total	25,688	18,649
2 Borrower-Wise Classification		
(a) Central and State Governments	-	-
(b) Banks and Financial Institutions	-	-
(c) Subsidiaries	-	-
(d) Companies	-	-
(e) Loans against policies	25,688	18,649
(f) Others	-	-
Total	25,688	18,649
3 Performance-Wise Classification		
(a) Loans classified as standard		
(aa) In India	25,688	18,649
(bb) Outside India	-	-
(b) Non-standard loans less provisions		
(aa) In India	-	-
(bb) Outside India	-	-
Total	25,688	18,649
4 Maturity-Wise Classification		
(a) Short-Term	320	559
(b) Long-Term	25,368	18,089
Total	25,688	18,649

Note: 1. Short-term loans include those which are repayable within 12 months from the date of Balance Sheet. Long term loans are the loans other than short-term loans.

2. Loans considered doubtful and the amount of provision created against such loans is **Rs.Nil** (Previous year Rs.Nil).

Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109 dated 31st January 2001

Form L-16 Fixed Assets Schedule

(Amounts in Lacs)

Particulars	Cost/ Gross Block				Depreciation/Amortization				Net Block	
	Opening	Additions	Deductions	Closing	As on 1st April 2021	For the year	On Sales /Adjustments	As on 30th September 2021	As on 30th September 2021	As on 30th September 2020
Goodwill	-	-	-	-	-	-	-	-	-	-
Intangibles (Software)	25,804	1,020	376	26,447	19,823	1,224	319	20,728	5,719	5,731
Land-Freehold	-	-	-	-	-	-	-	-	-	-
Leasehold property	-	-	-	-	-	-	-	-	-	-
Buildings	-	-	-	-	-	-	-	-	-	-
Furniture & Fittings	1,885	4	108	1,781	1,379	37	92	1,324	457	511
Information Technology Equipment	7,674	102	122	7,654	6,603	226	120	6,709	945	1,220
Vehicles	795	-	142	653	476	74	106	444	208	400
Office Equipment	2,256	55	64	2,247	1,923	82	61	1,943	304	354
Others (Leasehold improvements)	3,684	79	175	3,588	3,299	88	173	3,215	374	403
Total	42,097	1,260	988	42,370	33,503	1,732	871	34,363	8,006	8,621
Work in Progress including capital advances									1,329	708
Grand Total	42,097	1,260	988	42,370	33,503	1,731	871	34,363	9,335	9,329
Previous Year/Period	39,483	1,401	185	40,700	30,624	1,636	180	32,080	9,330	

Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109 dated 31st January 2001

Form L-17- Cash and Bank Balances Schedule

(Amounts in Lacs)

Particulars	As at	As at
	30th September,2021	30th September, 2020
1 Cash (including cheques and stamps)	2,493	2,073
2 Bank Balances		
(a) Deposit Accounts		
(aa) Short - term (due within 12 months of the date of Balance Sheet)	5,293	3,793
(ab) Others*	25	-
(b) Current Accounts	17,757	18,040
(c) Others	-	-
3 Money at Call and Short Notice		
(a) With Banks	-	-
(b) With other Institutions	-	-
4 Others	-	-
Total	25,568	23,906
Balances with non-scheduled banks included in 2 above	-	-
Cash and Bank Balances		
1. In India	25,568	23,906
2. Outside India	-	-
Total	25,568	23,906

Note :

- Deposited with ICICI Bank in the form of fixed deposits, which is earmarked and in lien against the Bank guarantee given by ICICI Bank on behalf of the Company to Unique Identification Authority of India (UIDAI).
- Cheques on hand amount to Rs. 1,871 (Rs. 1,854 as on 30th Sept'20)

Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109 dated 31st January 2001

Form L-18 Advances and Other Assets Schedule

(Amounts in Lacs)

Particulars	As at		As at	
	30th September,2021		30th September, 2020	
Advances				
1 Reserve deposits with ceding companies		-		-
2 Application money for investments		-		-
3 Prepayments		1,728		1,497
4 Advances to Directors / Officers		-		-
5 Advance tax paid and taxes deducted at source		175		371
6 Others:				
a) Advance to Suppliers/Contractors		308		843
b) Gratuity and Advances to Employees		5,952		4,632
c) Other Advances		228		238
Total (A)		8,391		7,581
Other Assets				
1 Income accrued on investments		65,662		56,153
2 Outstanding Premiums		14,941		13,018
3 Agents' Balances (gross)	132		321	
Less: Provision for doubtful debts	(40)	91	(49)	272
4 Foreign Agencies Balances		-		-
5 Due from other entities carrying on insurance business (including reinsures)	30,651		6,168	
Less: Provision for doubtful debts	-	30,651	(235)	5,933
6 Due from Subsidiary company		-		52
7 Unclaimed Fund	28,501		30,972	
Income accrued on unclaimed fund	503	29,004	637	31,609
8 Deposit with Reserve Bank of India [pursuant to section 7 of Insurance Act,1938]		-		-
9 Others:				
a) Deposits		5,626		5,741
b) Outstanding Trades		103,746		3,785
c) Insurance Policies (Leave Encashment)		4,040		3,589
d) Derivative Asset		5,492		6,037
e) Goods and Services tax unutilised credits		222		1,592
Total (B)		259,474		127,782
Total (A+B)		267,865		135,363

Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109 dated 31st January 2001

Form L-19- Current Liabilities Schedule

(Amounts in Lacs)

Particulars	As at		As at	
	30th September,2021		30th September, 2020	
1 Agents' Balance		7,781		6,406
2 Balances due to other insurance companies		5		622
3 Deposits held on re-insurance ceded		-		-
4 Premiums received in advance		1,186		1,252
5 Unallocated premiums		7,137		2,494
6 Sundry creditors		28,104		18,084
7 Due to Subsidiaries/ holding company		-		-
8 Claims outstanding		23,889		7,589
9 Annuities Due		-		-
10 Due to Officers/Directors		-		-
11 Unclaimed amounts of policyholders	28,501		30,972	
Income accrued on unclaimed fund	503	29,004	637	31,609
12 Interest Payable on NCD		816		-
13 Others:				
(a) Policy Application and other Deposits		6,894		8,529
(b) Due to Policyholders		105,107		21,918
(c) Statutory Dues Payable		1,605		2,048
(d) GST Payable		335		2
(e) MTM Margin payable - FRA		5,145		5,804
Total		217,008		106,355

Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109 dated 31st January 2001

Form L-20- Provisions Schedule

(Amounts in Lacs)

Particulars	As at	As at
	30th September,2021	30th September, 2020
1 For taxation	2,528	727
2 For proposed dividends	-	-
3 For dividend distribution tax	-	-
4 For Employee Benefits :		
a) Provision for long term Incentive plan	2,066	1,675
b) Provision for Compensated absences	1,907	1,746
c) Provision for gratuity	6,186	4,765
5 Others	-	-
Total	12,687	8,913

Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109 dated 31st January 2001

Form L-21- Miscellaneous Expenditure Schedule

(To the extent not written off or adjusted)

Particulars		As at 30th September,2021	As at 30th September, 2020
1	Discount Allowed in issue of shares / debentures	-	-
2	Others	-	-
Total		-	-

Form L22- Analytical ratios

Sr. No	Ratios for Life Insurers	Quarter ended 30th September, 2021	Quarter ended 30th September, 2020	Half Year ended 30th September, 2021	Half Year ended 30th September, 2020
1	New business premium income growth (segment-wise) (New business premium for current year less new business premium for previous year divided by new business premium for previous year) a) Linked Life b) Linked Group Life c) Linked Pension individual d) Linked Group Pension e) Linked Health Individual f) Non-Linked Life g) Non-Linked Group Life h) Non Linked group Life variable i) Non- Linked Pension Individual j) Non-Linked Annuity Individual k) Non-Linked Group Pension l) Non-Linked Group Pension Variable m) Non-Linked Health n) Non-Linked Par Life	64.65% -18.17% 23.60% 2521.03% 0.00% 11.65% -41.03% -111.65% 0.00% 149.86% 0.00% -99.94% -55.83% 12.85%	14.59% -5.43% 39.67% 578.65% 0.00% 24.25% 119.18% -832.69% 0.00% 41.14% 5425.53% -11.39% -54.94% -40.23%	50.22% -21.90% -4.67% 1180.57% 0.00% 9.32% -35.31% -33.33% 0.00% 94.69% 273.52% -96.69% -63.06% 8.86%	3.41% 12.51% 116.79% 702.11% 0.00% 29.15% 112.62% 38.00% 0.00% 4.89% 5816.15% 97.40% -47.55% -43.45%
2	Percentage of Single Premium (Individual Business) to Total New Business Premium (Individual Business)	10.51%	6.95%	11.51%	6.71%
3	Percentage of Linked New Business Premium (Individual Business) to Total New Business Premium (Individual Business)	33.50%	27.28%	34.10%	28.94%
4	Net Retention Ratio (Net premium divided by gross premium)	95.76%	96.73%	95.55%	96.31%
5	Expense of Management to Gross Direct Premium Ratio (Expenses of Management = Gross Commission + Operating Expenses related to Insurance Business by total gross premium net of service tax / GST)	16.64%	18.34%	18.37%	19.44%
6	Commission Ratio (Gross Commission and rewards paid to Gross Premium)	4.71%	5.60%	4.94%	5.26%
7	Business Development and Sales Promotion Expenses to New Business Premium	0.01%	-0.02%	0.01%	0.00%
8	Brand/Trade Mark usage fee/charges to New Business Premium	0.00%	0.00%	0.00%	0.00%
9	Ratio of Policyholders' Liabilities to Shareholders' Funds (Policyholders' Liabilities = Policy Liabilities + Funds for Future Appropriations + Provision for Linked Liabilities + Credit/(Debit) fair value change account (Linked & Non Linked) (Shareholders' Funds = Share Capital + Reserves & Surplus + Credit / (Debit) fair value account + Credit / (Debit) balance in Profit & Loss account.)	2252.78%	2012.62%	2252.78%	2012.62%
10	Growth Rate of Shareholders' Funds (Current year shareholders' funds less previous year shareholders' funds divided by previous year shareholders' funds) X 100	1.90%	1.51%	2.37%	2.46%
11	Ratio of Surplus / (Deficit) to Policyholders' Liability (Surplus or deficit as per revenue account divided by policyholders' liability as described in ratio 9 above)	0.09%	0.23%	0.25%	0.44%
12	Change in Net Worth (Rs.in lacs) (Current year shareholders' funds less previous year shareholders' funds as described in ratio 9 above)	4,580	3,348	5,677	5,412
13	Growth in Net Worth (Current year shareholders' funds less previous year shareholders' funds divided by previous year shareholders' funds as described in ratio 8 above)	1.90%	1.51%	2.37%	2.46%
14	Profit after Tax to Total Income (Total Income = Total Income under Policyholders' account excluding shareholders' contribution + Total Income under Shareholders' Account excluding policyholders' contribution)	0.61%	0.69%	0.64%	0.53%
15	(Total Real estate + Loans) to Cash & Invested Assets Loan amount is as shown in Balance Sheet divided by Cash (cash and bank balance as shown in the balance sheet) and Invested assets (investments of shareholders' funds + investments of policyholders' funds + assets held to cover linked liabilities as shown in Balance Sheet)	0.45%	0.40%	0.45%	0.40%
16	Total Investments to (Capital + Surplus) (Total Investments is invested assets as per ratio 10 above divided by Capital ,reserves and surplus & Credit/(Debit) Fair Value Change as shown in Balance Sheet)	2326.60%	2080.69%	2326.60%	2080.69%
17	Total Affiliated Investments to (Capital + Surplus)* (Total Affiliated Investments is investments made to related parties divided by Capital, reserves and surplus & Credit/(Debit) Fair Value Change as shown in Balance Sheet)	43.63%	52.04%	43.63%	52.04%

18	Investment Yield (gross and net)				
	A. With Unrealised Gains				
	Shareholders' Funds	3.09%	1.38%	3.71%	6.34%
	Policyholders' Funds :				
	Non- Linked Participating	3.63%	1.01%	4.57%	7.17%
	Non- Linked Non Participating	2.88%	0.73%	4.13%	5.99%
	Linked Non Participating	5.95%	4.14%	10.51%	13.45%
	B. Without Unrealised Gains				
	Shareholders' Funds	1.93%	2.25%	4.05%	4.39%
	Policyholders' Funds :				
	Non- Linked Participating	2.27%	2.03%	4.74%	3.83%
	Non- Linked Non Participating	2.31%	2.13%	4.39%	4.04%
	Linked Non Participating	3.41%	2.72%	6.06%	3.28%
19	Conservation Ratio (Renewal Premium for current year net of service tax / GST divided by first year premium + single premium + renewal premium net of service tax / GST for previous year)				
	Non Participating Linked - Individual Life	76.20%	87.05%	80.82%	82.15%
	Non Participating Linked - Group Life	0.00%	0.00%	0.00%	0.00%
	Non Participating Linked - Individual Pension	55.75%	51.02%	52.03%	59.11%
	Non Participating Linked - Group Pension	64.58%	96.44%	59.80%	74.91%
	Non Participating Linked - Individual Health	79.53%	75.66%	80.40%	73.08%
	Non Participating Non Linked - Individual Life	85.82%	93.53%	93.00%	83.86%
	Non Participating Non Linked - Group Life	52.78%	24.44%	46.43%	36.62%
	Non Participating Non Linked - Group Life Variable	0.00%	0.00%	0.00%	0.00%
	Non Participating Non Linked - Individual Pension*	26.93%	207.74%	34.05%	257.89%
	Non Participating Non Linked - Individual Annuity	0.00%	0.00%	0.00%	0.00%
	Non Participating Non Linked - Group Pension	1.08%	9.06%	1.89%	9.74%
	Non Participating Non Linked - Group Pension Variable	6.13%	6.20%	4.24%	10.17%
	Non Participating Non Linked - Individual Health	81.33%	79.24%	83.16%	68.26%
	Participating Non Linked - Individual Life	87.46%	96.47%	98.72%	82.01%
20	Persistency Ratio** Persistency rate based on premium				
	For 13th month	80.60%	83.34%	83.43%	83.89%
	For 25th month	72.52%	72.08%	72.97%	71.10%
	For 37th month	63.83%	53.15%	64.51%	56.84%
	For 49th Month	49.86%	50.38%	55.55%	53.12%
	For 61st month	50.54%	48.95%	50.55%	49.95%
	Persistency rate based on count				
	For 13th month	69.99%	70.69%	72.29%	72.74%
	For 25th month	61.37%	58.06%	63.92%	58.53%
	For 37th month	50.76%	40.84%	52.68%	46.06%
	For 49th Month	38.21%	36.07%	44.00%	40.62%
	For 61st month	34.43%	34.91%	38.32%	37.92%
21	NPA Ratio				
	Gross NPA Ratio	Nil	Nil	Nil	Nil
	Net NPA Ratio	Nil	Nil	Nil	Nil
22	Solvency Ratio	1.89	1.76	1.89	1.76
23	Debt Equity Ratio	0.14	NA	0.14	NA
24	Debt Service Coverage Ratio	9.76	NA	11.37	NA
25	Interest Service Coverage Ratio	9.76	NA	11.37	NA
26	Average ticket size in Rs. - Individual premium (Non-Single)	98,261	70,559	90,863	65,249

Equity Holding Pattern

1	No. of shares (FV of Rs.10 each)	1,90,12,08,000	1,90,12,08,000	1,90,12,08,000	1,90,12,08,000
2	Percentage of shareholding (Indian / Foreign)	51% / 49%	51% / 49%	51% / 49%	51% / 49%
3	% of Government holding (in case of public sector insurance sector insurance companies)	0%	0%	0%	0%
4	Basic and Diluted EPS before extraordinary items (net of tax expenses) for the period (not to be annualized) (share of FV of Rs.10 each) Rs.	0.17	0.14	0.30	0.22
5	Basic and diluted EPS after extraordinary items (net of tax expense) for the period (not to be annualized) (share of FV of Rs.10 each) Rs.	0.17	0.14	0.30	0.22
6	Book value per share (Rs.)	12.91	11.86	12.91	11.86

* Restricted to 100%

** The persistency ratios are as per the certificate received from the Appointed Actuary as per IRDAI/F&A/CIR/MISC/256/09/2021 dated September 30, 2021

Ratios for the previous quarter & period have been reclassified / regrouped wherever necessary.

Form L23- Receipts and Payments account (Cash Flow Statement)
For the Half Year ended 30th September, 2021

(Amount in lacs of Indian Rupees)

Particulars	(Audited) Half Year ended 30th September, 2021	(Audited) Half Year ended 30th September, 2020
CASH FLOWS FROM OPERATING ACTIVITIES (A)		
Premium received from policyholders, including advance receipts	499,712	421,382
Payments to the re-insurers, net of commissions and claims	8,348	(3,852)
Application money deposit & due to Policy holders	(10,695)	(16,774)
Payments of commission and brokerage	(26,264)	(20,748)
Payments of other operating expenses	(82,857)	(23,446)
Payments of claims	(365,349)	(174,776)
Deposits & others	3,225	5,384
Other receipts	2,124	2,280
Income taxes paid (Net)	(2,656)	(1,982)
Goods and Services taxes paid	(6,741)	(6,214)
Cash flows before extraordinary items	18,847	181,254
Cash flow from extraordinary operations	-	-
Net cash Inflow / (outflow) from operating activities (A)	18,847	181,254
CASH FLOWS FROM INVESTING ACTIVITIES (B)		
Purchase of fixed assets	(1,817)	(1,595)
Proceeds from sale of fixed assets	34	(4)
Loan against Policies	(3,264)	(1,264)
Purchase of investment	(28,938,728)	(32,166,437)
Proceeds from sale of investment	28,742,549	31,858,224
Expenses related to investments	(179)	(66)
Interest received (net of tax deducted at source)	123,077	102,234
Dividend received	8,917	7,467
Net cash Inflow / (Outflow) from investing activities (B)	(69,411)	(201,441)
CASH FLOWS FROM FINANCING ACTIVITIES (C)		
Proceeds from borrowing	19,500	-
Interest paid on borrowing	-	-
Net cash used in financing activities (C)	19,500	-
Net (Decrease)/ Increase in cash and cash equivalents (D=A+B+C)	(31,064)	(20,187)
Cash and cash equivalents at beginning of the year/period	56,607	44,068
Cash and cash equivalents as at end of the year/period	25,543	23,881
Notes:		
1. Cash and cash equivalents at end of the year/period includes:		
Cash and Bank Balances as per Balance Sheet	25,568	23,906
Less: Bank deposits having maturity period of more than 3 months considered in operating activities	25	25
Cash and cash equivalents as at the end of the year/period	25,543	23,881

Net Liabilities (Rs.lakhs) (Frequency - Quarterly)			
Type	Category of business	Mathematical Reserves as at 30th September for the year 2021	Mathematical Reserves as at 30th September for the year 2020
Par	Non-Linked -VIP		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Non-Linked -Others		
	Life	543,696.85	403,514.13
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Linked -VIP		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Linked -Others		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
Total Par		543,696.85	403,514.13
Non-Par	Non-Linked -VIP		
	Life	85,091.82	72,378.13
	General Annuity	-	-
	Pension	113,907.73	123,867.69
	Health	-	-
	Non-Linked -Others		
	Life	1,439,562.21	1,127,013.72
	General Annuity	37,177.04	24,520.10
	Pension	226,322.56	157,461.75
	Health	113.74	106.02
	Linked -VIP		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Linked -Others		
	Life	2,832,866.09	2,422,734.95
	General Annuity	-	-
	Pension	214,814.52	178,197.22
	Health	8,890.49	7,496.86
Total Non Par		4,958,746.20	4,113,776.44
Total Business	Non-Linked -VIP		
	Life	85,091.82	72,378.13
	General Annuity	-	-
	Pension	113,907.73	123,867.69
	Health	-	-
	Non-Linked -Others		
	Life	1,983,259.05	1,530,527.85
	General Annuity	37,177.04	24,520.10
	Pension	226,322.56	157,461.75
	Health	113.74	106.02
	Linked -VIP		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Linked -Others		
	Life	2,832,866.09	2,422,734.95
	General Annuity	-	-
	Pension	214,814.52	178,197.22
	Health	8,890.49	7,496.86
Total		5,502,443.05	4,517,290.57

Geographical Distribution of Total Business - Individuals												
Sl.No.	State / Union Territory	New Business - Rural			New Business - Urban			Total New Business			Renewal Premium ² (Rs. Lakhs)	Total Premium (New Business and Renewal ²) (Rs. Lakhs)
		No. of Policies	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)	No. of Policies	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)	No. of Policies	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)		
	STATES ¹											
1	Andhra Pradesh	302	133	7,312	1,448	1,091	38,128	1,750	1,224	45,440	2,203	3,427
2	Arunachal Pradesh	6	12	123	20	19	252	26	31	375	128	159
3	Assam	433	189	2,573	894	692	9,977	1,327	880	12,550	2,342	3,223
4	Bihar	1,048	427	10,667	1,231	684	18,579	2,279	1,111	29,246	4,394	5,505
5	Chhattisgarh	236	125	3,781	538	658	20,045	774	783	23,826	1,561	2,344
6	Goa	24	18	333	92	131	1,615	116	149	1,947	336	485
7	Gujarat	194	114	4,004	1,237	1,692	37,608	1,431	1,806	41,612	4,529	6,334
8	Haryana	304	104	4,797	884	884	30,322	1,188	987	35,119	3,747	4,734
9	Himachal Pradesh	164	118	2,670	66	46	988	230	164	3,658	376	540
10	Jharkhand	381	212	3,699	588	493	10,324	969	706	14,023	1,742	2,448
11	Karnataka	134	80	2,578	767	1,321	30,495	901	1,401	33,073	2,703	4,104
12	Kerala	138	98	2,055	502	469	10,164	640	567	12,220	1,379	1,946
13	Madhya Pradesh	290	167	4,519	1,041	1,205	32,744	1,331	1,372	37,262	2,673	4,045
14	Maharashtra	5,245	3,823	67,077	23,570	33,201	6,05,132	28,815	37,024	6,72,209	75,356	1,12,380
15	Manipur	1	1	3	24	7	250	25	8	253	73	81
16	Meghalaya	51	24	307	123	108	1,440	174	133	1,747	301	434
17	Mizoram	-	-	-	-	-	-	-	-	-	-	-
18	Nagaland	6	29	187	26	27	258	32	56	444	119	175
19	Odisha	1,083	534	10,745	1,017	908	18,026	2,100	1,442	28,771	2,891	4,333
20	Punjab	85	35	1,194	305	211	7,718	390	246	8,912	893	1,139
21	Rajasthan	207	95	5,191	865	767	36,362	1,072	862	41,553	3,049	3,911
22	Sikkim	4	2	12	22	19	183	26	21	195	88	109
23	Tamil Nadu	247	135	3,384	1,830	1,924	43,630	2,077	2,059	47,014	2,938	4,997
24	Telangana	112	48	2,492	899	736	31,822	1,011	784	34,314	2,049	2,832
25	Tripura	4	1	12	16	5	139	20	5	151	41	47
26	Uttarakhand	25	11	566	171	139	5,837	196	150	6,403	318	468
27	Uttar Pradesh	888	440	13,582	2,494	2,570	69,407	3,382	3,010	82,988	8,059	11,068
28	West Bengal	664	257	4,692	1,756	1,698	24,917	2,420	1,955	29,609	3,538	5,493
	TOTAL	12,276	7,232	1,58,555	42,426	51,704	10,86,360	54,702	58,937	12,44,915	1,27,826	1,86,763
	UNION TERRITORIES ¹											
1	Andaman and Nicobar Islands	-	-	-	-	-	-	-	-	-	-	-
2	Chandigarh	14	5	320	96	81	2,794	110	87	3,115	218	305
3	Dadra and Nagar Haveli and Daman & Diu	-	-	-	-	-	-	-	-	-	-	-
4	Govt. of NCT of Delhi	61	29	1,218	1,298	1,968	42,588	1,359	1,996	43,806	4,033	6,029
5	Jammu & Kashmir	14	4	106	43	22	576	57	26	682	85	111
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	15	5	227	138	80	1,624	153	85	1,851	47	132
	TOTAL	104	44	1,872	1,575	2,151	47,581	1,679	2,194	49,453	4,382	6,577
	GRAND TOTAL	12,380	7,276	1,60,426	44,001	53,855	11,33,941	56,381	61,131	12,94,367	1,32,209	1,93,340
	IN INDIA											1,93,340
	OUTSIDE INDIA											

Note:

¹ Any changes under States / Union Territories by Govt. of India are to be suitably incorporated in the statement²Renewal Premium has to be reported on accrual basis.

For the Quarter and Upto the Quarter information are to be shown in separate sheets

FORM L-25- (II) : Geographical Distribution of Business: GROUP

Name of the Insurer: Aditya Birla Sun Life Insurance Co Ltd

Date:

30-Sep-21 For the Quarter Sep 2021

Geographical Distribution of Total Business- GROUP															
Sl.No.	State / Union Territory	New Business - Rural (Group)				New Business - Urban (Group)				Total New Business (Group)				Renewal Premium ² (Rs. Lakhs)	Total Premium (New Business and Renewal ²) (Rs. Lakhs)
		No. of Schemes	No. of Lives	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)	No. of Schemes	No. of Lives	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)	No. of Schemes	No. of Lives	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)		
	STATES¹														
1	Andhra Pradesh	0	0	0	0	4	3,516	21	23,912	4	3,516	21	23,912	15	36
2	Arunachal Pradesh	0	0	0	0	-	-	-	-	-	-	-	-	-	-
3	Assam	0	0	0	0	-	33	0	314	-	33	0	314	3	3
4	Bihar	0	0	0	0	-	-	-	-	-	-	-	-	-	-
5	Chhattisgarh	0	0	0	0	-	14	3,750	265	-	14	3,750	265	(0)	3,750
6	Goa	0	0	0	0	-	77	1	764	-	77	1	764	2	3
7	Gujarat	0	0	0	0	5	6,370	35,856	44,803	5	6,370	35,856	44,803	349	36,206
8	Haryana	0	0	0	0	1	7,051	663	1,07,487	1	7,051	663	1,07,487	483	1,146
9	Himachal Pradesh	0	0	0	0	-	-	50	-	-	-	50	-	11	61
10	Jharkhand	0	0	0	0	-	113	0	881	-	113	0	881	1	1
11	Karnataka	0	0	0	0	2	3,92,860	10,164	26,22,920	2	3,92,860	10,164	26,22,920	3,405	13,569
12	Kerala	0	0	0	0	1	347	13	10,177	1	347	13	10,177	41	55
13	Madhya Pradesh	0	0	0	0	-	340	4	1,830	-	340	4	1,830	(0)	4
14	Maharashtra	0	0	0	0	10	1,57,744	47,972	10,96,382	10	1,57,744	47,972	10,96,382	6,509	54,482
15	Manipur	0	0	0	0	-	-	-	-	-	-	-	-	-	-
16	Meghalaya	0	0	0	0	-	-	-	-	-	-	-	-	-	-
17	Mizoram	0	0	0	0	-	-	-	-	-	-	-	-	-	-
18	Nagaland	0	0	0	0	-	-	-	-	-	-	-	-	-	-
19	Odisha	0	0	0	0	4	1,631	435	1,952	4	1,631	435	1,952	21	456
20	Punjab	0	0	0	0	1	540	20	4,338	1	540	20	4,338	(0)	20
21	Rajasthan	0	0	0	0	-	924	883	38,907	-	924	883	38,907	52	935
22	Sikkim	0	0	0	0	-	-	-	-	-	-	-	-	-	-
23	Tamil Nadu	0	0	0	0	9	19,780	882	1,63,814	9	19,780	882	1,63,814	416	1,298
24	Telangana	0	0	0	0	-	1,773	643	39,847	-	1,773	643	39,847	104	747
25	Tripura	0	0	0	0	-	-	-	-	-	-	-	-	-	-
26	Uttarakhand	0	0	0	0	-	-	0	27	-	-	0	27	0	0
27	Uttar Pradesh	0	0	0	0	3	5,972	73	67,225	3	5,972	73	67,225	659	732
28	West Bengal	0	0	0	0	4	3,419	2,253	15,139	4	3,419	2,253	15,139	436	2,689
	TOTAL	0	0	0	0	44	6,02,504	1,03,686	42,40,985	44	6,02,504	1,03,686	42,40,985	12,507	1,16,193
	UNION TERRITORIES¹														
1	Andaman and Nicobar Islands	0	0	0	0	-	-	-	-	-	-	-	-	-	-
2	Chandigarh	0	0	0	0	-	18	1	306	-	18	1	306	42	43
3	Dadra and Nagar Haveli and Daman & Diu	0	0	0	0	-	-	-	-	-	-	-	-	-	-
4	Govt. of NCT of Delhi	0	0	0	0	1	3,198	518	62,107	1	3,198	518	62,107	5,702	6,220
5	Jammu & Kashmir	0	0	0	0	-	-	-	-	-	-	-	-	-	-
6	Ladakh	0	0	0	0	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	0	0	0	0	-	-	-	-	-	-	-	-	-	-
8	Puducherry	0	0	0	0	-	-	-	-	-	-	-	-	-	-
	TOTAL	0	0	0	0	1	3,216	519	62,413	1	3,216	519	62,413	5,744	6,264
	GRAND TOTAL	0	0	0	0	45	6,05,720	1,04,205	43,03,398	45	6,05,720	1,04,205	43,03,398	18,252	1,22,456
	IN INDIA														
	OUTSIDE INDIA														

Note:

¹ Any changes under States / Union Territories by Govt. of India are to be suitably incorporated in the statement²Renewal Premium has to be reported on accrual basis.

For the Quarter and Upto the Quarter information are to be shown in separate sheets

FORM L-25- (II) : Geographical Distribution of Business: GROUP

Name of the Insurer: Aditya Birla Sun Life Insurance Co Ltd

Date:

30-Sep-21 Upto the Quarter Sep 2021

Geographical Distribution of Total Business- GROUP															
Sl.No.	State / Union Territory	New Business - Rural (Group)				New Business - Urban (Group)				Total New Business (Group)				Renewal Premium ² (Rs. Lakhs)	Total Premium (New Business and Renewal ²) (Rs. Lakhs)
		No. of Schemes	No. of Lives	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)	No. of Schemes	No. of Lives	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)	No. of Schemes	No. of Lives	Premium (Rs Lakhs)	Sum Assured (Rs Lakhs)		
	STATES¹														
1	Andhra Pradesh	0	0	0	0	5	4,619	37	33,094	5	4,619	37	33,094	16	53
2	Arunachal Pradesh	0	0	0	0	-	-	-	-	-	-	-	-	-	-
3	Assam	0	0	0	0	-	36	0	347	-	36	0	347	3	3
4	Bihar	0	0	0	0	-	-	-	-	-	-	-	-	-	-
5	Chhattisgarh	0	0	0	0	-	83	3,751	1,125	-	83	3,751	1,125	(0)	3,750
6	Goa	0	0	0	0	-	92	1	886	-	92	1	886	2	2
7	Gujarat	0	0	0	0	6	19,931	37,751	1,23,699	6	19,931	37,751	1,23,699	513	38,264
8	Haryana	0	0	0	0	2	13,615	2,940	1,95,636	2	13,615	2,940	1,95,636	806	3,747
9	Himachal Pradesh	0	0	0	0	-	714	51	15,729	-	714	51	15,729	21	72
10	Jharkhand	0	0	0	0	-	573	2	6,660	-	573	2	6,660	1	3
11	Karnataka	0	0	0	0	5	5,35,904	11,663	37,75,727	5	5,35,904	11,663	37,75,727	7,501	19,164
12	Kerala	0	0	0	0	1	839	666	32,009	1	839	666	32,009	163	828
13	Madhya Pradesh	0	0	0	0	2	796	9	3,520	2	796	9	3,520	65	74
14	Maharashtra	0	0	0	0	21	2,31,262	61,094	18,65,710	21	2,31,262	61,094	18,65,710	9,362	70,456
15	Manipur	0	0	0	0	-	-	-	-	-	-	-	-	-	-
16	Meghalaya	0	0	0	0	-	-	-	-	-	-	-	-	-	-
17	Mizoram	0	0	0	0	-	-	-	-	-	-	-	-	-	-
18	Nagaland	0	0	0	0	-	-	-	-	-	-	-	-	-	-
19	Odisha	0	0	0	0	6	2,213	436	3,480	6	2,213	436	3,480	28	464
20	Punjab	0	0	0	0	1	2,108	26	20,798	1	2,108	26	20,798	(0)	25
21	Rajasthan	0	0	0	0	3	4,080	1,392	45,451	3	4,080	1,392	45,451	51	1,443
22	Sikkim	0	0	0	0	-	-	-	-	-	-	-	-	-	-
23	Tamil Nadu	0	0	0	0	16	29,260	1,511	2,54,087	16	29,260	1,511	2,54,087	618	2,128
24	Telangana	0	0	0	0	1	3,451	670	70,449	1	3,451	670	70,449	347	1,017
25	Tripura	0	0	0	0	-	-	-	-	-	-	-	-	-	-
26	Uttarakhand	0	0	0	0	-	-	0	27	-	-	0	27	0	0
27	Uttar Pradesh	0	0	0	0	10	19,233	2,795	1,32,832	10	19,233	2,795	1,32,832	742	3,537
28	West Bengal	0	0	0	0	10	4,904	8,987	24,632	10	4,904	8,987	24,632	579	9,566
	TOTAL	0	0	0	0	89	8,73,713	1,33,780	66,05,899	89	8,73,713	1,33,780	66,05,899	20,816	1,54,597
	UNION TERRITORIES¹														
1	Andaman and Nicobar Islands	0	0	0	0	-	-	-	-	-	-	-	-	-	-
2	Chandigarh	0	0	0	0	-	132	2	1,827	-	132	2	1,827	42	44
3	Dadra and Nagar Haveli and Daman & Diu	0	0	0	0	-	-	-	-	-	-	-	-	-	-
4	Govt. of NCT of Delhi	0	0	0	0	1	7,269	562	1,37,217	1	7,269	562	1,37,217	5,848	6,411
5	Jammu & Kashmir	0	0	0	0	-	-	-	-	-	-	-	-	-	-
6	Ladakh	0	0	0	0	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	0	0	0	0	-	-	-	-	-	-	-	-	-	-
8	Puducherry	0	0	0	0	-	-	-	-	-	-	-	-	-	-
	TOTAL	0	0	0	0	1	7,401	564	1,39,044	1	7,401	564	1,39,044	5,890	6,454
	GRAND TOTAL	0	0	0	0	90	8,81,114	1,34,344	67,44,943	90	8,81,114	1,34,344	67,44,943	26,707	1,61,051
	IN INDIA														
	OUTSIDE INDIA														

Note:

¹ Any changes under States / Union Territories by Govt. of India are to be suitably incorporated in the statement²Renewal Premium has to be reported on accrual basis.

For the Quarter and Upto the Quarter information are to be shown in separate sheets

FORM L-26-INVESTMENT ASSETS(LIFE INSURERS)

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited
Registration Number: 109
Statement as on: September 30, 2021
Statement of Investment Assets (Life Insurers)
(Business within India)
Periodicity of Submission: Quarterly

PART - A

Rs. Lakhs

Section I				
No	Particulars	Sch	Amount	
1	Investments (Shareholders)	8	2,69,599.71	
	Investments (Policyholders)	8A	24,18,281.05	
	Investments (Linked Liabilities)	8B	30,23,936.23	
2	Loans	9	25,688.21	
3	Fixed Assets	10	9,334.73	
4	Current Assets		0.00	
	a. Cash & Bank Balance	11	25,568.47	
	b. Advances & Other Assets	12	1,81,106.68	
5	Current Liabilities			
	a. Current Liabilities	13	1,30,250.19	
	b. Provisions	14	12,687.30	
	c. Misc. Exp not Written Off	15	-	
	d. Debit Balance of P&L A/c		-	
Application of Funds as per Balance Sheet (A)			58,10,577.60	
Less: Other Assets			SCH	
1	Loans (if any)	9	25,688.21	
2	Fixed Assets (if any)	10	9,334.73	
3	Cash & Bank Balance (if any)	11	25,568.47	
4	Advances & Other Assets (if any)	12	1,81,106.68	
5	Current Liabilities	13	1,30,250.19	
6	Provisions	14	12,687.30	
7	Misc. Exp not Written Off	15	-	
8	Investments held outside India		-	
9	Debit Balance of P&L A/c		-	
TOTAL (B)			98,760.61	
Investment Assets (A-B)			57,11,816.99	

Reconciliation of Investment Assets
Total Investment Assets (as per Balance Sheet)
Balance Sheet Value of:

A. Life Fund
B. Pension & General Annuity and Group Business (includes group non linked AUM of INR 6,09,625 lakhs)
C. Unit Linked Funds (includes group linked AUM of INR 8,35,573 lakhs)

57,11,816.99
20,44,101.91
6,43,778.85
30,23,936.23
57,11,816.99

Section II

NON - LINKED BUSINESS												
A. LIFE FUND		% as per Reg	SH		UL-Non Unit Res	PH		Book Value (SH+PH)	Actual %	FVC Amount	Total Fund	Market Value
			Balance	FRSM		PAR	NON PAR					
			(a)	(b)	(c)	(d)	(e)	(f) = [a+b+c+d+e]	(g) = [(f) - (a)]%	(h)	(i)=(f+h)	(j)
1	Central Govt. Sec	Not Less than 25%	-	70,723.11	3,06,987.20	2,26,362.43	3,74,543.95	9,78,616.69	48.31%	-	9,78,616.69	10,34,128.59
2	Central Govt. Sec, State Govt Sec or Other Approved Securities (incl (i) above)	Not Less than 50%	-	95,612.41	3,31,852.00	2,67,286.17	4,04,880.58	10,99,631.16	54.29%	-	10,99,631.16	11,60,257.48
3	Investment subject to Exposure Norms											-
a.	Infrastructure/ Social/ Housing Sector											-
	1. Approved Investments	Not Less than 15%	-	1,06,435.61	1,38,672.84	1,68,957.48	1,69,189.70	5,83,255.63	28.79%	2,081.48	5,85,337.11	6,22,549.53
	2. Other Investments		-	2,593.91	1,602.23	2,157.63	1,954.83	8,308.60	0.41%	1,297.73	9,806.33	9,901.06
b.	i) Approved Investments	Not exceeding 35%	-	47,161.00	77,009.27	81,945.20	93,956.22	3,00,071.69	14.81%	8,589.02	3,08,660.71	3,28,420.11
	ii) Other Investments		-	13,680.12	7,281.82	4,533.08	8,884.28	34,379.29	1.70%	6,487.31	40,866.60	41,616.35
TOTAL LIFE FUND			-	2,65,483.05	5,56,418.16	5,24,879.55	6,78,865.60	20,25,646.36	100.00%	18,455.55	20,44,101.91	21,62,744.55

B. PENSION & GENERAL ANNUITY AND GROUP BUSINESS		% as per Reg	PH		Book Value	Actual %	FVC Amount	Total Fund*	Market Value
			PAR	NON PAR					
			(a)	(b)	(c)= (a+b)	(d)	(e)	(f)=(c+e)	(g)
1	Central Govt. Sec	Not Less than 20%	-	2,36,941.99	2,36,941.99	36.96%	-	2,36,941.99	2,49,671.99
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)	Not Less than 40%	-	3,01,492.53	3,01,492.53	47.03%	-	3,01,492.53	3,16,807.88
3	Balance in Approved investment	Not Exceeding 60%	-	3,39,544.08	3,39,544.08	52.97%	2,742.25	3,42,286.33	3,64,392.44
TOTAL PENSION, GENERAL ANNUITY FUND			-	6,41,036.60	6,41,036.60	100.00%	2,742.25	6,43,778.85	6,81,200.32

LINKED BUSINESS

C. LINKED FUNDS		% as per Reg	PH		Total Fund*	Actual %
			PAR	NON PAR		
			(a)	(b)	(c) = (a+b)	(d)
1	Approved Investments	Not Less than 75%	-	28,60,846.53	28,60,846.53	94.61%
2	Other Investments	Not More than 25%	-	1,63,089.70	1,63,089.70	5.39%
TOTAL LINKED INSURANCE FUND		100%	-	30,23,936.23	30,23,936.23	100.00%

* Including Group business of INR 6,09,625 lakhs under non linked business and INR 8,35,573 lakhs under linked business respectively.

CERTIFICATION:

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: October 15, 2021.

Signature: _____
Full name: Sandesh Joshi
Chief Financial Officer

FORM L-27-UNIT LINKED BUSINESS-3A
FORM 3A

Unit Linked Insurance Business

Name of the Insurer : Aditya Birla Sun Life Insurance

Company Ltd.

Registration Number: 109

Link to Item 'C' of FORM 3A (Part A)

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

PARTICULARS	ULGF00112/06/01BSLGGROW TH109-Group Growth Fund	ULGF00212/06/01BSLGS ECURE109-Group Secure Fund	ULGF00312/06/01BSLGS STABLE109-Group Stable Fund	ULGF00416/07/02BSLGF XINT109-Group Fixed Interest Fund	ULGF00530/05/03BSLI GRBOND109-Group Bond Fund	ULGF00630/05/03BSLI IGRGILT109-Group Gilt Fund	ULGF00824/08/04BSLI GRMMKT109-Group Money Market Fund	ULGF01026/11/07BSLI GGRADV109-Group Growth Advantage Fund
Opening Balance (Market Value)	57,853.32	3,53,015.43	86,308.62	2,00,298.10	45,301.59	1,959.57	12,641.24	9,281.75
Add: Inflow during the Quarter	4,071.79	28,762.62	947.08	11,371.53	1,459.30	1,287.22	84.67	125.94
Increase / (Decrease) Value of Inv [Net]	3,856.34	14,584.72	4,763.84	4,961.05	949.95	77.62	92.04	740.94
Less: Outflow during the Quarter	(5,235.82)	(7,694.46)	(1,533.78)	(10,054.69)	(2,549.38)	(13.75)	(658.17)	(95.92)
TOTAL INVESTIBLE FUNDS (MKT VALUE)	60,545.63	3,88,668.31	90,485.76	2,06,575.99	45,161.46	3,310.65	12,159.78	10,052.71

INVESTMENT OF UNIT FUND	ULGF00112/06/01BSLGGROW TH109-Group Growth Fund		ULGF00212/06/01BSLGS ECURE109-Group Secure Fund		ULGF00312/06/01BSLGS STABLE109-Group Stable Fund		ULGF00416/07/02BSLGF XINT109-Group Fixed Interest Fund		ULGF00530/05/03BSLI GRBOND109-Group Bond Fund		ULGF00630/05/03BSLI IGRGILT109-Group Gilt Fund		ULGF00824/08/04BSLI GRMMKT109-Group Money Market Fund		ULGF01026/11/07BSLI GGRADV109-Group Growth Advantage	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																
Central Govt Securities	12,523.16	20.68%	1,31,959.58	33.95%	29,245.55	32.32%	74,233.82	35.94%	-	0.00%	2,367.78	71.52%	2,822.04	23.21%	2,065.94	20.55%
State Government Securities	1,888.13	3.12%	10,073.89	2.59%	2,769.50	3.06%	427.04	0.21%	-	0.00%	-	0.00%	1,394.96	11.47%	-	0.00%
Other Approved Securities	753.79	1.24%	393.15	0.10%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Corporate Bonds	6,675.94	11.03%	69,882.53	17.98%	16,865.60	18.64%	54,029.11	26.15%	21,087.37	46.69%	-	0.00%	1,170.57	9.63%	758.53	7.55%
Infrastructure Bonds	7,086.69	11.70%	68,720.49	17.68%	5,960.96	6.59%	59,277.07	28.70%	20,060.63	44.42%	-	0.00%	2,995.97	24.64%	628.61	6.25%
Equity	27,009.75	44.61%	67,936.54	17.48%	28,272.59	31.25%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	5,356.10	53.28%
Money Market Investments	1,607.50	2.66%	26,623.50	6.85%	3,473.00	3.84%	7,404.95	3.58%	3,458.91	7.66%	891.00	26.91%	3,445.36	28.33%	691.00	6.87%
Mutual funds	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Deposit with Banks	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Sub Total (A)	57,544.96	95.04%	3,75,589.68	96.64%	86,587.20	95.69%	1,95,371.99	94.58%	44,606.91	98.77%	3,258.78	98.43%	11,828.90	97.28%	9,500.18	94.50%
Current Assets:																
Accrued Interest	727.38	1.20%	6,882.25	1.77%	1,491.29	1.65%	6,189.92	3.00%	1,464.19	3.24%	51.13	1.54%	319.22	2.63%	93.60	0.93%
Dividend Recievable	31.28	0.05%	76.51	0.02%	33.00	0.04%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	6.08	0.06%
Bank Balance	0.74	0.00%	3.52	0.00%	1.71	0.00%	1.32	0.00%	0.54	0.00%	0.84	0.03%	0.87	0.01%	0.34	0.00%
Receivable for Sale of Investments	75.56	0.12%	103.34	0.03%	86.55	0.10%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	14.12	0.14%
Other Current Assets (for Investments)	6.95	0.01%	520.62	0.13%	5.53	0.01%	4,016.28	1.94%	119.09	0.26%	0.02	0.00%	11.18	0.09%	(0.00)	0.00%
Less: Current Liabilities																
Payable for Investments	-	0.00%	-	0.00%	-	0.00%	(1,841.38)	-0.89%	(1,027.46)	-2.28%	-	0.00%	-	0.00%	-	0.00%
Fund Mgmt Charges Payable	(1.96)	0.00%	(12.55)	0.00%	(2.93)	0.00%	(6.55)	0.00%	(1.46)	0.00%	(0.11)	0.00%	(0.39)	0.00%	(0.33)	0.00%
Other Current Liabilities (for Investments)	(47.12)	-0.08%	(12.55)	0.00%	(18.19)	-0.02%	(38.54)	-0.02%	(0.34)	0.00%	-	0.00%	-	0.00%	-	0.00%
Sub Total (B)	792.83	1.31%	7,561.15	1.95%	1,596.97	1.76%	8,321.05	4.03%	554.56	1.23%	51.88	1.57%	330.88	2.72%	113.82	1.13%
Other Investments (<=25%)																
Corporate Bonds	-	-	-	-	-	-	2,882.95	0.01	-	-	-	-	-	-	-	-
Infrastructure Bonds	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Equity	2,207.85	3.65%	5,517.48	1.42%	2,301.59	2.54%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	438.71	4.36%
Mutual funds	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Others	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Sub Total (C)	2207.85	3.65%	5517.48	1.42%	2301.59	2.54%	2882.95	1.40%	0.00	0.00%	0.00	0.00%	0.00	0.00%	438.71	4.36%
Total (A + B + C)	60,545.63	100.00%	3,88,668.31	100.00%	90,485.76	100.00%	2,06,575.99	100.00%	45,161.46	100.00%	3,310.65	100.00%	12,159.78	100.00%	10,052.71	100.00%
Fund Carried Forward (as per LB 2)	60,545.63		3,88,668.31		90,485.76		2,06,575.99		45,161.46		3,310.65		12,159.78		10,052.71	

Date : October 15, 2021

Note:

1. The aggregate of all the above Segregated Unit-Funds reconciles with item C of FORM 3A (Part A), for both Par & Non Par Business

2. Other Investments' are as permitted under Sec 27A(2)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer : Aditya Birla Sun Life Insurance

Company Ltd.

Registration Number: 109

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

PARTICULARS	ULGF01322/09/08BSL GSHTDBT109-Group Short Term Debt Fund	ULGF01425/02/10BSL GINCADV109-Group Income Advantage Fund	ULGF01728/11/11B SLGFXINT2109- Group Fixed Interest Fund II	ULGF01828/11/11BSL GROWTH2109-Group Growth Fund II	ULGF01928/11/11 BSLGRMMKT2109- Group Money Market Fund II	ULGF02128/11/11BSL GSHTDB2109-Group Short Term Debt Fund II	ULGF02228/11/11BSL GSTABL2109-Group Stable Fund II	ULIF00113/03/01BSLB UILDER109-Individual Builder Fund
Opening Balance (Market Value)	4,749.48	4,609.83	262.24	5,196.49	33.92	3,206.94	281.46	27,804.10
Add: Inflow during the Quarter	7.24	3.39	0.55	0.32	-	0.26	32.16	1,069.13
Increase / (Decrease) Value of Inv [Net]	74.74	96.27	6.00	352.04	0.31	48.88	14.53	1,018.47
Less: Outflow during the Quarter	(337.13)	-	(4.67)	(10.14)	(0.06)	(6.55)	(6.09)	(1,512.30)
TOTAL INVESTIBLE FUNDS (MKT VALUE)	4,494.33	4,709.49	264.12	5,538.70	34.17	3,249.53	322.06	28,379.39

INVESTMENT OF UNIT FUND	ULGF01322/09/08BSL GSHTDBT109-Group Short Term Debt	ULGF01425/02/10BSL GINCADV109-Group Income Advantage	ULGF01728/11/11B SLGFXINT2109- Group Fixed	ULGF01828/11/11BSL GROWTH2109-Group Growth Fund II	ULGF01928/11/11 BSLGRMMKT2109- Group Money	ULGF02128/11/11BSL GSHTDB2109-Group Short Term Debt	ULGF02228/11/11BSL GSTABL2109-Group Stable Fund II	ULIF00113/03/01BSLB UILDER109-Individual Builder Fund
	Actual Inv. % Actual	Actual Inv. % Actual	Actual Inv. % Actual	Actual Inv. % Actual	Actual Inv. % Actual	Actual Inv. % Actual	Actual Inv. % Actual	Actual Inv. % Actual
Approved Investments (>=75%)								
Central Govt Securities	813.75 18.11%	1,487.26 31.58%	246.33 93.27%	1,605.83 28.99%	25.73 75.28%	907.58 27.93%	85.53 26.56%	10,414.62 36.70%
State Government Securities	- 0.00%	- 0.00%	- 0.00%	108.80 1.96%	- 0.00%	306.51 9.43%	8.16 2.53%	- 0.00%
Other Approved Securities	- 0.00%	88.83 1.89%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%
Corporate Bonds	1,096.28 24.39%	1,768.52 37.55%	- 0.00%	103.56 1.87%	- 0.00%	356.44 10.97%	- 0.00%	4,474.41 15.77%
Infrastructure Bonds	1,628.67 36.24%	1,112.45 23.62%	- 0.00%	638.54 11.53%	- 0.00%	967.70 29.78%	11.10 3.45%	5,568.64 19.62%
Equity	- 0.00%	- 0.00%	- 0.00%	2,445.33 44.15%	- 0.00%	- 0.00%	95.40 29.62%	5,172.29 18.23%
Money Market Investments	1,014.00 22.56%	110.50 2.35%	17.00 6.44%	378.00 6.82%	7.50 21.95%	643.00 19.79%	111.50 34.62%	1,867.00 6.58%
Mutual funds	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%
Deposit with Banks	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%
Sub Total (A)	4,552.70 101.30%	4,567.57 96.99%	263.33 99.70%	5,280.06 95.33%	33.23 97.23%	3,181.24 97.90%	311.69 96.78%	27,496.97 96.89%
Current Assets:								
Accrued Interest	145.70 3.24%	138.41 2.94%	0.36 0.14%	44.24 0.80%	0.62 1.82%	67.75 2.08%	1.74 0.54%	395.34 1.39%
Dividend Recievable	- 0.00%	- 0.00%	- 0.00%	2.83 0.05%	- 0.00%	- 0.00%	0.11 0.03%	7.08 0.02%
Bank Balance	0.65 0.01%	0.66 0.01%	0.43 0.16%	0.69 0.01%	0.32 0.95%	0.55 0.02%	0.26 0.08%	1.36 0.00%
Receivable for Sale of Investments	- 0.00%	- 0.00%	- 0.00%	6.79 0.12%	- 0.00%	- 0.00%	0.09 0.03%	- 0.00%
Other Current Assets (for Investments)	- 0.00%	3.00 0.06%	- 0.00%	0.00 0.00%	- 0.00%	- 0.00%	(0.00) 0.00%	121.88 0.43%
Less: Current Liabilities								
Payable for Investments	(200.12) -4.45%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%
Fund Mgmt Charges Payable	(0.15) 0.00%	(0.15) 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	(0.92) 0.00%
Other Current Liabilities (for Investments)	(4.44) -0.10%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	(54.00) -0.19%
Sub Total (B)	(58.36) -1.30%	141.92 3.01%	0.79 0.30%	54.55 0.98%	0.95 2.77%	68.30 2.10%	2.20 0.68%	470.76 1.66%
Other Investments (<=25%)								
Corporate Bonds	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%
Infrastructure Bonds	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%
Equity	- 0.00%	- 0.00%	- 0.00%	204.10 3.68%	- 0.00%	- 0.00%	8.17 2.54%	411.67 1.45%
Mutual funds	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%
Others	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%
Sub Total (C)	0.00 0.00%	0.00 0.00%	0.00 0.00%	204.10 3.68%	0.00 0.00%	0.00 0.00%	8.17 2.54%	411.67 1.45%
Total (A + B + C)	4,494.33 100.00%	4,709.49 100.00%	264.12 100.00%	5,538.70 100.00%	34.17 100.00%	3,249.53 100.00%	322.06 100.00%	28,379.39 100.00%
Fund Carried Forward (as per LB 2)	4,494.33	4,709.49	264.12	5,538.70	34.17	3,249.53	322.06	28,379.39

Date : October 15, 2021

Note:

1. The aggregate of all the above Segregated Unit-Funds reconciles with item C of FORM 3A (Part A), for both Par & Non Par Business

2. Other Investments' are as permitted under Sec 27A(2)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer : Aditya Birla Sun Life Insurance

Company Ltd.

Registration Number: 109

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

PARTICULARS	ULIF00213/03/01BSLENH ANCE109-Individual Enhancer Fund	ULIF00313/03/01BSLP ROTECT109-Individual Protector Fund	ULIF00404/03/03BSLIE NRICH109-IPP Enrich Fund	ULIF00504/03/03BSLI GROWTH109-IPP Growth Fund	ULIF00604/03/03BSL NOURISH109-IPP Nourish Fund	ULIF00704/02/04BSLCR EATOR109-Individual Creator Fund	ULIF00826/06/04BSLIIM AGNI109-Individual Magnifier Fund	ULIF00931/05/05BSL BALANCE109- Individual Balancer Fund
Opening Balance (Market Value)	6,70,170.96	36,763.48	13,180.53	2,402.07	834.58	53,564.63	1,14,326.82	3,557.89
Add: Inflow during the Quarter	8,315.21	1,060.56	53.91	2.84	5.51	2,172.55	3,149.05	43.38
Increase / (Decrease) Value of Inv [Net]	30,960.25	1,064.53	626.65	86.71	24.52	3,418.99	12,060.94	135.62
Less: Outflow during the Quarter	(26,089.42)	(1,975.23)	(480.73)	(98.41)	(19.17)	(2,616.07)	(6,565.68)	(428.65)
TOTAL INVESTIBLE FUNDS (MKT VALUE)	6,83,357.00	36,913.35	13,380.36	2,393.21	845.44	56,540.10	1,22,971.13	3,308.24

INVESTMENT OF UNIT FUND	ULIF00213/03/01BSLENH ANCE109-Individual Enhancer Fund		ULIF00313/03/01BSLP ROTECT109-Individual Protector Fund		ULIF00404/03/03BSLIE NRICH109-IPP Enrich Fund		ULIF00504/03/03BSLI GROWTH109-IPP Growth Fund		ULIF00604/03/03BSL NOURISH109-IPP Nourish Fund		ULIF00704/02/04BSLCR EATOR109-Individual Creator Fund		ULIF00826/06/04BSLIIM AGNI109-Individual Magnifier Fund		ULIF00931/05/05BSL BALANCE109- Individual Balancer Fund	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																
Central Govt Securities	2,02,885.21	29.69%	10,843.43	29.38%	3,867.44	28.90%	391.44	16.36%	149.18	17.64%	9,397.65	16.62%	836.08	0.68%	920.31	27.82%
State Government Securities	6,893.29	1.01%	-	0.00%	-	0.00%	257.42	10.76%	-	0.00%	-	0.00%	502.32	0.41%	-	0.00%
Other Approved Securities	2,731.75	0.40%	-	0.00%	184.60	1.38%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Corporate Bonds	78,069.10	11.42%	12,873.67	34.88%	825.91	6.17%	577.73	24.14%	223.60	26.45%	7,091.66	12.54%	957.80	0.78%	758.80	22.94%
Infrastructure Bonds	1,56,936.62	22.97%	8,194.62	22.20%	3,095.55	23.14%	557.19	23.28%	324.62	38.40%	7,248.28	12.82%	5,836.34	4.75%	584.76	17.68%
Equity	1,90,107.95	27.82%	3,332.35	9.03%	4,155.47	31.06%	432.39	18.07%	75.64	8.95%	25,649.03	45.36%	94,665.53	76.98%	762.75	23.06%
Money Market Investments	23,103.00	3.38%	733.00	1.99%	1,452.00	10.85%	227.00	9.49%	91.50	10.82%	4,402.50	7.79%	5,674.74	4.61%	147.50	4.46%
Mutual funds	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Deposit with Banks	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Sub Total (A)	6,60,726.92	96.69%	35,977.08	97.46%	13,580.97	101.50%	2,443.18	102.09%	864.54	102.26%	53,789.12	95.13%	1,08,472.82	88.21%	3,174.13	95.95%
Current Assets:																
Accrued Interest	11,543.71	1.69%	985.81	2.67%	203.58	1.52%	38.40	1.60%	15.24	1.80%	659.78	1.17%	403.47	0.33%	73.85	2.23%
Dividend Recievable	227.85	0.03%	4.80	0.01%	4.93	0.04%	0.52	0.02%	0.09	0.01%	31.74	0.06%	145.88	0.12%	0.90	0.03%
Bank Balance	3.05	0.00%	1.14	0.00%	1.48	0.01%	0.94	0.04%	0.62	0.07%	1.09	0.00%	1.57	0.00%	0.89	0.03%
Receivable for Sale of Investments	4,364.22	0.64%	17.45	0.05%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	162.04	0.13%	3.96	0.12%
Other Current Assets (for Investments)	137.71	0.02%	22.69	0.06%	3.42	0.03%	0.10	0.00%	(0.00)	0.00%	154.04	0.27%	131.46	0.11%	0.41	0.01%
Less: Current Liabilities																
Payable for Investments	(7,417.81)	-1.09%	(300.19)	-0.81%	(707.23)	-5.29%	(121.24)	-5.07%	(40.41)	-4.78%	-	0.00%	-	0.00%	-	0.00%
Fund Mgmt Charges Payable	(27.63)	0.00%	(1.19)	0.00%	(0.54)	0.00%	(0.08)	0.00%	(0.03)	0.00%	(2.28)	0.00%	(5.34)	0.00%	(0.13)	0.00%
Other Current Liabilities (for Investments)	(357.60)	-0.05%	(24.39)	-0.07%	(3.15)	-0.02%	(0.00)	0.00%	-	0.00%	(49.89)	-0.09%	(195.85)	-0.16%	(0.42)	-0.01%
Sub Total (B)	8,473.49	1.24%	706.12	1.91%	(497.50)	-3.72%	(81.35)	-3.40%	(24.49)	-2.90%	794.48	1.41%	643.23	0.52%	79.46	2.40%
Other Investments (<=25%)																
Corporate Bonds	345.95	0.00	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Infrastructure Bonds	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Equity	13,810.64	2.02%	230.15	0.62%	296.90	2.22%	31.38	1.31%	5.39	0.64%	1,956.49	3.46%	13,855.08	11.27%	54.65	1.65%
Mutual funds	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Others	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Sub Total (C)	14156.59	2.07%	230.15	0.62%	296.90	2.22%	31.38	1.31%	5.39	0.64%	1956.49	3.46%	13855.08	11.27%	54.65	1.65%
Total (A + B + C)	6,83,357.00	100.00%	36,913.35	100.00%	13,380.36	100.00%	2,393.21	100.00%	845.44	100.00%	56,540.10	100.00%	1,22,971.13	100.00%	3,308.24	100.00%
Fund Carried Forward (as per LB 2)	6,83,357.00		36,913.35		13,380.36		2,393.21		845.44		56,540.10		1,22,971.13		3,308.24	

Date : October 15, 2021

Note:

1. The aggregate of all the above Segregated Unit-Funds reconciles with item C of FORM 3A (Part A), for both Par & Non Par Business

2. Other Investments' are as permitted under Sec 27A(2)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer : Aditya Birla Sun Life Insurance

Company Ltd.

Registration Number: 109

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

PARTICULARS	ULIF01008/07/05BSLIASSURE1 09-Individual Assure Fund	ULIF01101/06/07BSLIIN MAXI109-Individual Maximiser Fund	ULIF01217/10/07BSLIINM ULTI109-Individual Multiplier Fund	ULIF01507/08/08BSLIINCADV1 09-Individual Income Advantage Fund	ULIF01723/06/09BSLSUP ER20109-Individual Super 20 Fund	ULIF01911/12/09BSLI TITAN1109-Titanium Plus I	ULIF02203/02/10BSL PLATPR1109- Platinum Premier Fund I	ULIF02301/07/10B SLIDISCPF109- DISCONTINUED POLICY FUND
Opening Balance (Market Value)	33,995.48	2,18,782.83	2,06,756.60	82,698.48	1,28,867.53	0.48	2.94	0.26
Add: Inflow during the Quarter	6,786.60	10,658.76	23,111.59	8,482.78	14,310.17	(0.00)	-	104.84
Increase / (Decrease) Value of Inv [Net]	496.02	25,975.11	28,023.69	1,750.92	13,770.36	-	0.01	0.00
Less: Outflow during the Quarter	(6,783.28)	(15,942.59)	(15,609.63)	(8,323.99)	(13,849.40)	-	-	(104.84)
TOTAL INVESTIBLE FUNDS (MKT VALUE)	34,494.82	2,39,474.10	2,42,282.25	84,608.18	1,43,098.65	0.48	2.95	0.26

INVESTMENT OF UNIT FUND	ULIF01008/07/05BSLIASSURE1 09-Individual Assure Fund		ULIF01101/06/07BSLIIN MAXI109-Individual Maximiser Fund		ULIF01217/10/07BSLIINM ULTI109-Individual Multiplier Fund		ULIF01507/08/08BSLIINCADV1 09-Individual Income Advantage Fund		ULIF01723/06/09BSLSUP ER20109-Individual Super 20 Fund		ULIF01911/12/09BSLI TITAN1109-Titanium Plus I		ULIF02203/02/10BSL PLATPR1109- Platinum Premier Fund I		ULIF02301/07/10B SLIDISCPF109- DISCONTINUED POLICY FUND	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																
Central Govt Securities	6,028.79	17.48%	-	0.00%	-	0.00%	22,995.71	27.18%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
State Government Securities	311.15	0.90%	-	0.00%	-	0.00%	924.92	1.09%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Other Approved Securities	323.18	0.94%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Corporate Bonds	14,300.86	41.46%	-	0.00%	-	0.00%	32,579.17	38.51%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Infrastructure Bonds	10,031.81	29.08%	-	0.00%	-	0.00%	20,203.34	23.88%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Equity	-	0.00%	1,98,614.71	82.94%	1,99,951.53	82.53%	-	0.00%	1,15,042.00	80.39%	-	0.00%	-	0.00%	-	0.00%
Money Market Investments	4,951.00	14.35%	5,370.50	2.24%	9,212.00	3.80%	1,987.50	2.35%	3,822.50	2.67%	-	0.00%	2.50	84.86%	0.24	91.73%
Mutual funds	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Deposit with Banks	750.00	2.17%	-	0.00%	-	0.00%	750.00	0.89%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Sub Total (A)	36,696.78	106.38%	2,03,985.21	85.18%	2,09,163.53	86.33%	79,440.63	93.89%	1,18,864.50	83.06%	-	0.00%	2.50	84.86%	0.24	91.73%
Current Assets:																
Accrued Interest	1,247.60	3.62%	-	0.00%	-	0.00%	2,507.00	2.96%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Dividend Recievable	-	0.00%	237.09	0.10%	287.21	0.12%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Bank Balance	1.04	0.00%	1.33	0.00%	1.44	0.00%	1.05	0.00%	1.05	0.00%	0.47	99.28%	0.45	15.15%	0.02	8.35%
Receivable for Sale of Investments	-	0.00%	514.23	0.21%	6,042.92	2.49%	1,163.77	1.38%	618.42	0.43%	-	0.00%	-	0.00%	-	0.00%
Other Current Assets (for Investments)	314.99	0.91%	433.70	0.18%	2,218.13	0.92%	282.77	0.33%	630.97	0.44%	0.00	0.72%	-	0.00%	1.14	442.08%
Less: Current Liabilities																
Payable for Investments	(4,447.87)	-12.89%	(239.45)	-0.10%	(12,366.18)	-5.10%	(2,001.26)	-2.37%	(972.93)	-0.68%	-	0.00%	-	0.00%	-	0.00%
Fund Mgmt Charges Payable	(1.11)	0.00%	(10.40)	0.00%	(10.54)	0.00%	(2.73)	0.00%	(6.16)	0.00%	(0.00)	0.00%	(0.00)	0.00%	(0.00)	0.00%
Other Current Liabilities (for Investments)	(56.26)	-0.16%	(768.14)	-0.32%	(363.14)	-0.15%	(171.99)	-0.20%	(307.37)	-0.21%	-	0.00%	-	0.00%	(1.14)	-442.15%
Sub Total (B)	(2,941.61)	-8.53%	168.38	0.07%	(4,190.15)	-1.73%	1,778.62	2.10%	(36.03)	-0.03%	0.47	100.00%	0.45	15.14%	0.02	8.27%
Other Investments (<=25%)																
Corporate Bonds	739.65	0.02	-	-	-	-	2,747.80	0.03	-	-	-	-	-	-	-	-
Infrastructure Bonds	-	0.00%	-	0.00%	-	0.00%	641.13	0.76%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Equity	-	0.00%	35,320.50	14.75%	37,308.87	15.40%	-	0.00%	24,270.18	16.96%	-	0.00%	-	0.00%	-	0.00%
Mutual funds	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Others	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Sub Total (C)	739.65	2.14%	35320.50	14.75%	37308.87	15.40%	3388.93	4.01%	24270.18	16.96%	0.00	0.00%	0.00	0.00%	0.00	0.00%
Total (A + B + C)	34,494.82	100.00%	2,39,474.10	100.00%	2,42,282.25	100.00%	84,608.18	100.00%	1,43,098.65	100.00%	0.47	100.00%	2.95	100.00%	0.26	100.00%
Fund Carried Forward (as per LB 2)	34,494.82		2,39,474.10		2,42,282.25		84,608.18		1,43,098.65		0.47		2.95		0.26	

Date : October 15, 2021

Note:

1. The aggregate of all the above Segregated Unit-Funds reconciles with item C of FORM 3A (Part A), for both Par & Non Par Business

2. Other Investments' are as permitted under Sec 27A(2)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer : Aditya Birla Sun Life Insurance

Company Ltd.

Registration Number: 109

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

PARTICULARS	ULIF02408/09/10BSLPL ATADV109-PLATINUM ADVANTAGE FUND	ULIF02510/02/11BSLFS ITSP1109-Foresight SP Fund - I	ULIF02610/02/11BSLF SITSP1109-Foresight SP Fund - I	ULIF02707/10/11BSLIPU REEQ109-Individual Pure Equity Fund	ULIF02807/10/11BSLI QPLUS109-Individual Liquid Plus Fund	ULIF03127/08/13BSLI NADGT109-BSLI INCOME ADVANTAGE GUARANTEED FUND	ULIF03027/08/13BS LIMAXGT109-BSLI MAXIMISER GUARANTEED	ULIF03205/07/13BSLIL DIS109-BSLI LINKED DISCONTINUED POLICY FUND
Opening Balance (Market Value)	25,904.91	1,19,270.25	4,344.28	87,847.13	26,397.15	12,958.05	805.49	94,951.90
Add: Inflow during the Quarter	119.25	(447.35)	194.05	11,869.20	13,460.16	1,204.13	22.53	24,665.56
Increase / (Decrease) Value of Inv [Net]	105.41	683.05	14.46	10,796.52	200.87	227.18	84.79	805.90
Less: Outflow during the Quarter	(4,602.95)	(24,243.53)	(2,127.56)	(5,902.38)	(12,744.77)	(1,618.38)	(58.66)	(21,816.57)
TOTAL INVESTIBLE FUNDS (MKT VALUE)	21,526.63	95,262.42	2,425.24	1,04,610.47	27,313.41	12,770.98	854.15	98,606.79

INVESTMENT OF UNIT FUND	ULIF02408/09/10BSLPL ATADV109-PLATINUM ADVANTAGE FUND	ULIF02510/02/11BSLFS ITSP1109-Foresight SP Fund - I	ULIF02610/02/11BSLF SITSP1109-Foresight SP Fund - I	ULIF02707/10/11BSLIPU REEQ109-Individual Pure Equity Fund	ULIF02807/10/11BSLI QPLUS109-Individual Liquid Plus Fund	ULIF03127/08/13BSLI NADGT109-BSLI INCOME ADVANTAGE	ULIF03027/08/13BS LIMAXGT109-BSLI MAXIMISER	ULIF03205/07/13BSLIL DIS109-BSLI LINKED DISCONTINUED POLICY
	Actual Inv. % Actual	Actual Inv. % Actual	Actual Inv. % Actual	Actual Inv. % Actual	Actual Inv. % Actual	Actual Inv. % Actual	Actual Inv. % Actual	Actual Inv. % Actual
Approved Investments (>=75%)								
Central Govt Securities	4,101.92 19.06%	43,574.17 45.74%	165.88 6.84%	- 0.00%	1,845.75 6.76%	3,413.82 26.73%	- 0.00%	43,589.94 44.21%
State Government Securities	- 0.00%	3,258.70 3.42%	- 0.00%	- 0.00%	1,694.49 6.20%	219.41 1.72%	- 0.00%	- 0.00%
Other Approved Securities	- 0.00%	2,201.61 2.31%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%
Corporate Bonds	205.77 0.96%	12,461.51 13.08%	- 0.00%	9.72 0.01%	4,874.38 17.85%	1,486.46 11.64%	- 0.00%	- 0.00%
Infrastructure Bonds	93.33 0.43%	26,992.82 28.34%	1,063.51 43.85%	- 0.00%	7,376.31 27.01%	4,983.58 39.02%	- 0.00%	- 0.00%
Equity	- 0.00%	- 0.00%	- 0.00%	87,648.74 83.79%	- 0.00%	- 0.00%	717.54 84.01%	- 0.00%
Money Market Investments	17,249.31 80.13%	5,263.03 5.52%	1,371.00 56.53%	7,678.00 7.34%	10,054.27 36.81%	1,382.50 10.83%	3.00 0.35%	54,966.66 55.74%
Mutual funds	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%
Deposit with Banks	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%
Sub Total (A)	21,650.33 100.57%	93,751.84 98.41%	2,600.39 107.22%	95,336.47 91.13%	25,845.19 94.62%	11,485.77 89.94%	720.54 84.36%	98,556.60 99.95%
Current Assets:								
Accrued Interest	86.51 0.40%	2,698.79 2.83%	70.87 2.92%	0.17 0.00%	609.79 2.23%	251.18 1.97%	- 0.00%	777.92 0.79%
Dividend Receivable	- 0.00%	- 0.00%	- 0.00%	66.74 0.06%	- 0.00%	- 0.00%	0.97 0.11%	- 0.00%
Bank Balance	1.13 0.01%	1.24 0.00%	1.23 0.05%	1.17 0.00%	0.71 0.00%	0.64 0.01%	0.42 0.05%	1.29 0.00%
Receivable for Sale of Investments	- 0.00%	- 0.00%	- 0.00%	206.19 0.20%	- 0.00%	- 0.00%	- 0.00%	- 0.00%
Other Current Assets (for Investments)	0.00 0.00%	- 0.00%	- 0.00%	612.64 0.59%	892.83 3.27%	54.55 0.43%	2.58 0.30%	260.42 0.26%
Less: Current Liabilities								
Payable for Investments	- 0.00%	- 0.00%	- 0.00%	(319.89) -0.31%	- 0.00%	(444.54) -3.48%	- 0.00%	- 0.00%
Fund Mgmt Charges Payable	(1.05) 0.00%	(5.46) -0.01%	(0.14) -0.01%	(4.54) 0.00%	(0.86) 0.00%	(0.52) 0.00%	(0.04) -0.01%	(1.61) 0.00%
Other Current Liabilities (for Investments)	(210.29) -0.98%	(1,183.99) -1.24%	(247.11) -10.19%	(109.43) -0.10%	(136.05) -0.50%	(68.92) -0.54%	(10.50) -1.23%	(987.83) -1.00%
Sub Total (B)	(123.70) -0.57%	1,510.59 1.59%	(175.15) -7.22%	453.04 0.43%	1,366.42 5.00%	(207.61) -1.63%	(6.57) -0.77%	50.19 0.05%
Other Investments (<=25%)								
Corporate Bonds	- -	- -	- -	- -	101.80 0.00	1,492.82 0.12	- -	- -
Infrastructure Bonds	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%
Equity	- 0.00%	- 0.00%	- 0.00%	8,820.97 8.43%	- 0.00%	- 0.00%	140.18 16.41%	- 0.00%
Mutual funds	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%
Others	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%	- 0.00%
Sub Total (C)	0.00 0.00%	0.00 0.00%	0.00 0.00%	8820.97 8.43%	101.80 0.37%	1492.82 11.69%	140.18 16.41%	0.00 0.00%
Total (A + B + C)	21,526.63 100.00%	95,262.42 100.00%	2,425.24 100.00%	1,04,610.47 100.00%	27,313.41 100.00%	12,770.98 100.00%	854.15 100.00%	98,606.79 100.00%
Fund Carried Forward (as per LB 2)	21,526.63	95,262.42	2,425.24	1,04,610.47	27,313.41	12,770.98	854.15	98,606.79

Date : October 15, 2021

Note:

1. The aggregate of all the above Segregated Unit-Funds reconciles with item C of FORM 3A (Part A), for both Par & Non Par Business

2. Other Investments' are as permitted under Sec 27A(2)

FORM L-27-UNIT LINKED BUSINESS-3A

FORM 3A

Unit Linked Insurance Business

Name of the Insurer : Aditya Birla Sun Life Insurance

Company Ltd.

Registration Number: 109

Periodicity of Submission: Quarterly

Statement as on: September 30, 2021

Rs in Lakhs

PARTICULARS	ULIF03305/07/13BSLI PNDIS109-BSLI PENSION DISCONTINUED	ULIF02907/10/11BSLIV ALUEM109-Individual Value Momentum Fund	ULIF03530/10/14BSLIC NFIDX109-BSLI CAPPED NIFTY INDEX FUND	ULIF03430/10/14BSLIA STALC109-BSLI ASSET ALLOCATION FUND	ULIF03722/06/18ABSLI MUMNC109-Individual MNC Fund	Total of All Funds
Opening Balance (Market Value)	8,251.59	58,043.67	12,652.12	23,063.46	22,386.73	28,75,586.37
Add: Inflow during the Quarter	1,612.02	4,397.53	991.47	2,353.27	3,501.97	1,91,424.75
Increase / (Decrease) Value of Inv [Net]	73.66	3,785.27	1,454.34	827.43	1,068.08	1,70,158.99
Less: Outflow during the Quarter	(1,619.21)	(3,792.62)	(1,146.75)	(2,924.72)	(2,035.77)	(2,13,233.88)
TOTAL INVESTIBLE FUNDS (MKT VALUE)	8,318.06	62,433.85	13,951.18	23,319.43	24,921.01	30,23,936.23

INVESTMENT OF UNIT FUND	ULIF03305/07/13BSLI PNDIS109-BSLI PENSION		ULIF02907/10/11BSLIV ALUEM109-Individual Value Momentum		ULIF03530/10/14BSLIC NFIDX109-BSLI CAPPED NIFTY INDEX FUND		ULIF03430/10/14BSLIA STALC109-BSLI ASSET ALLOCATION FUND		ULIF03722/06/18ABSLI MUMNC109-Individual MNC Fund		Total of All Funds	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)												
Central Govt Securities	3,806.46	45.76%	-	0.00%	-	0.00%	4,198.40	18.00%	-	0.00%	6,33,816.10	20.96%
State Government Securities	-	0.00%	-	0.00%	-	0.00%	568.95	2.44%	-	0.00%	31,607.65	1.05%
Other Approved Securities	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	6,676.91	0.22%
Corporate Bonds	-	0.00%	4.14	0.01%	-	0.00%	4,201.96	18.02%	3.81	0.02%	3,49,774.92	11.57%
Infrastructure Bonds	-	0.00%	-	0.00%	-	0.00%	4,571.40	19.60%	-	0.00%	4,32,751.59	14.31%
Equity	-	0.00%	55,488.72	88.88%	13,144.45	94.22%	5,018.40	21.52%	20,755.43	83.28%	11,51,850.65	38.09%
Money Market Investments	4,581.05	55.07%	1,116.50	1.79%	145.50	1.04%	4,074.49	17.47%	2,471.50	9.92%	2,23,278.00	7.38%
Mutual funds	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Deposit with Banks	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	1,500.00	0.05%
Sub Total (A)	8,387.51	100.83%	56,609.36	90.67%	13,289.95	95.26%	22,633.61	97.06%	23,230.74	93.22%	28,31,255.81	93.63%
Current Assets:												
Accrued Interest	80.09	0.96%	0.07	0.00%	-	0.00%	397.10	1.70%	0.07	0.00%	40,664.13	1.34%
Dividend Recievable	-	0.00%	123.48	0.20%	22.27	0.16%	4.99	0.02%	19.41	0.08%	1,335.77	0.04%
Bank Balance	0.60	0.01%	0.90	0.00%	0.60	0.00%	0.66	0.00%	0.82	0.00%	43.84	0.00%
Receivable for Sale of Investments	-	0.00%	1,164.49	1.87%	-	0.00%	-	0.00%	-	0.00%	14,544.15	0.48%
Other Current Assets (for Investments)	10.33	0.12%	119.60	0.19%	45.50	0.33%	58.70	0.25%	311.68	1.25%	11,504.92	0.38%
Less: Current Liabilities												
Payable for Investments	-	0.00%	-	0.00%	-	0.00%	-	0.00%	(245.02)	-0.98%	(32,692.99)	-1.08%
Fund Mgmt Charges Payable	(0.14)	0.00%	(2.71)	0.00%	(0.56)	0.00%	(0.94)	0.00%	(1.08)	0.00%	(115.29)	0.00%
Other Current Liabilities (for Investments)	(160.33)	-1.93%	(35.12)	-0.06%	(9.13)	-0.07%	(33.65)	-0.14%	(26.95)	-0.11%	(5,693.83)	-0.19%
Sub Total (B)	(69.45)	-0.83%	1,370.71	2.20%	58.68	0.42%	426.85	1.83%	58.92	0.24%	29,590.72	0.98%
Other Investments (<=25%)												
Corporate Bonds	-	-	-	-	-	-	-	-	-	-	8,310.98	0.27%
Infrastructure Bonds	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	641.13	0.02%
Equity	-	0.00%	4,453.77	7.13%	602.55	4.32%	258.98	1.11%	1,631.35	6.55%	1,54,137.60	5.10%
Mutual funds	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Others	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Sub Total (C)	0.00	0.00%	4453.77	7.13%	602.55	4.32%	258.98	1.11%	1631.35	6.55%	1,63,089.70	5.39%
Total (A + B + C)	8,318.06	100.00%	62,433.85	100.00%	13,951.18	100.00%	23,319.43	100.00%	24,921.01	100.00%	30,23,936.23	100.00%
Fund Carried Forward (as per LB 2)	8,318.06		62,433.85		13,951.18		23,319.43		24,921.01		30,23,936.23	

Date : October 15, 2021

Note:

1. The aggregate of all the above Segregated Unit-Funds reconciles with item C of FORM 3A (Part A), for both Par & Non Par Business

2. Other Investments' are as permitted under Sec 27A(2)

Sandesh Joshi

Chief Financial Officer

FORM L-28-ULIP-NAV-3A

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Link to FORM 3A (Part B)

Statement for the period: September 30, 2021

Periodicity of Submission: Quarterly

Statement of NAV of Segregated Funds

(Rs.Lakhs)

No	Fund Name	SFIN	Date of Launch	Par/Non Par	Assets Under Management	NAV as per LB 2	NAV as on the above date ¹	Previous Qtr NAV	2nd Previous Qtr NAV	3rd Previous Qtr NAV	4th Previous Qtr NAV	Return/Yield	3 Year Rolling CAGR	Highest NAV since inception
1	Group Fixed Interest Fund Plan-1	ULGF00416/07/02BSLGFIXINT109	18-Nov-02	Non Par	2,06,575.99	48.3166	48.3166	47.1319	46.6463	46.9583	45.7179	5.68%	10.16%	48.4171
2	Group Fixed Interest Fund -2	ULGF01728/11/11BSLGFIXINT2109	28-Nov-11	Non Par	264.12	25.8943	25.8943	25.3094	24.9055	25.1469	24.3675	6.27%	10.60%	25.9112
3	Group Gilt Fund Plan-1	ULGF00630/05/03BSLGRGILT109	28-Apr-04	Non Par	3,310.65	34.5032	34.5032	33.6763	33.9554	34.2736	33.1682	4.02%	10.09%	34.5499
4	Group Bond Fund Plan-1	ULGF00530/05/03BSLIGRBOND109	28-Jan-07	Non Par	45,161.46	40.2478	40.2478	39.4034	38.8959	38.5878	37.6313	6.95%	9.10%	40.2973
5	Group Money Market Fund Plan-1	ULGF00824/08/04BSLIGRMMKT109	30-Mar-05	Non Par	12,159.78	36.5328	36.5328	36.2650	36.0020	35.7505	35.3962	3.21%	5.08%	36.5328
6	Group Money Market Fund -2	ULGF01928/11/11BSLGRMMKT2109	28-Nov-11	Non Par	34.17	19.5938	19.5938	19.4162	19.2566	19.1065	18.9264	3.53%	4.79%	19.6080
7	Group Short Term Debt Fund Plan-1	ULGF01322/09/08BSLGSHTDBT109	10-Dec-08	Non Par	4,494.33	27.7784	27.7784	27.3379	26.9610	26.9329	26.2899	5.66%	8.20%	27.8197
8	Group Short Term Debt Fund -2	ULGF02128/11/11BSLGSHTDB2109	28-Nov-11	Non Par	3,249.53	22.5910	22.5910	22.2514	22.0097	21.9002	21.6028	4.57%	7.20%	22.6106
9	Group Secure Fund Plan-1	ULGF00212/06/01BSLGSSECURE109	19-Jun-01	Non Par	3,88,668.31	73.4086	73.4086	70.5321	68.8641	68.4792	64.6366	13.57%	11.06%	73.6989
10	Group Stable Fund Plan-1	ULGF00312/06/01BSLGSTABLE109	31-Aug-01	Non Par	90,485.76	105.6845	105.6845	100.1332	96.8120	95.5530	88.0918	19.97%	12.39%	106.2522
11	Group Stable Fund -2	ULGF02228/11/11BSLGSTABL2109	28-Nov-11	Non Par	322.06	29.9737	29.9737	28.6050	27.5523	27.1176	24.8257	20.74%	13.40%	30.1151
12	Group Growth Fund Plan-1	ULGF00112/06/01BSLGGROWTH109	31-Aug-01	Non Par	60,545.63	136.7956	136.7956	128.1718	122.2927	119.7281	107.5190	27.23%	14.12%	137.7610
13	Group Growth Fund -2	ULGF01828/11/11BSLGGROWTH2109	28-Nov-11	Non Par	5,538.70	33.9441	33.9441	31.7880	30.3211	29.5738	26.5643	27.78%	14.57%	34.1781
14	Group Growth Advantage Fund	ULGF01026/11/07BSLIGGRADV109	18-Feb-08	Non Par	10,052.71	50.4437	50.4437	46.7261	44.3485	43.2776	38.3098	31.67%	14.71%	50.8379
15	Group Income Advantage Fund	ULGF01425/02/10BSLGINCADV109	23-Mar-10	Non Par	4,709.49	26.4931	26.4931	25.9512	25.6121	25.7223	25.0684	5.68%	9.73%	26.5765
16	Individual Assure Fund	ULIF01008/07/05BSLIASSURE109	12-Sep-05	Non Par	34,494.82	37.5003	37.5003	36.9550	36.4618	36.4997	35.6954	5.06%	8.02%	37.5792
17	Individual Income Advantage Fund	ULIF01507/08/08BSLIINCADV109	22-Aug-08	Non Par	84,608.18	33.3451	33.3451	32.6515	32.2788	32.4802	31.6179	5.46%	10.23%	33.3749
18	Individual Protector Fund	ULIF00313/03/01BSLPROTECT109	22-Mar-01	Non Par	36,913.35	53.5756	53.5756	52.0500	51.1160	51.1736	49.1955	8.90%	9.90%	53.7708
19	Individual Builder Fund	ULIF00113/03/01BSLBUILDER109	22-Mar-01	Non Par	28,379.39	72.6996	72.6996	70.1122	68.4241	68.1825	64.3314	13.01%	10.88%	73.0281
20	Individual Balancer Fund	ULIF00931/05/05BSLBALANCE109	18-Jul-05	Non Par	3,308.24	48.1998	48.1998	46.3477	45.0974	44.3198	41.2398	16.88%	12.29%	48.4472
21	Individual Enhancer Fund	ULIF00213/03/01BSLENHANCE109	22-Mar-01	Non Par	6,83,357.00	83.7548	83.7548	80.0123	77.5941	76.5739	70.5548	18.71%	11.20%	84.2382
22	Individual Creator Fund	ULIF00704/02/04BSLCREATOR109	23-Feb-04	Non Par	56,540.10	75.8767	75.8767	71.2819	68.3098	66.7052	60.3934	25.64%	13.62%	76.4491
23	Individual Magnifier Fund	ULIF00826/06/04BSLIIMAGNI109	12-Aug-04	Non Par	1,22,971.13	86.6102	86.6102	78.2363	72.6284	69.5873	58.2562	48.67%	15.43%	87.5115
24	Individual Maximiser Fund	ULIF01101/06/07BSLIINMAXI109	12-Jun-07	Non Par	2,39,474.10	46.0587	46.0587	41.1289	37.9588	36.1568	29.8998	54.04%	17.75%	46.5785
25	Individual Multiplier Fund	ULIF01217/10/07BSLINMULTI109	30-Oct-07	Non Par	2,42,282.25	56.1587	56.1587	49.5632	44.0886	40.8503	34.4266	63.13%	19.07%	56.4178
26	Individual Super 20 Fund	ULIF01723/06/09BSLSUPER20109	6-Jul-09	Non Par	1,43,098.65	45.7266	45.7266	41.3294	38.9975	37.4909	30.7291	48.81%	17.54%	46.3101
27	Individual Titanium Fund	ULIF01911/12/09BSLITITANI109	16-Dec-09	Non Par	0.47	21.4500	21.4500	21.5459	21.6412	21.7358	21.8328	-1.75%	0.99%	22.0424
28	Individual Platinum Premier	ULIF02203/02/10BSLPLATPR1109	15-Feb-10	Non Par	2.95	21.5770	21.5770	21.5268	21.4747	21.4285	21.3793	0.92%	2.57%	21.5770
29	Individual Platinum Advantage Fund	ULIF02408/09/10BSLPLATADV109	20-Sep-10	Non Par	21,526.63	19.4017	19.4017	19.3178	19.2340	19.1738	19.0995	1.58%	3.16%	19.4047
30	Individual Foresight FP	ULIF02510/02/11BSLFSITSP1109	22-Feb-11	Non Par	95,262.42	19.3434	19.3434	19.2277	19.1255	19.0908	18.9237	2.22%	4.15%	19.3542
31	Individual Foresight SP	ULIF02610/02/11BSLFSITSP1109	22-Feb-11	Non Par	2,425.24	21.9738	21.9738	21.8858	21.7912	21.7146	21.5502	1.97%	5.37%	21.9738
32	Individual Liquid Plus	ULIF02807/10/11BSLIQLPLUS109	9-Mar-12	Non Par	27,313.41	18.7836	18.7836	18.6361	18.5003	18.3641	18.1699	3.38%	5.32%	18.7836

No	Fund Name	SFIN	Date of Launch	Par/Non Par	Assets Under Management	NAV as per LB 2	NAV as on the above date ¹	Previous Qtr NAV	2nd Previous Qtr NAV	3rd Previous Qtr NAV	4th Previous Qtr NAV	Return/Yield	3 Year Rolling CAGR	Highest NAV since Inception
33	Individual Pure Equity	ULIF02707/10/11BSLIPUREEQ109	9-Mar-12	Non Par	1,04,610.47	47.9017	47.9017	42.7756	38.0145	35.4936	31.9083	50.12%	19.87%	48.4025
34	Individual Value Momentum	ULIF02907/10/11BSLIVALUEM109	9-Mar-12	Non Par	62,433.85	29.5478	29.5478	27.7495	24.8532	22.9341	19.3895	52.39%	11.49%	29.8085
35	Individual Pension Nourish Fund	ULIF00604/03/03BSLINOURISH109	12-Mar-03	Non Par	845.44	45.9950	45.9950	44.6680	43.8666	43.8036	41.8747	9.84%	10.83%	46.1440
36	Individual Pension Growth Fund	ULIF00504/03/03BSLIGROWTH109	18-Mar-03	Non Par	2,393.21	61.8823	61.8823	59.6839	58.2152	57.1110	53.6254	15.40%	11.97%	62.1166
37	Individual Pension Enrich Fund	ULIF00404/03/03BSLIENRICH109	12-Mar-03	Non Par	13,380.36	75.9098	75.9098	72.4016	69.7256	68.5735	62.9884	20.51%	12.84%	76.2981
38	Individual Discontinued Policy Fund	ULIF02301/07/10BSLIDISCPF109	24-Jan-11	Non Par	0.26	19.7816	19.7816	19.6350	19.4449	19.3288	19.2139	2.95%	3.98%	19.7816
39	Individual Income Advantage Guaranteed Fund	ULIF03127/08/13BSLIINADGT109	1-Jan-14	Non Par	12,770.98	18.7401	18.7401	18.4142	18.2181	18.3158	17.8703	4.87%	9.45%	18.7828
40	Individual Maximiser Guaranteed Fund	ULIF03027/08/13BSLIMAXGT109	1-Jan-14	Non Par	854.15	27.4190	27.4190	24.7640	23.0135	22.0085	18.2444	50.29%	16.23%	27.7493
41	Individual Linked Discontinued Policy Fund	ULIF03205/07/13BSLILDIS109	1-Jan-14	Non Par	98,606.79	15.2681	15.2681	15.1411	15.0223	14.9111	14.7781	3.32%	4.65%	15.2727
42	Individual Pension Discontinued Policy Fund	ULIF03305/07/13BSLIPNDIS109	1-Jan-14	Non Par	8,318.06	15.2260	15.2260	15.0911	14.9694	14.8649	14.7113	3.50%	4.62%	15.2275
43	Individual Asset Allocation Fund	ULIF03430/10/14BSLIASTALC109	24-Sep-15	Non Par	23,319.43	19.3025	19.3025	18.6258	18.2434	18.2335	17.3091	11.52%	12.19%	19.3709
44	Individual Capped Nifty Index Fund	ULIF03530/10/14BSLICNFIDX109	24-Sep-15	Non Par	13,951.18	21.6836	21.6836	19.4256	18.0391	17.1422	13.9016	55.98%	16.07%	21.8972
45	Individual MNC Fund	ULIF03722/06/18ABSLIMUMNC109	15-Feb-19	Non Par	24,921.01	13.8382	13.8382	13.2279	12.3335	11.7772	10.7990	28.14%	-	14.0172

Total

30,23,936.23

CERTIFICATION

Certified that the performance of all segregated funds have been placed and reviewed by the Board. All information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

DATE : October 15, 2021

Signature:

Full name:

Sandesh Joshi

Chief Financial Officer

Note:

1. NAV reflects the published NAV on the reporting date

2. MNC Fund is Launched on 15th Feb 2019 and has not completed 3 year period

PERIODIC DISCLOSURES

FORM L-29

Detail regarding debt securities

Insurer:

Aditya Birla Sun Life Insurance Company Limited

Date: 30-Sep-21

(Rs in Lakhs)

Detail Regarding debt securities - ULIP								
	Market Value				Book Value			
	As at Sep 30, 2021	As % of total for this class	As at Sep 30, 2020	As % of total for this class	As at Sep 30, 2021	As % of total for this class	As at Sep 30, 2020	As % of total for this class
Break down by credit rating								
Sovereign	848,077	50.23	835,056	51.47	833,274	50.64	806,684	52.05
AAA rated	694,742	41.15	607,124	37.42	673,559	40.93	576,778	37.22
AA or better	89,559	5.30	91,418	5.64	84,208	5.12	80,370	5.19
Rated below AA but above A	8,952	0.53	14,212	0.88	8,006	0.49	13,007	0.84
Rated below A but above B	-	-	-	-	-	-	-	-
Rated P1 / A1 or better	45,527	2.70	66,490	4.10	44,947	2.73	64,921	4.19
Any other	1,500	0.09	8,000	0.49	1,500	0.09	8,000	0.52
Breakdown by residual maturity								
Up to 1 year	425,910	25.23	526,467	32.45	421,861	25.64	519,478	33.52
more than 1 year and upto 3years	288,874	17.11	248,676	15.33	281,822	17.13	231,578	14.94
More than 3years and up to 7years	477,931	28.31	327,762	20.20	461,177	28.03	307,798	19.86
More than 7 years and up to 10 years	354,132	20.97	311,275	19.19	343,072	20.85	295,439	19.06
More than 10 years and up to 15 years	78,772	4.67	83,137	5.12	77,857	4.73	78,643	5.07
More than 15 years and up to 20 years	9,858	0.58	27,736	1.71	9,656	0.59	27,041	1.74
Above 20 years	52,880	3.13	97,247	5.99	50,049	3.04	89,783	5.79
Breakdown by type of the issuer								
a. Central Government	818,244	48.46	807,320	49.76	804,048	48.86	780,375	50.35
b. State Government	31,608	1.87	30,602	1.89	30,957	1.88	29,055	1.87
c. Corporate Securities	838,506	49.66	784,378	48.35	810,488	49.26	740,330	47.77

PERIODIC DISCLOSURES

FORM L-29

Detail regarding debt securities

Detail Regarding debt securities - Non ULIP								
	Market Value				Book Value			
	As at Sep 30, 2021	As % of total for this class	As at Sep 30, 2020	As % of total for this class	As at Sep 30, 2021	As % of total for this class	As at Sep 30, 2020	As % of total for this class
Break down by credit rating								
Sovereign	1,478,732	58.24	1,104,910	55.72	1,477,521	58.24	1,104,883	55.75
AAA rated	933,987	36.78	757,057	38.18	934,851	36.85	756,917	38.19
AA or better	106,210	4.18	90,328	4.56	104,459	4.12	89,312	4.51
Rated below AA but above A	16,268	0.64	20,184	1.02	16,286	0.64	20,200	1.02
Rated below A but above B	2,497	0.10	2,497	0.13	2,500	0.10	2,500	0.13
Rated P1 / A1 or better	993	0.04	6,996	0.35	966	0.04	6,937	0.35
Any other	500	0.02	1,050	0.05	500	0.02	1,050	0.05
Breakdown by residual maturity								
Up to 1 year	139,969	5.51	116,238	5.86	138,479	5.46	115,581	5.83
more than 1 year and upto 3years	104,615	4.12	91,752	4.63	104,073	4.10	90,188	4.55
More than 3years and up to 7years	370,861	14.61	292,528	14.75	371,345	14.64	293,108	14.79
More than 7 years and up to 10 years	476,069	18.75	445,650	22.47	477,042	18.80	446,201	22.51
More than 10 years and up to 15 years	769,552	30.31	512,891	25.86	767,973	30.27	512,311	25.85
More than 15 years and up to 20 years	176,148	6.94	177,986	8.98	175,832	6.93	178,097	8.99
Above 20 years	501,975	19.77	345,978	17.45	502,337	19.80	346,312	17.47
Breakdown by type of the issuer								
a. Central Government	1,320,443	52.00	984,341	49.64	1,318,509	51.97	983,853	49.64
b. State Government	170,540	6.72	132,863	6.70	171,462	6.76	133,480	6.74
c. Corporate Securities	1,048,205	41.28	865,819	43.66	1,047,111	41.27	864,465	43.62

Note

1. In case of a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
2. The detail of ULIP and Non-ULIP will be given separately.
3. Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.

Form L30 : Related Party

Disclosures in respect of transactions with Related Parties and outstanding for the quarter and half year ended 30th September, 2021

(A) Name of related parties where control exists

Ultimate Holding company	Grasim Industries Limited
Holding Company	Aditya Birla Capital Limited
Foreign Partner	Sun Life Financial (India) Insurance Investments Inc.
Subsidiary	Aditya Birla Sun Life Pension Management Company Limited

(B) Key Management Personnel

Relatives of Key Managerial Personnel

Mr. Kamlesh Rao (MD & CEO)
Mrs. Akila Kamlesh Rao (Spouse)
Mrs. Sudha Dayanand Rao (Mother)
Mr. Ronak Kamlesh Rao (Son)
Mrs. Harsaana Sirsikar (Sister)
Mr. Rajesh Dayanand Rao (Brother)

(C) Disclosures of transaction between the Company and related parties and outstanding balances for the period ended :

(Amounts in lacs)

Sr. No.	Name of the related party with whom the transaction has been made	Description of relationship with the party	Nature of Transaction	Transactions during the quarter ended		Transactions during the Half year ended		Outstanding balance recoverable /(payable) as on	
				30th Sep 2021	30th Sep 2020	30th Sep 2021	30th Sep 2020	30th Sep 2021	30th Sep 2020
1	2	3	4	5	6	7	8	9	10
1	Grasim Industries Limited	Ultimate Holding company	a) Interest income on NCD b) Purchase / (Sale) of NCD / Outstanding NCD c) Group Insurance Premium	58 2,000 2	20 - -	77 2,000 37	39 - 103	86 3,000 (146)	36 1,000 (116)
2	Aditya Birla Capital Limited	Holding Company	a) Reimbursement of expenses b) Rent Expenses c) Recovery of expenses d) Employee Stock Options e) Security Deposit Paid f) Group Insurance Premium	845 25 28 67 25 0	875 - 21 10 - 0	1,639 25 40 140 25 4	1,618 - 34 101 - 8	(418) - - - 25 (4)	(287) - - - - (3)
3	Aditya Birla Finance Limited	Fellow Subsidiary	a) Recovery of expenses b) Rent Income c) Security Deposit Received d) Sale of NCD / Outstanding NCD e) Interest income on NCD f) Reimbursement of expenses g) Group Insurance Premium	0 - - - 564 34 646	- 4 - - 674 32 235	0 - - 5,000 1,223 57 999	- 8 - - 1,341 55 258	0 - (88) 24,500 835 (0) (224)	- 4 (88) 29,500 954 - (56)
4	Aditya Birla Money Insurance Advisory Services Limited	Fellow Subsidiary	a) Commission expenses b) Group Insurance Premium	73 4	59 -	147 4	81 -	(27) -	(13) -
5	Aditya Birla Money Limited	Fellow Subsidiary	a) Brokerage expenses b) Reimbursement of expenses b) Rent Expenses c) Rent Income d) Recovery of expenses e) Purchase of NCD f) Purchase of Fixed Asset g) Group Insurance Premium	26 0 - - 0 - - (0)	27 - 1 - 5 - - -	47 0 - - 0 - - 0	40 - 1 - 5 - - -	- (0) (0) - 0 - (0) (7)	- - (3) - 5 - - (3)
6	Aditya Birla Financial Shared Services Limited	Fellow Subsidiary	a) Advance given for expenses b) Reimbursement of expenses c) Recovery of expenses d) Group Insurance Premium	87 1,376 0 32	51 1,015 0 -	843 2,461 0 32	711 1,814 0 1	393 (620) - (6)	327 (509) - (9)

Sr. No.	Name of the related party with whom the transaction has been made	Description of relationship with the party	Nature of Transaction	Transactions during the quarter ended		Transactions during the Half year ended		Outstanding balance recoverable /(payable) as on	
				30th Sep 2021	30th Sep 2020	30th Sep 2021	30th Sep 2020	30th Sep 2021	30th Sep 2020
1	2	3	4	5	6	7	8	9	10
7	Aditya Birla Insurance Brokers Limited	Fellow Subsidiary	a) Recovery of expenses b) Rent Income c) Commission expenses d) Retirement Benefit liability of transferred e) Reinsurance payment on behalf of reinsurer f) Group Insurance Premium	1 - 28 - 18 22	- 6 8 - - 8	4 - 37 - 37 22	- 12 11 10 - 9	- - (24) - - (5)	- 5 (6) - - (3)
8	Aditya Birla Capital Technology Services Limited	Fellow Subsidiary	a) Reimbursement of expenses b) Advance given for expenses c) Recovery of Expenses d) Group Insurance Premium	126 45 - (0)	129 29 - -	305 81 - (0)	278 29 - -	(89) 35 - (3)	(75) 37 - (3)
9	Aditya Birla Sun Life Asset Management Company Limited	Fellow Subsidiary	a) Recovery of expenses b) Rent Income c) Reimbursement of expenses d) Purchase of Fixed Asset e) Group Insurance Premium	- 2 7 - 1,604	- 0 1 - 713	3 3 8 - 1,611	- 1 1 - 763	- 3 - - (251)	- 0 - - (272)
10	Aditya Birla Housing Finance Limited	Fellow Subsidiary	a) Interest income on NCD b) Sale of NCD c) Commission expenses d) Reimbursement of expenses e) Rent Expenses f) Group Insurance Premium	21 - 66 86 5 1,115	33 - 30 166 - 501	43 - 87 166 5 1,475	65 - 34 - - 572	32 1,000 (63) (86) (5) (38)	56 1,500 (28) - - (71)
11	Aditya Birla Sun Life Pension Management Company Limited	Subsidiary	a) Rent Income b) Reimbursement of expenses c) Recovery of expenses	9 9 -	12 - 108	18 9 5	20 - 196	- - -	3 - 49
12	Aditya Birla Health Insurance Limited	Fellow Subsidiary	a) Recovery of expenses b) Rent Income c) Group Mediclaim premium paid d) Reimbursement of Expenses e) Sale of Asset f) Group Insurance Premium	4 - - 7 - 14	3 - (15) 2 - 3	14 - - 77 - 18	3 - (135) 6 - 1	- 20 - - (6)	- 7 - - (2)
13	UltraTech Cement Limited	Fellow Subsidiary	a) Interest income on NCD b) Sale of NCD c) Recovery of Expenses d) Group Insurance Premium	64 - 4 (3)	73 (1,000) - -	128 - 7 7	153 (1,000) - 5	104 3,500 - (11)	104 3,500 - (31)
14	Aditya Birla Capital Foundation	Associate Company	a) CSR Contributions b) Reimbursement of Expenses	- -	95 -	- -	95 -	- -	- -
15	Sunlife Assurance company of Canada	Holding of Foreign Promoter	a) Secondment Expenses b) Subordinated debt c) Interest on Subordinated debt	46 - 276	49 - -	163 - 549	171 - -	(66) (15,000) (549)	(18) - -
16	Mr. Kamlesh Rao	Key Management Personnel	a) Managerial remuneration b) Long Term Incentive payment	366 -	77 -	442 -	151 -	- -	- -

Note 1: There are no provisions for doubtful debts, amounts written off or amounts written back pertaining to the above transactions.
Note 2: Related party relationship have been identified by the management and relied upon by the auditors.
Note 3: Related party transactions disclosed above denote the transactions entered during the existence of related party relationship.
Note 4: All the above transactions are reported inclusive of Goods and Services Tax, wherever applicable except Group Insurance Premium.
Note 5: There is no security given for any related party transaction mentioned above.
Note 6: There are no guarantees given/received for any related party transaction mentioned above.
Note 7: There are no provision for doubtful debts made for any related party transaction mentioned above.
Note 8: There is no expense recognised for bad or doubtful debts made for any related party transaction mentioned above.

FORM L-31 Board of Directors & Key Person**Aditya Birla Sun Life Insurance Company Limited****Registration Number: 109 dated 31st January 2001****Date: 30.09.2021**

Board of Directors			
Sr. No.	Name of person	Role/designation	Details of changes in the period
1	Mr. Kumar Mangalam Birla	Chairman	--
2	Mr. Ajay Srinivasan	Non Executive Director	--
3	Mr. B.N. Puranmalka	Non Executive Director	Resigned w.e.f April 30, 2021
4	Mr. Krishna Kishore Maheshwari	Non Executive Director	Appointed w.e.f July 26, 2021
5	Ms. Pinky Mehta	Non Executive Director	--
6	Mr. Sandeep Asthana	Non Executive Director	--
7	Mr. Colm Freyne	Non Executive Director	--
8	Mr. Leo Grepin	Non Executive Director	--
9	Mr. Arun Adhikari	Independent Director	--
10	Mr. Debabrata Sarkar	Independent Director	--
11	Mr. Nagesh Pinge	Independent Director	--
12	Mr. Kamlesh Rao	Managing Director & CEO	--
Key Persons			
Sr. No.	Name of person	Role/designation	Details of changes in the period
1	Devendra Singhvi	Chief Investment Officer	--
2	Sandesh Joshi	Chief Financial Officer	--
3	Shobha Ratna	Chief Operations Officer and Head - HR & Training	--
4	Ashok Suvarna	Chief Distribution Officer	--
5	Shailendra Mahendra Kothavale	Chief Risk & Compliance Officer	--
6	Ajay Prakash Vernekar	Chief Technology Officer	--
7	Jaimit Doshi	Head - Marketing & Digital	--
8	Anil Kumar Singh	Chief Actuarial Officer	--
9	Sidney Sequeira	Head - Group Business	--

Key Persons as defined in IRDA Registration of Companies Regulations, 2000

Form No. L-32 Available Solvency Margin and Solvency Ratio (Frequency -Quarterly)

As at

30th September 2021

Name of the Insurer: Aditya Birla Sun Life Insurance Company Ltd

Form Code:

KT-3

Classification: **Total Business**

Registration Number:

11-128110

Item	Description	Notes No...	Adjusted Value (Rs.Lakhs)
(1)	(2)	(3)	(4)
01	Available Assets in Policyholders' Fund:	1	5,503,815
	Deduct:		-
02	Mathematical Reserves	2	5,502,443
03	Other Liabilities	3	-
04	Excess in Policyholders' funds (01-02-03)		1,372
05	Available Assets in Shareholders Fund:	4	273,110
	Deduct:		-
06	Other Liabilities of shareholders' fund	3	-
07	Excess in Shareholders' funds (05-06)		273,110
08	Total ASM (04)+(07)		274,482
09	Total RSM		144,852
10	Solvency Ratio (ASM/RSM)		1.89

Note:

- Item No. 01 shall be the amount of the Total Admissible assets for Solvency as mentioned in Form IRDAI-Assets-AA under Policyholders A/c;
- Item No. 02 shall be the amount of the Mathematical Reserves as mentioned in Form H;
- Item No. 03 and 06 shall be the amount of other liabilities as mentioned in the Balance Sheet;
- Item No. 05 shall be the amount of the Total Admissible assets for Solvency as mentioned in Form IRDAI-Assets-AA under Shareholders A/C;

DETAILS OF NON-PERFORMING ASSETS

As on: September 30, 2021

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited
Registration Number: 109

(Rs.Lakhs)

NO	PARTICULARS	Bonds / Debentures		Loans		Other Debt Instruments		All Other Assets		TOTAL	
		YTD (As on date)	Prev. FY (As on 31 Mar 2021)	YTD (As on date)	Prev. FY (As on 31 Mar 2021)	YTD (As on date)	Prev. FY (As on 31 Mar 2021)	YTD (As on date)	Prev. FY (As on 31 Mar 2021)	YTD (As on date)	Prev. FY (As on 31 Mar 2021)
1	Investments Assets (As per Form 5)	7,49,155.57	6,84,400.21	1,765.22	1,975.57	993.38	6,309.83	12,73,732.19	11,30,780.15	20,25,646.36	18,23,465.75
2	Gross NPA	NIL									
3	% of Gross NPA on Investment Assets (2/1)										
4	Provision made on NPA										
5	Provision as a % of NPA (4/2)										
6	Provision on Standard Assets										
7	Net Investment Assets (1-4)	7,49,155.57	6,84,400.21	1,765.22	1,975.57	993.38	6,309.83	12,73,732.19	11,30,780.15	20,25,646.36	18,23,465.75
8	Net NPA (2-4)	NIL									
9	% of Net NPA to Net Investment Assets (8/7)										
10	Write off made during the period										

Certification

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Signature: _____
Full name: Sandesh Joshi
Chief Financial Officer

DETAILS OF NON-PERFORMING ASSETS

As on: September 30, 2021

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

(Rs.Lakhs)

NO	PARTICULARS	Bonds / Debentures		Loans		Other Debt Instruments		All Other Assets		TOTAL	
		YTD (As on date)	Prev. FY (As on 31 Mar 2021)	YTD (As on date)	Prev. FY (As on 31 Mar 2021)	YTD (As on date)	Prev. FY (As on 31 Mar 2021)	YTD (As on date)	Prev. FY (As on 31 Mar 2021)	YTD (As on date)	Prev. FY (As on 31 Mar 2021)
1	Investments Assets (As per Form 5)	2,95,783.39	2,87,325.69	-	-	-	2,822.19	3,45,253.22	3,27,785.28	6,41,036.60	6,17,933.16
2	Gross NPA	NIL									
3	% of Gross NPA on Investment Assets (2/1)										
4	Provision made on NPA										
5	Provision as a % of NPA (4/2)										
6	Provision on Standard Assets										
7	Net Investment Assets (1-4)	2,95,783.39	2,87,325.69	-	-	-	2,822.19	3,45,253.22	3,27,785.28	6,41,036.60	6,17,933.16
8	Net NPA (2-4)	NIL									
9	% of Net NPA to Net Investment Assets (8/7)										
10	Write off made during the period										

Certification

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Signature: _____

Full name: Sandesh Joshi

Chief Financial Officer

DETAILS OF NON-PERFORMING ASSETS

As on: September 30, 2021

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

(Rs.Lakhs)

NO	PARTICULARS	Bonds / Debentures		Loans		Other Debt Instruments		All Other Assets		TOTAL	
		YTD (As on date)	Prev. FY (As on 31 Mar 2021)	YTD (As on date)	Prev. FY (As on 31 Mar 2021)	YTD (As on date)	Prev. FY (As on 31 Mar 2021)	YTD (As on date)	Prev. FY (As on 31 Mar 2021)	YTD (As on date)	Prev. FY (As on 31 Mar 2021)
1	Investments Assets (As per Form 5)	7,91,478.60	7,33,463.19	-	-	45,526.96	43,502.39	21,86,930.67	20,19,768.23	30,23,936.23	27,96,733.81
2	Gross NPA	NIL									
3	% of Gross NPA on Investment Assets (2/1)										
4	Provision made on NPA										
5	Provision as a % of NPA (4/2)										
6	Provision on Standard Assets										
7	Net Investment Assets (1-4)	7,91,478.60	7,33,463.19	-	-	45,526.96	43,502.39	21,86,930.67	20,19,768.23	30,23,936.23	27,96,733.81
8	Net NPA (2-4)	NIL									
9	% of Net NPA to Net Investment Assets (8/7)										
10	Write off made during the period										

Certification

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Signature: _____
Full name: Sandesh Joshi
Chief Financial Officer

Periodicity of Submission: Quarterly

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Central Govt. Securities													
	Central Government Bonds	CGSB	9,29,737.13	17,298.84	1.86%	1.86%	8,93,970.13	33,185.43	3.71%	3.71%	6,67,117.08	25,656.04	3.85%	3.85%
	Special Deposits	CSPD	-	-	-	-	-	-	-	-	-	-	-	-
	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	4,937.83	15.73	0.32%	0.32%
2	Central Govt. Sec, State Govt Sec or Other Approved Sec													
	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	-	-	-	-	-	-	-	-
	State Government Bonds	SGGB	1,14,153.30	2,151.59	1.88%	1.88%	1,12,256.13	4,205.28	3.75%	3.75%	85,585.89	3,302.04	3.86%	3.86%
	State Government Guaranteed Loans	SGGL	-	-	-	-	-	-	-	-	-	-	-	-
	Other Approved Securities (excluding Infrastructure Investments)	SOOA	6,870.48	127.98	1.86%	1.86%	6,871.43	252.99	3.68%	3.68%	4,007.81	154.91	3.87%	3.87%
	Guaranteed Equity	SGGE	-	-	-	-	-	-	-	-	-	-	-	-
3	Investments subject to Exposure Norms													
	(a) Housing & Loans to State Govt for Housing / FFE													
	1. Approved Investments													
	Loans to State Government for Housing	HLSH	-	-	-	-	-	-	-	-	-	-	-	-
	Loans to State Government for Fire Fighting Equipments	HLSF	-	-	-	-	-	-	-	-	-	-	-	-
	Term Loan - HUDCO / NHB / Institutions accredited by NHB	HTLH	-	-	-	-	-	-	-	-	-	-	-	-
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	988.31	10.24	1.04%	1.04%	1,093.07	22.84	2.09%	2.09%	-	-	-	-
	Housing - Securitised Assets	HMBS	-	-	-	-	-	-	-	-	-	-	-	-
	Debentures / Bonds / CPs / Loans - (Promoter Group)	HDPG	999.36	20.97	2.10%	2.10%	999.59	42.15	4.22%	4.22%	999.65	42.16	4.22%	4.22%
	TAXABLE BONDS													
	Bonds / Debentures issued by HUDCO	HTHD	1,499.89	31.99	2.13%	2.13%	1,499.85	63.20	4.21%	4.21%	1,499.90	63.20	4.21%	4.21%
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	1,16,941.07	2,354.84	2.01%	2.01%	1,13,849.32	4,564.28	4.01%	4.01%	89,319.60	3,739.65	4.19%	4.19%
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
	TAX FREE BONDS													
	Bonds / Debentures issued by HUDCO	HFHD	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HFDN	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HFDA	-	-	-	-	-	-	-	-	-	-	-	-
	2. Other Investments													
	Debentures / Bonds / CPs / Loans	HODS	-	-	-	-	-	-	-	-	-	-	-	-
	Housing - Securitised Assets	HOMB	-	-	-	-	-	-	-	-	-	-	-	-
	Debentures / Bonds / CPs / Loans - (Promoter Group)	HOPG	-	-	-	-	-	-	-	-	-	-	-	-
	(b) Infrastructure Investments													
	1. Approved Investments													
	Infrastructure - Other Approved Securities	ISAS	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Equity shares - Quoted	ITPE	1,252.06	138.37	11.05%	11.05%	1,397.91	160.37	11.47%	11.47%	705.39	-67.14	-9.52%	-9.52%
	Infrastructure - Corporate Securities - Equity shares - Quoted	ITCE	4,907.21	918.20	18.71%	18.71%	4,895.53	1,361.92	27.82%	27.82%	1,646.24	15.45	0.94%	0.94%
	Infrastructure - Equity (Promoter Group)	IEPG	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Securitised Assets	IESA	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Debentures / Bonds / CPs / loans - (Promoter Group)	IDPG	-	-	-	-	-	-	-	-	-	-	-	-
	Units of Infrastructure Investment Trust	EIIT	6,844.33	52.00	0.76%	0.76%	6,505.21	-205.35	-3.16%	-3.16%	3,900.68	-596.68	-15.30%	-15.30%
	Infrastructure - Infrastructure Development Fund (IDF)	IDDF	999.42	22.66	2.27%	2.27%	999.47	46.51	4.65%	4.65%	999.58	46.52	4.65%	4.65%
	TAXABLE BONDS													
	Infrastructure - PSU - Debentures / Bonds	IPTD	3,83,946.24	7,516.09	1.96%	1.96%	3,76,012.12	14,647.37	3.90%	3.90%	2,53,215.66	10,372.59	4.10%	4.10%
	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	-	-	-

Periodicity of Submission: Quarterly

[illegible]

FORM L-34-YIELD ON INVESTMENTS
Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Statement as on: September 30, 2021

Name of the Fund: Life

Statement of Investment and Income on Investment
Periodicity of Submission: Quarterly

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
	Passively Managed Equity ETF (Promoter Group)	EETP	-	-	-	-	-	-	-	-	-	-	-	-
	Debt Capital Instruments (DCI - Base III)	EDCI	-	-	-	-	-	-	-	-	-	-	-	-
	Redeemable Non- Cumulative Preference Shares (RNCPS - Base III)	ERNP	-	-	-	-	-	-	-	-	-	-	-	-
	Redeemable Cumulative Preference Shares (RCPS - Base III)	ERCP	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	13,522.73	27.73	0.21%	0.21%	13,522.73	27.73	0.21%	0.21%	8,778.40	250.61	2.85%	2.85%
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds	EAPS	-	-	-	-	-	-	-	-	-	-	-	-
	Units of Real Estate Investment Trust (REITs)	ERIT	11,213.73	163.26	1.46%	1.46%	9,674.22	244.77	2.53%	2.53%	1,918.48	-	-	-
	(d) Other Investments													
	Bonds - PSU - Taxable	OBPT	1,499.82	13.81	0.92%	0.92%	1,499.56	48.20	3.21%	3.21%	1,499.92	69.68	4.65%	4.65%
	Bonds - PSU - Tax Free	OBPF	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl Co-op Societies)	OESH	4,918.86	2,334.78	47.47%	47.47%	5,379.30	3,343.26	62.15%	62.15%	7,214.89	-	0.00%	0.00%
	Equity Shares (PSUs & Unlisted)	OEPU	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares - Promoter Group	OEPG	39.82	-	-	-	39.82	-	0.00%	0.00%	19.73	-	-	-
	Debentures	OLDB	7,498.30	199.42	2.66%	2.66%	7,498.03	393.16	5.24%	5.24%	7,497.72	393.89	5.25%	5.25%
	Debentures / Bonds/ CPs / Loans etc. - (Promoter Group)	ODPG	-	-	-	-	-	-	-	-	-	-	-	-
	Municipal Bonds	OMUN	-	-	-	-	-	-	-	-	-	-	-	-
	Commercial Papers	OACP	-	-	-	-	-	-	-	-	-	-	-	-
	Preference Shares	OPSH	-	-	-	-	-	-	-	-	-	-	-	-
	Venture Fund / SEBI approved Alternate Investment Fund (Category I)	OAFA	1,314.55	-	-	-	1,330.63	-	0.00%	0.00%	2,048.29	68.38	3.34%	3.34%
	Venture Fund / SEBI approved Alternate Investment Fund (Category II)	OAFB	9,817.13	352.10	3.59%	3.59%	9,847.60	492.06	5.00%	5.00%	9,460.92	311.50	3.29%	3.29%
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
	Term Loans (without Charge)	OTLW	1,804.34	40.77	2.26%	2.26%	1,855.06	83.81	4.52%	4.52%	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Schemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	OETF	-	-	-	-	71.53	85.98	1.20	1.20	95.27	-	-	-
	Passively Managed Equity ETF (Promoter Group)	OETP	-	-	-	-	-	-	-	-	-	-	-	-
	Derivative Instruments	OCDI	-	-	-	-	-	-	-	-	-	-	-	-
	Securitized Assets	OPSA	-	-	-	-	-	-	-	-	-	-	-	-
	Investment properties - Immovable	OIPT	-	-	-	-	-	-	-	-	-	-	-	-
	Reclassified Approved Investments - Debt	ORAD	5,470.58	140.25	2.56%	2.56%	5,486.68	268.50	4.89%	4.89%	5,508.85	259.58	4.71%	4.71%
	Reclassified Approved Investments - Debt	ORAE	2,465.59	351.94	14.27%	14.27%	2,182.40	449.15	20.58%	20.58%	1,554.99	-112.07	-7.21%	-7.21%
	Units of Infrastructure Investment Trust	OIIT	3,318.52	109.04	3.29%	3.29%	3,255.36	178.48	5.48%	5.48%	2,295.76	-	-	-
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Private Banks]	OAPB	3,000.00	66.16	2.21%	2.21%	3,000.00	131.61	4.39%	4.39%	6,822.36	17.28	0.25%	0.25%
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [PSU Banks]	OAPS	2,497.54	43.76	1.75%	1.75%	2,497.54	99.86	4.00%	4.00%	5,057.02	-98.25	-1.94%	-1.94%
	TOTAL		19,71,969.43	47,092.29	2.39%	2.39%	19,26,522.88	88,636.59	4.60%	4.60%	14,76,636.19	54,690.18	3.70%	3.70%

CERTIFICATION
Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.
Date: October 15, 2021

Signature
Full Name
Sandesh Joshi
Chief Financial Officer
Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

¹ Based on daily simple Average of Investments

² Yield netted for Tax

³ In the previous year column, the figures of the corresponding Year to date of the previous financial year is shown

⁴ Income includes term income from inception to maturity as it was received in the current quarter as realised income

Periodicity of Submission: Quarterly

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ¹			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Central Govt. Securities													
	Central Government Bonds	CGBS	2,31,735.92	4,356.65	1.88%	1.88%	2,24,103.59	8,301.06	3.70%	3.70%	1,68,283.66	6,519.88	3.87%	3.87%
	Special Deposits	CSPD	-	-	-	-	-	-	-	-	-	-	-	-
	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Govt. Sec, State Govt Sec or Other Approved Sec													
	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	-	-	-	-	-	-	-	-
	State Government Bonds	SGBB	56,411.86	1,079.77	1.91%	1.91%	56,430.57	2,143.59	3.80%	3.80%	40,426.02	1,600.97	3.96%	3.96%
	State Government Guaranteed Loans	SGGL	-	-	-	-	-	-	-	-	-	-	-	-
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	8,157.19	162.96	2.00%	2.00%	8,159.97	321.77	3.94%	3.94%	6,263.41	256.96	4.10%	4.10%
	Guaranteed Equity	SGGE	-	-	-	-	-	-	-	-	-	-	-	-
3	Investments subject to Exposure Norms													
	(a) Housing & Loans to State Govt for Housing / FFE													
	1. Approved Investments													
	Loans to State Government for Housing	HLSH	-	-	-	-	-	-	-	-	-	-	-	-
	Loans to State Government for Fire Fighting Equipments	HLSF	-	-	-	-	-	-	-	-	-	-	-	-
	Term Loan - HUDCO / NHB / Institutions accredited by NHB	HTLH	-	-	-	-	-	-	-	-	-	-	-	-
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
	Housing - Securitised Assets	HMBS	-	-	-	-	-	-	-	-	-	-	-	-
	Debentures / Bonds / CPs / Loans - (Promoter Group)	HDPG	-	-	-	-	-	-	-	-	499.60	21.97	4.40%	4.40%
	TAXABLE BONDS													
	Bonds / Debentures issued by HUDCO	HTHD	999.92	21.32	2.13%	2.13%	999.90	42.14	4.21%	4.21%	999.93	42.13	4.21%	4.21%
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	85,582.94	1,796.79	2.10%	2.10%	85,249.55	3,557.25	4.17%	4.17%	78,516.48	3,359.04	4.28%	4.28%
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
	TAX FREE BONDS													
	Bonds / Debentures issued by HUDCO	HFHD	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HFDN	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HFDA	-	-	-	-	-	-	-	-	-	-	-	-
	2. Other Investments													
	Debentures / Bonds / CPs / Loans	HODS	-	-	-	-	-	-	-	-	-	-	-	-
	Housing - Securitised Assets	HOMB	-	-	-	-	-	-	-	-	-	-	-	-
	Debentures / Bonds / CPs / Loans - (Promoter Group)	HOPG	-	-	-	-	-	-	-	-	-	-	-	-
	(b) Infrastructure Investments													
	1. Approved Investments													
	Infrastructure - Other Approved Securities	ISAS	399.53	0.98	0.25%	0.25%	397.81	4.41	1.11%	1.11%	-	-	-	-
	Infrastructure - PSU - Equity shares - Quoted	ITPE	660.42	44.50	6.74%	6.74%	687.46	44.50	6.47%	6.47%	608.38	21.91	3.60%	3.60%
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	1,402.85	169.54	12.09%	1								

Periodicity of Submission: Quarterly

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ²	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ²	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ²	Net Yield (%) ²
	Units of Infrastructure Investment Trust	EIIT	634.20	254.68	-40.16%	-40.16%	834.64	306.18	-36.68%	-36.68%	359.91	-	0.00%	0.00%
	Infrastructure - Infrastructure Development Fund (IDF)	IDDF	1,499.12	34.00	2.27%	2.27%	1,499.21	69.77	4.65%	4.65%	1,499.37	69.78	4.65%	4.65%
	TAXABLE BONDS													
	Infrastructure - PSU - Debentures / Bonds	IPTD	1,17,780.67	2,175.27	1.85%	1.85%	1,14,337.89	4,307.89	3.77%	3.77%	79,627.08	3,259.98	4.09%	4.09%
	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	12,752.90	274.22	2.15%	2.15%	13,214.23	576.20	4.36%	4.36%	13,395.78	604.18	4.51%	4.51%
	Infrastructure - Long Term Bank Bonds	ILBI	22,222.38	475.78	2.14%	2.14%	22,175.80	937.14	4.23%	4.23%	21,555.29	916.15	4.25%	4.25%
	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Term Loans (with Charge)	ILWC	-	-	-	-	-	-	-	-	-	-	-	-
	TAX FREE BONDS													
	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICFD	-	-	-	-	-	-	-	-	-	-	-	-
	2. Other Investments													
	Infrastructure - Equity (including unlisted)	IOEQ	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Debentures / Bonds / CPs / loans	IODS	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Securitised Assets	IOSA	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Equity (Promoter Group)	IOPE	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Debentures / Bonds / CPs / loans - (Promoter Group)	IOPD	-	-	-	-	-	-	-	-	-	-	-	-
	Reclassified Approved Investments - Debt	IORD	-	-	-	-	-	-	-	-	-	-	-	-
	(c) Approved Investments													
	PSU - Equity shares - Quoted	EAEQ	-	-	-	-	-	-	-	-	739.11	241.75	-32.71%	-32.71%
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	19,708.26	1,231.37	6.25%	6.25%	18,066.24	1,390.50	7.70%	7.70%	10,210.34	172.55	1.69%	1.69%
	Equity Shares - Companies incorporated outside India (invested prior to IRDA Regulations)	EFES	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares - Promoter Group	EEPG	514.84	40.56	7.88%	7.88%	492.82	40.56	8.23%	8.23%	689.07	54.89	7.97%	7.97%
	Additional Tier 1 Perpetual Bond Basel 3	EAPB	5,100.00	123.44	2.42%	2.42%	5,100.00	245.55	4.81%	4.81%	6,626.40	199.99	3.02%	3.02%
	Corporate Securities - Bonds - (Taxable)	EBBT	15,484.92	325.30	2.10%	2.10%	15,483.81	644.43	4.16%	4.16%	12,671.68	558.91	4.41%	4.41%
	Corporate Securities - Bonds - (Tax Free)	EBTF	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Preference Shares	EPNQ	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Investment in Subsidiaries	ECIS	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Debentures	ECOS	28,750.04	627.99	2.18%	2.18%	28,672.78	1,244.51	4.34%	4.34%	29,394.97	1,328.48	4.52%	4.52%
	Corporate Securities - Debentures / Bonds/ CPs /Loan - (Promoter Group)	EDPG	11,925.71	262.15	2.20%	2.20%	13,879.88	614.28	4.43%	4.43%	13,981.95	621.11	4.44%	4.44%
	Corporate Securities - Derivative Instruments	ECDI	-	-	-	-	-	-	-	-	-	-	-	-
	Municipal Bonds - Rated	EMUN	-	-	-	-	-	-	-	-	-	-	-	-
	Investment properties - Immovable	EINP	-	-	-	-	-	-	-	-	-	-	-	-
	Loans - Policy Loans	ELPL	-	-	-	-	-</							

FORM L-34-YIELD ON INVESTMENTS

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Statement as on: September 30, 2021

Name of the Fund: Pension & General Annuity

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ²	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ²	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ²	Net Yield (%) ²
	Foreign Debt Securities (invested prior to IRDA Regulations)	EFDS	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Promoter Group)	EETP	-	-	-	-	-	-	-	-	-	-	-	-
	Debt Capital Instruments (DCI - Base III)	EDCI	-	-	-	-	-	-	-	-	-	-	-	-
	Redeemable Non - Cumulative Preference Shares (RNCPS - Base III)	ERNP	-	-	-	-	-	-	-	-	-	-	-	-
	Redeemable Cumulative Preference Shares (RCPS Base III)	ERCP	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	-	-	-	-	-	-	-	-	3,523.94	70.10	1.99%	1.99%
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	2,500.00	5.13	0.21%	0.21%	2,500.00	5.13	0.21%	0.21%	2,006.44	12.65	0.63%	0.63%
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds	EAPS	-	-	-	-	-	-	-	-	-	-	-	-
	(d) Other Investments													
	Bonds - PSU - Taxable	OBPT	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds - PSU - Tax Free	OBPF	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (PSUs & Unlisted)	OEPU	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
	Debentures	OLDB	-	-	-	-	-	-	-	-	-	-	-	-
	Debentures / Bonds/ CPs / Loans etc. - (Promoter Group)	ODPG	-	-	-	-	-	-	-	-	-	-	-	-
	Municipal Bonds	OMUN	-	-	-	-	-	-	-	-	-	-	-	-
	Commercial Papers	OACP	-	-	-	-	-	-	-	-	-	-	-	-
	Preference Shares	OPSH	-	-	-	-	-	-	-	-	-	-	-	-
	Venture Fund / SEBI approved Alternate Investment Fund (Category I)	OAFI	-	-	-	-	-	-	-	-	-	-	-	-
	Venture Fund / SEBI approved Alternate Investment Fund (Category II)	OAFB	-	-	-	-	-	-	-	-	-	-	-	-
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
	Term Loans (without Charge)	OTLW	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Schemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	OETF	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Promoter Group)	OETP	-	-	-	-	-	-	-	-	-	-	-	-
	Derivative Instruments	OCDI	-	-	-	-	-	-	-	-	-	-	-	-
	Securitized Assets	OPSA	-	-	-	-	-	-	-	-	-	-	-	-
	Investment properties - Immovable	OIPI	-	-	-	-	-	-	-	-	-	-	-	-
	Reclassified Approved Investments - Debt	ORAD	-	-	-	-	-	-	-	-	-	-	-	-
	Reclassified Approved Investments - Debt	ORAE	-	-	-	-	-	-	-	-	-	-	-	-
	Units of Infrastructure Investment Trust	OIIT	-	-	-	-	-	-	-	-	-	-	-	-
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - (Private Banks)	OAPB	-	-	-	-	-	-	-	-	-	-	-	-
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - (PSU Banks)	OAPS	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL			6,48,478.20	13,147.15	2.03%	2.03%	6,38,548.51	24,756.95	3.88%	3.88%	5,11,582.68	19,790.15	3.87%	3.87%

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: October 15, 2021

Signature

Full Name

Sandesh Joshi

Chief Financial Officer

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

¹ Based on daily simple Average of Investments

² Yield netted for Tax

³ In the previous year column, the figures of the corresponding Year to date of the previous financial year is shown

⁴ Income includes term income from inception to maturity as it was received in the current quarter as realised income

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ¹			
			Investment (Rs.) ²	Income on Investment (Rs.)	Gross Yield (%) ³	Net Yield (%) ⁴	Investment (Rs.) ²	Income on Investment (Rs.)	Gross Yield (%) ³	Net Yield (%) ⁴	Investment (Rs.) ²	Income on Investment (Rs.)	Gross Yield (%) ³	Net Yield (%) ⁴
1	Central Govt. Securities													
	Central Government Bonds	COSB	6,20,518.86	14,105.43	2.27%	2.27%	6,06,045.97	21,461.97	3.54%	3.54%	4,81,155.50	22,352.19	4.65%	4.65%
	Special Deposits	CSPD	-	-	-	-	-	-	-	-	-	-	-	-
	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
	Treasury Bills	CTRB	45,188.77	392.06	0.87%	0.87%	56,089.86	961.16	1.71%	1.71%	48,617.41	1,079.91	2.22%	2.22%
2	Central Govt. Sec, State Govt Sec or Other Approved Sec													
	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	-	-	-	-	-	-	-	-
	State Government Bonds	SGGB	32,317.97	670.50	2.07%	2.07%	32,070.06	1,161.88	3.62%	3.62%	29,483.21	1,622.93	5.50%	5.50%
	State Government Guaranteed Loans	SGGL	-	-	-	-	-	-	-	-	-	-	-	-
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	6,689.24	122.76	1.84%	1.84%	7,160.99	227.73	3.18%	3.18%	7,834.43	388.13	4.95%	4.95%
	Guaranteed Equity	SGGE	-	-	-	-	-	-	-	-	-	-	-	-
3	Investments subject to Exposure Norms													
	(a) Housing & Loans to State Govt for Housing / FFE													
	1. Approved Investments													
	Loans to State Government for Housing	HLSH	-	-	-	-	-	-	-	-	-	-	-	-
	Loans to State Government for Fire Fighting Equipments	HLSF	-	-	-	-	-	-	-	-	-	-	-	-
	Term Loan - HUDCO / NHB / Institutions accredited by NHB	HTLH	-	-	-	-	-	-	-	-	-	-	-	-
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	10,050.81	108.33	1.08%	1.08%	9,467.74	209.17	2.21%	2.21%	5,128.79	156.35	3.05%	3.05%
	Housing - Securitised Assets	HMB5	-	-	-	-	-	-	-	-	-	-	-	-
	Debentures / Bonds / CPs / Loans - (Promoter Group)	HDPG	-	-	-	-	-	-	-	-	-	-	-	-
	TAXABLE BONDS													
	Bonds / Debentures issued by HUDCO	HTHD	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	1,24,638.25	3,450.10	2.77%	2.77%	1,18,216.69	4,969.64	4.20%	4.20%	1,27,692.31	6,552.26	5.13%	5.13%
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act.	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
	TAX FREE BONDS													
	Bonds / Debentures issued by HUDCO	HFHD	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HFDN	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act.	HFDA	-	-	-	-	-	-	-	-	-	-	-	-
	2. Other Investments													
	Debentures / Bonds / CPs / Loans	HODS	-	-	-	-	-	-	-	-	-	-	-	-
	Housing - Securitised Assets	HOMB	-	-	-	-	-	-	-	-	-	-	-	-
	Debentures / Bonds / CPs / Loans - (Promoter Group)	HOPG	-	-	-	-	-	-	-	-	-	-	-	-
	(b) Infrastructure Investments													
	1. Approved Investments													
	Infrastructure - Other Approved Securities	ISAS	3,469.38	33.82	0.97%	0.97%	6,462.98	125.17	1.94%	1.94%	6,806.37	152.37	2.31%	2.31%
	Infrastructure - PSU - Equity shares - Quoted	ITPE	38,407.14	3,008.39	7.83%	7.83%	39,517.53	7,552.59	19.21%	19.21%	29,540.67	1,219.83	4.13%	4.13%
	Infrastructure - Corporate Securities - Equity shares - Quoted	ITCE	88,425.56	15,737.02	17.80%	17.80%	85,262.76	19,380.58	22.73%	22.73%	51,381.20	10,049.11	19.56%	19.56%
	Infrastructure - Equity (Promoter Group)	IEPG	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Securitised Assets	IESA	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Debentures / Bonds / CPs / loans - (Promoter Group)	IDPG	-	-	-	-	-	-	-	-	-	-	-	-
	Units of Infrastructure Investment Trust	EIIT	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Infrastructure Development Fund (IDF)	IDDF	1,106.17	15.93	1.44%	1.44%	1,109.64	32.89	2.96%	2.96%	1,117.87	53.63	4.80%	4.80%
	TAXABLE BONDS													

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ²	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ²	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ²	Net Yield (%) ²
	Infrastructure - PSU - Debentures / Bonds	IPTD	3,56,927.13	8,565.33	2.40%	2.40%	3,55,561.89	13,448.76	3.78%	3.78%	3,15,036.29	16,964.86	5.39%	5.39%
	Infrastructure - PSU - CPs	ICP	4,509.35	15.77	0.35%	0.35%	5,060.16	66.78	1.32%	1.32%	3,345.64	56.24	1.68%	1.68%
	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	39,270.03	620.38	1.58%	1.58%	39,163.68	1,187.41	3.03%	3.03%	41,899.33	1,874.44	4.47%	4.47%
	Infrastructure - Long Term Bank Bonds	ILBI	15,131.33	331.48	2.19%	2.19%	15,492.68	604.24	3.90%	3.90%	16,209.40	931.42	5.75%	5.75%
	Infrastructure - Other Corporate Securities - CPs	ICP	-	-	-	-	-	-	-	-	3,250.43	43.71	1.34%	1.34%
	Infrastructure - Term Loans (with Charge)	ILWC	-	-	-	-	-	-	-	-	-	-	-	-
	TAX FREE BONDS		-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICFD	-	-	-	-	-	-	-	-	-	-	-	-
	2. Other Investments													
	Infrastructure - Equity (including unlisted)	IOEQ	2,496.31	1,309.91	52.47%	52.47%	4,390.02	2,800.88	63.80%	63.80%	2,950.27	321.45	10.90%	10.90%
	Infrastructure - Debentures / Bonds / CPs / loans	IODS	671.14	7.79	1.16%	1.16%	737.11	20.12	2.73%	2.73%	1,268.47	59.51	4.69%	4.69%
	Infrastructure - Securitised Assets	IOSA	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Equity (Promoter Group)	IOPE	1,133.74	268.42	23.68%	23.68%	1,194.51	364.77	30.54%	30.54%	-	-	-	-
	Infrastructure - Debentures / Bonds / CPs / loans - (Promoter Group)	IOPD	-	-	-	-	-	-	-	-	-	-	-	-
	Reclassified Approved Investments - Debt (Point 6 under Note for Regulation 4 to 9)	IORD	1,003.22	11.47	1.14%	1.14%	1,006.84	24.35	2.42%	2.42%	1,027.05	51.24	4.99%	4.99%
	Reclassified Approved Investments - Equity (Point 6 under Note for Regulation 4 to 9)	IORE	-	-	-	-	-	-	-	-	27,192.70	(1,567.90)	-5.77%	-5.77%
	(c) Approved Investments													
	PSU - Equity shares - Quoted	EAEQ	29,249.25	6,004.47	20.53%	20.53%	29,803.10	12,303.03	41.28%	41.28%	20,237.25	3,695.70	18.26%	18.26%
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	9,27,768.82	1,07,685.11	11.61%	11.61%	8,83,758.41	1,84,554.03	20.88%	20.88%	6,54,870.01	2,20,707.96	33.70%	33.70%
	Equity Shares - Companies incorporated outside India (Invested prior to IRDA Regulations)	EFES	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares - Promoter Group	EEPG	25,557.75	3,473.00	13.59%	13.59%	23,275.82	4,406.35	18.93%	18.93%	12,629.07	3,041.17	24.08%	24.08%
	Additional Tier 1 Perpetual Bond Basel 3	EAPB	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Bonds - (Taxable)	EPBT	28,769.66	489.59	1.70%	1.70%	29,021.25	979.80	3.38%	3.38%	30,081.60	1,740.98	5.79%	5.79%
	Corporate Securities - Bonds - (Tax Free)	EPBF	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Preference Shares	EPNQ	66.95	3.35	5.00%	5.00%	66.21	5.03	7.59%	7.59%	114.24	29.82	26.10%	26.10%
	Corporate Securities - Investment in Subsidiaries	ECIS	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Debentures	ECOS	1,35,353.33	3,241.32	2.39%	2.39%	1,29,706.16	5,155.78	3.97%	3.97%	1,06,173.85	5,389.18	5.08%	5.08%
	Corporate Securities - Debentures / Bonds/ CPs / Loan - (Promoter Group)	EDPG	43,004.24	647.34	1.51%	1.51%	44,917.46	1,316.16	2.93%	2.93%	41,809.14	2,299.20	5.50%	5.50%
	Corporate Securities - Derivative Instruments	ECDI	-	-	-	-	-	-	-	-	-	-	-	-
	Municipal Bonds - Rated	EMUN	-	-	-	-	-	-	-	-	-	-	-	-
	Investment properties - Immovable	EINP	-	-	-	-	-	-	-	-	-	-	-	-
	Loans - Policy Loans	ELPL	-	-	-	-	-	-	-	-	-	-	-	-
	Loans - Secured Loans - Mortgage of Property in India (Term Loan)	ELMI	-	-	-	-	-	-	-	-	-	-	-	-
	Loans - Secured Loans - Mortgage of Property outside India (Term Loan)	ELMO	-	-	-	-	-	-	-	-	-	-	-	-
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	1,559.78	25.14	1.61%	1.61%	1,778.69	54.84	3.08%	3.08%	10,330.79	408.28	3.95%	3.95%
	Deposits - CDs with Scheduled Banks	EDCD	15,852.40	157.73	0.99%	0.99%	11,994.58	240.12	2.00%	2.00%	36,053.44	1,093.44	3.03%	3.03%
	Deposits - Repo / Reverse Repo - Govt Securities	ECMR	1,30,993.81	1,048.08	0.80%	0.80%	1,41,505.34	2,271.82	1.61%	1.61%	1,85,713.58	2,826.71	1.52%	1.52%
	Deposits - Repo / Reverse Repo - Corporate Securities	ECRC	-	-	-	-	-	-	-	-	-	-	-	-
	Deposit with Primary Dealers duly recognised by Reserve Bank of India	EDPD	-	-	-	-	-	-	-	-	-	-	-	-
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-
	Commercial Papers	ECPP	8,084.43	83.68	1.04%	1.04%	5,950.01	123.22	2.07%	2.07%	16,364.41	485.27	2.97%	2.97%
	Application Money	ECAM	11,770.67	15.76	0.13%	0.13%	10,886.95	16.69	0.15%	0.15%	293.44	0.27	0.09%	0.09%
	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	-	-	-	-	-	-	-	-	1,004.39	33.82	3.37%	3.37%
	Perpetual Debt Instruments of Tier I & II Capital issued by Non-PSU Banks	EPPD	-	-	-	-	-	-	-	-	-	-	-	-
	Perpetual Non-Cum. P.Shares & Redeemable Cumulative P.Shares of Tier 1 & 2 Capital issued by PSU Banks	EUPS	-	-	-	-	-	-	-	-	-	-	-	-
	Perpetual Non-Cum. P.Shares & Redeemable Cumulative P.Shares of Tier 1 & 2 Capital issued by Non-PSU Banks	EPPS	-	-	-	-	-	-	-	-	-	-	-	-
	Foreign Debt Securities (Invested prior to IRDA Regulations)	EFDS	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Promoter Group)	EETP	-	-	-	-	-	-	-	-	-	-	-	-
	Debt Capital Instruments (DCI - Base III)	EDCI	-	-	-	-	-	-	-	-	-	-	-	-
	Redeemable Non- Cumulative Preference Shares (RNCPS - Base III)	ERNP	-	-	-	-	-	-	-	-	-	-	-	-
	Redeemable Cumulative Preference Shares (RCPS - Base III)	ERCP	-	-	-	-	-	-	-	-	-	-	-	-

FORM L-34-YIELD ON INVESTMENTS

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Statement as on: September 30, 2021

Name of the Fund: Linked

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ²	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ²	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ²	Net Yield (%) ²
	Mutual Funds - Gift / G Sec / Liquid Schemes	EGMF	-	0.49	0.00%	0.00%	5,093.49	41.85	0.82%	0.82%	24,717.33	517.11	2.09%	2.09%
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	-	-	-	-	10,487.94	230.35	2.20%	2.20%
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds	EAPS	-	-	-	-	-	-	-	-	-	-	-	-
	Net Current Assets (Only in respect of ULIP Business)	ENCA	29,590.72	-	-	-	29,590.72	-	-	-	20,508.90	-	0.00%	0.00%
	Debt Instruments of REITs	EDRT	1,032.49	29.27	2.84%	2.84%	1,032.49	29.27	2.84%	2.84%	-	-	-	-
	(d) Other Investments													
	Bonds - PSU - Taxable	OBPT	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds - PSU - Tax Free	OBPF	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl Co-op Societies)	OESH	45,278.73	3,039.86	6.71%	6.71%	41,433.23	5,634.27	13.60%	13.60%	15,443.92	9,287.26	60.14%	60.14%
	Equity Shares (PSUs & Unlisted)	OEPJ	6,502.72	(1,064.92)	-16.38%	-16.38%	6,667.97	2,885.76	43.28%	43.28%	2,221.39	290.34	13.07%	13.07%
	Equity Shares - Promoter Group	OEPQ	715.75	(26.15)	-3.93%	-3.93%	735.11	(40.97)	-5.57%	-5.57%	352.45	123.40	35.58%	35.58%
	Debentures	OLDB	5,770.39	205.92	3.57%	3.57%	5,874.88	372.74	6.34%	6.34%	6,852.01	367.09	5.36%	5.36%
	Debentures / Bonds/ CPs / Loans etc. - (Promoter Group)	ODPG	-	-	-	-	-	-	-	-	-	-	-	-
	Municipal Bonds	OMUN	-	-	-	-	-	-	-	-	-	-	-	-
	Commercial Papers	OACP	-	-	-	-	-	-	-	-	-	-	-	-
	Preference Shares	OPSH	-	-	-	-	-	-	-	-	-	-	-	-
	Venture Fund / SEBI approved Alternate Investment Fund (Category I)	OAFB	-	-	-	-	-	-	-	-	-	-	-	-
	Venture Fund / SEBI approved Alternate Investment Fund (Category II)	OAFB	-	-	-	-	-	-	-	-	-	-	-	-
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
	Term Loans (without Charge)	OTLW	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Schemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	OETF	49,463.68	3,733.99	7.55%	7.55%	47,774.82	6,415.84	13.43%	13.43%	34,254.09	4,033.28	11.77%	11.77%
	Passively Managed Equity ETF (Promoter Group)	OETP	2,147.90	170.05	7.92%	7.92%	1,468.54	183.62	12.50%	12.50%	72.27	(7.38)	-10.22%	-10.22%
	Derivative Instruments	OCDI	-	-	-	-	-	-	-	-	-	-	-	-
	Securitized Assets	OPSA	-	-	-	-	-	-	-	-	-	-	-	-
	Investment properties - Immovable	OIPI	-	-	-	-	-	-	-	-	-	-	-	-
	Reclassified Approved Investments - Debt	ORAD	5,007.04	63.93	1.28%	1.28%	5,063.87	145.75	2.88%	2.88%	6,492.29	325.35	5.01%	5.01%
	Reclassified Approved Investments - Equity	ORAE	46,086.94	2,699.27	5.86%	5.86%	44,102.18	8,072.86	18.30%	18.30%	26,649.05	4,480.43	16.81%	16.81%
	Units of Infrastructure Investment Trust	OIIT	-	-	-	-	-	-	-	-	-	-	-	-
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - (Private Banks)	OAPB	-	-	-	-	-	-	-	-	-	-	-	-
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - (PSU Banks)	OAPS	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL			29,41,581.85	1,80,499.18	6.14%	6.14%	28,85,312.36	3,09,767.96	10.74%	10.74%	24,84,464.18	3,23,762.74	13.14%	13.14%

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: October 15, 2021

Signature

Full Name

Sandesh Joshi

Chief Financial Officer

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

¹ Based on daily simple Average of Investments

² Yield netted for Tax

³ In the previous year column, the figures of the corresponding Year to date of the previous financial year is shown

⁴ Income includes term income from inception to maturity as it was received in the current quarter as realised income

FORM L-35-DOWNGRADING OF INVESTMENTS

PART - A

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Statement as on: September 30, 2021

Name of Fund Life

Statement of Downgraded Investments

Periodicity of Submission: Quarterly

Rs Lakhs									
No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A	<i>During the quarter</i>								
B.	<i>As on Date</i>								
1	2% TATA STEEL LTD NCD (MD 23/04/2022)	ECOS	1,767.97	22.05.2012	ICRA-LONG	AA+	AA	28.10.2016	
2	8.15% TATA STEEL LTD NCD (MD 01/10/2026)	ECOS	5,498.26	04.10.2016	ICRA-LONG	AA+	AA	28.10.2016	
3	7.59% PNB HOUSING FINANCE LTD NCD (MD 27/07/2022)	HTDN	499.76	26.07.2017	CARE-LONG	AAA	AA	09.03.2020	
4	8.85% YES BANK LTD NCD 24/02/2025)*	IORD	2,497.47	24.02.2015	CARE-LONG	AA+	BBB	10.11.2020	
5	9.81% TATA MOTORS LTD NCD (MD 20/08/2024)	ORAD	1,499.31	17.09.2014	CARE-LONG	AA	AA-	05.08.2019	
6	9.35% TATA MOTORS LTD NCD (MD 10/11/2023)	ORAD	3,004.02	14.11.2014	CARE-LONG	AA	AA-	05.08.2019	
7	9.35% GRUH FINANCE LTD NCD (MD 31/10/23) F-020	HTDN	998.96	06.12.2018	CRISIL-LONG	AAA	AA	13.11.2019	
8	9.50% YES BANK LTD. PERPETUAL ADD TIER 1 BASEL 3 CALL (23/1	EAPB	-	23.12.2016	ICRA-LONG	AA	D	06.03.2020	
9	9.50% GRUH FINANCE LTD NCD (MD 30/10/28) F 016	HTDN	2,994.94	26.10.2018	CRISIL-LONG	AAA	AA	13.11.2019	
10	7.85% Indian Hotels Co Ltd (MD 15/04/2022) S-1	ECOS	2,493.20	01.02.2018	CARE-LONG	AA+	AA	08.01.2021	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: October 15, 2021

Signature

Full Name

Sandesh Joshi

Chief Financial Officer

Note: *Downgraded to 'D' on March 6, 2020 then Upgraded to 'BB+' on March 24, 2020 and finally upgraded to 'BBB' on September 14, 2020 by ICRA & by Care on November 10, 2020

FORM L-35-DOWNGRADING OF INVESTMENTS

PART - A

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Statement as on: September 30, 2021

Name of Fund Pension & General Annuity

Statement of Downgraded Investments

Periodicity of Submission: Quarterly

Rs Lakhs									
No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A	<u>During the quarter</u>								
B.	<u>As on Date</u>								
1	8.15% TATA STEEL LTD NCD (MD 01/10/2026)	ECOS	3,933.47	04.10.2016	ICRA-LONG	AA+	AA	28.10.2016	
2	2% TATA STEEL LTD NCD (MD 23/04/2022)	ECOS	3,544.64	22.05.2012	ICRA-LONG	AA+	AA	28.10.2016	
3	7.59% PNB HOUSING FINANCE LTD NCD (MD 27/07/2022)	HTDN	499.76	26.07.2017	CARE-LONG	AAA	AA	09.03.2020	
4	7.89% CAN FIN HOMES LTD. NCD MD (18/05/2022) SERIES 6	HTDN	429.64	22.05.2017	FITCH-LONG	AAA	AA	19.12.2019	
5	9.35% GRUH FINANCE LTD NCD (MD 31/10/23) F-020	HTDN	2,497.39	06.12.2018	CRISIL-LONG	AAA	AA	13.11.2019	
6	9.50% GRUH FINANCE LTD NCD (MD 30/10/28) F 016	HTDN	2,994.94	26.10.2018	CRISIL-LONG	AAA	AA	13.11.2019	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: October 15, 2021

Signature

Full Name

Sandesh Joshi

Chief Financial Officer

FORM L-35-DOWNGRADING OF INVESTMENTS

PART - A

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Name of Fund Linked

Statement as on: September 30, 2021

Statement of Downgraded Investments

Periodicity of Submission : Quarterly

Rs Lakhs

No.	Name of the Security	COI	Amount	Date of Purchase	Current Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A	<i>During the quarter</i>								
B	<i>As on Date</i>								
1	2% TATA STEEL LTD NCD (MD 23/04/2022)	ECOS	10,364.16	22.05.2012	ICRA-LONG	AA+	AA	28.10.2016	
2	8.15% TATA STEEL LTD NCD (MD 01/10/2026)	ECOS	5,404.04	04.10.2016	ICRA-LONG	AA+	AA	28.10.2016	
3	7.89% CAN FIN HOMES LTD. NCD MD (18/05/2022) SERIES 6	HTDN	4,198.01	22.05.2017	FITCH-LONG	AAA	AA	19.12.2019	
4	9.50% GRUH FINANCE LTD NCD (MD 30/10/28) F 016	HTDN	1,700.30	26.10.2018	CRISIL-LONG	AAA	AA	13.11.2019	
5	7.50% TATA MOTORS LTD NCD (MD 22/06/2022)	ORAD	2,545.08	23.10.2018	CARE-LONG	AA	AA-	20.08.2019	
6	7.85% Indian Hotels Co Ltd (MD 15/04/2022) S-1	ECOS	5,953.83	01.02.2018	CARE-LONG	AA+	AA	08.01.2021	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: October 15, 2021

Signature

Full Name

Sandesh Joshi

Chief Financial Officer

Sr. No	Particulars		Quarter ended 30th Sep 2021				Quarter ended 30th Sep 2020				Period ended 30th Sep 2021				Period ended 30th Sep 2020			
			Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable
1	First year Premium																	
	i	Individual Single Premium- (ISP)																
		From 0-10000	31	81	81	304	22	59	59	241	45	115	115	457	47	115	115	513
		From 10,000-25,000	351	155	155	424	373	163	163	529	673	244	244	655	703	320	320	1,018
		From 25001-50,000	865	215	215	1,059	621	151	151	884	1,715	341	341	1,669	1,069	266	266	1,547
		From 50,001- 75,000	608	85	85	686	252	38	38	358	977	118	118	950	464	71	71	672
		From 75,000-100,000	549	50	50	627	358	35	35	512	913	72	72	888	532	52	52	769
		From 1,00,001 -1,25,000	484	37	37	529	238	20	20	340	711	49	49	692	347	29	29	502
		Above Rs. 1,25,000	3,545	92	92	4,143	1,359	37	37	1,941	6,263	129	129	6,093	2,149	60	60	3,110
	ii	Individual Single Premium (ISPA)- Annuity																
		From 0-50000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 50,001-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 1,00,001-150,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 150,001- 2,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 2,00,001-250,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 2,50,001 -3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		Above Rs. 3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	iii	Group Single Premium (GSP)																
		From 0-10000	(40)	-	1,086	58	0	1	789	64	(40)	-	5,088	495	(1,003)	1	862	807
		From 10,000-25,000	1	-	19	52	0	1	10	61	1	-	3,216	212	1	2	27	156
		From 25001-50,000	3	-	21	713	1	-	4	37	4	-	106	1,060	1	-	8	254
		From 50,001- 75,000	1	-	51	159	1	-	111	104	1	-	51	159	3	-	177	361
		From 75,000-100,000	3	-	265	411	5	2	15	201	6	-	284	615	9	2	131	924
		From 1,00,001 -1,25,000	2	1	2,995	393	1	-	8	99	3	1	2,995	393	1	-	8	99
		Above Rs. 1,25,000	98,099	16	3,57,866	3,92,898	59,341	12	1,96,267	2,11,350	1,27,116	34	4,72,906	5,92,136	1,14,519	22	2,23,172	2,67,653
	iv	Group Single Premium- Annuity- GSPA																
		From 0-50000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 50,001-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 1,00,001-150,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 150,001- 2,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 2,00,001-250,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 2,50,001 -3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		Above Rs. 3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Sr. No	Particulars		Quarter ended 30th Sep 2021				Quarter ended 30th Sep 2020				Period ended 30th Sep 2021				Period ended 30th Sep 2020			
			Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable
	v	Individual non Single Premium- INSP																
		From 0-10000	7,083	13,290	13,290	1,99,386	6,477	16,106	16,106	2,06,709	12,342	23,834	23,834	3,68,631	11,847	33,737	33,737	4,53,738
		From 10,000-25,000	1,815	8,655	8,655	1,95,087	2,219	11,728	11,728	1,79,544	2,977	14,807	14,807	3,50,287	4,299	22,788	22,788	3,60,438
		From 25001-50,000	5,271	12,054	12,054	2,27,116	5,380	14,146	14,146	1,95,362	8,683	20,748	20,748	4,04,473	9,760	25,550	25,550	3,42,453
		From 50,001- 75,000	5,204	7,851	7,851	1,44,632	4,562	7,581	7,581	1,19,556	8,501	13,385	13,385	2,53,277	7,394	12,234	12,234	1,99,323
		From 75,000-100,000	3,437	3,015	3,015	74,342	2,598	2,557	2,557	56,760	5,451	4,985	4,985	1,22,136	4,511	4,395	4,395	96,128
		From 1,00,001 -1,25,000	5,823	4,633	4,633	91,671	4,980	4,358	4,358	76,111	9,311	7,725	7,725	1,58,691	7,834	6,834	6,834	1,26,031
		Above Rs. 1,25,000	26,126	6,166	6,166	3,54,361	16,912	4,690	4,690	2,39,837	39,543	9,973	9,973	5,80,334	28,147	7,662	7,662	4,22,592
	vi	Individual non Single Premium- Annuity- INSPA																
		From 0-50000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 50,001-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 1,00,001-150,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 150,001- 2,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 2,00,001-250,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 2,50,001 -3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		Above Rs. 3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	vii	Group Non Single Premium (GNSP)																
		From 0-10000	50	5	24,160	2,65,738	60	15	24,810	7,54,590	127	10	65,180	5,91,994	45	18	45,745	10,23,604
		From 10,000-25,000	21	8	6,281	73,902	26	21	5,105	83,206	56	15	13,923	1,90,110	46	25	10,584	1,63,394
		From 25001-50,000	26	4	12,012	1,52,310	26	10	7,004	68,802	48	7	20,974	2,26,110	54	15	14,093	1,67,045
		From 50,001- 75,000	25	2	7,617	89,520	47	13	8,483	1,27,404	45	2	11,876	1,59,458	66	18	10,134	1,71,824
		From 75,000-100,000	23	-	2,517	54,184	26	4	2,579	46,079	46	1	6,220	1,14,272	51	6	5,298	1,12,106
		From 1,00,001 -1,25,000	8	-	823	47,921	32	4	4,607	62,517	29	-	3,824	1,42,483	59	12	7,977	1,10,566
		Above Rs. 1,25,000	5,983	9	1,90,007	32,25,140	2,448	91	1,45,378	29,04,470	6,903	20	2,74,471	47,25,446	7,016	188	3,20,949	68,81,069
	viii	Group Non Single Premium- Annuity- GNSPA																
		From 0-10000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 10,000-25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 25001-50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 50,001- 75,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 75,000-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 1,00,001 -1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		Above Rs. 1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Renewal Premium																	
	i	Individual																
		From 0-10000	2,829	47,276	47,276	14,41,581	2,986	60,174	60,174	14,16,104	5,122	99,577	99,577	18,71,714	4,903	1,29,151	1,29,151	19,61,247
		From 10,000-25,000	11,888	1,30,524	1,30,524	40,77,021	11,871	1,46,459	1,46,459	36,33,435	21,343	2,62,287	2,62,287	54,09,314	19,306	2,92,838	2,92,838	49,99,742
		From 25001-50,000	12,853	82,349	82,349	29,18,376	12,426	85,487	85,487	24,90,455	22,988	1,64,971	1,64,971	40,40,302	20,045	1,69,407	1,69,407	34,99,776

Sr. No	Particulars		Quarter ended 30th Sep 2021				Quarter ended 30th Sep 2020				Period ended 30th Sep 2021				Period ended 30th Sep 2020			
			Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable
		From 50,001 - 75,000	8,068	35,090	35,090	14,25,124	7,351	34,706	34,706	11,61,298	14,333	70,498	70,498	19,86,619	11,721	68,832	68,832	16,52,806
		From 75,000-100,000	5,512	15,317	15,317	7,81,634	5,124	15,305	15,305	6,45,190	9,800	30,357	30,357	10,51,004	8,215	30,263	30,263	8,82,268
		From 1,00,001 -1,25,000	6,991	16,777	16,777	7,31,990	6,176	15,714	15,714	5,97,888	12,377	32,604	32,604	10,00,682	9,726	30,524	30,524	8,30,050
		Above Rs. 1,25,000	84,068	1,13,128	1,13,128	63,98,799	69,339	1,08,406	1,08,406	52,76,293	1,47,020	2,16,150	2,16,150	81,68,389	1,11,384	2,04,958	2,04,958	67,86,289
	ii	Individual- Annuity																
		From 0-10000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 10,000-25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 25001-50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 50,001 - 75,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 75,000-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 1,00,001 -1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		Above Rs. 1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	iii	Group																
		From 0-10000	(121)	10	467	(63,704)	(260)	37	6,992	7,29,210	(557)	154	2,035	(2,10,465)	(367)	47	8,067	8,18,300
		From 10,000-25,000	(7)	4	1,076	(1,159)	(2)	18	598	7,319	(16)	19	1,232	(11,290)	(5)	36	1,143	14,379
		From 25001-50,000	(5)	6	41	(5,383)	6	23	742	10,555	(7)	12	403	226	13	49	2,531	37,133
		From 50,001 - 75,000	0	12	133	2,875	9	27	3,453	38,041	7	20	511	9,846	18	46	4,687	57,153
		From 75,000-100,000	0	7	165	4,376	20	19	1,732	19,580	1	12	1,703	7,352	31	34	2,932	49,089
		From 1,00,001 -1,25,000	11	8	438	2,914	12	19	1,468	30,302	15	8	799	19,911	31	39	3,656	57,991
		Above Rs. 1,25,000	18,373	230	6,40,366	59,12,920	5,951	279	6,51,917	66,50,326	27,264	291	9,41,415	1,55,58,347	13,339	576	10,90,335	1,82,29,961
	iv	Group- Annuity																
		From 0-10000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 10,000-25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 25001-50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 50,001 - 75,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 75,000-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 1,00,001 -1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		Above Rs. 1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Note:

1. Premium stands for premium amount.

2. No. of lives means no. of lives insured under the policies.

3. Premium collected for Annuity will be disclosed separately as stated above.

4. The Above data excludes Rural Obligation.

Name of the Insurer: Aditya Birla Sun Life Insurance Co Ltd

Quarter End: Sep 2021

Date: 30-Sep-21

[illegible]

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Business Acquisition through Different Channels (Individual)

Quarter End:

Date:
Q2

30-09-2021

Sl. No.	Channels	For the Quarter - Current Year		For the Quarter - Previous Year		Up to the Quarter - Current Year		Up to the Quarter - Previous Year	
		No. of Policies	Premium (Rs. Lakhs)	No. of Policies	Premium (Rs. Lakhs)	No. of Policies	Premium (Rs. Lakhs)	No. of Policies	Premium (Rs. Lakhs)
1	Individual agents	22,753	21,087	26,352	18,427	39,829	36,420	50,977	31,278
2	Corporate Agents-Banks	30,610	36,310	32,858	25,531	51,783	55,866	57,818	43,975
3	Corporate Agents -Others	42	134	104	270	86	374	127	361
4	Brokers	452	532	10	452	498	872	23	520
5	Micro Agents	-	-	-	-	-	-	-	-
6	Direct Business								
	- Online (Through Company Website)	219	135	169	143	539	256	283	110
	- Others	1,970	2,788	1,611	1,455	3,214	4,020	3,279	2,625
7	IMF	-	-	-	-	-	-	-	-
8	Common Service Centres	-	-	-	-	-	-	-	-
9	Web Aggregators	107	120	52	12	340	176	70	19
10	Point of Sales	228	26	515	103	238	49	1538	303
11	Others (Please Specify)	-	-	-	-	-	-	-	-
	Total	56,381	61,131	61,671	46,393	96,527	98,032	1,14,115	79,192
	Referral Arrangements	-	-	-	-	-	-	-	-

Note:

1. No of Policies stand for no. of policies sold

PERIODIC DISCLOSURES									
L 39 - Quarterly Group Ageing of Claims*									
Insurer : Aditya Birla Sun Life Insurance Company Limited (Reg. No. 109)									
Date: 30th Sep, 2021									
Ageing of Claims ¹									
Sl.No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. In Lakhs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims								
2	Survival Benefit								
3	Annuities / Pension								
4	Surrender		562					562	118
5	Other benefits		1122					1122	59,263
	Death Claims								

¹ The ageing of claims:- in case of the death claim the settlement duration will be computed from the date of receipt of last requirement.

PERIODIC DISCLOSURES									
L 39 - Upto the Year Group Ageing of Claims*									
Insurer : Aditya Birla Sun Life Insurance Company Limited (Reg. No. 109)									
Date 30th Sep, 2021									
Ageing of Claims ¹									
Sl.No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. In Lakhs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims								
2	Survival Benefit								
3	Annuities / Pension								
4	Surrender		939					939	227
5	Other benefits		1951					1951	92,352
	Death Claims								

PERIODIC DISCLOSURES

FORM L-40 Individual claims data for Life for Quarter ending 30th Sep'2021

Insurer: Aditya Birla Sun Life Insurance Co. Ltd. (Reg No : 109)

Insurance Policy Data - Claims Experience for Year (Reg No: 1234)							
		No. of claims only				Date:	30.09.2021
Sl. No.	Claims Experience	For Death	For Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	912	807	554	2449	514	625
2	Claims reported during the period*	3539	7669	26358	6404	18792	9500
a	Less than 5 years from the date of acceptance of risk	1218					
b	Greater than 3 years from the date of acceptance of risk	2321					
3	Claims Settled during the period	3512	7863	26224	6471	18793	9427
4	Claims Repudiated during the period	60					1
5	Claims Rejected						
6	Claims Written Back		4			2	7
7	Claims Unclaimed** (in the qtr.)						
8	Claims O/S at End of the period	879	609	688	2382	511	690
	Less than 3 months	786	271	629	483	511	293
	3 months to 6 months	93	46	43	446		53
	6 months to 1 year	0	87	13	714		96
	1 year and above	0	205	3	739		248

* Claims reported include all intimations where mandatory documents were received

** Pending claims which are transferred to Unclaimed A/c. after a mandatory period as per the internal policy of the insurer

PERIODIC DISCLOSURES

FORM L-40 Individuals claims data for Life till year ending 30th Sep 2021

Insurer: Aditya Birla Sun Life Insurance Co. Ltd. (Reg No : 109)

		<i>No. of claims only</i>				Date:	30.09.2021
Sl. No.	Claims Experience	For Death	For Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	11	2043	590	1989	36	679
2	Claims reported during the period*	6186	13436	49140	12129	28094	16558
a	Less than 3 years from the date of acceptance of risk	2107					
b	Greater than 3 years from the date of acceptance of risk	4079					
3	Claims Settled during the period	5256	14866	49042	11736	27616	16532
4	Claims Repudiated during the period	62					` 1
5	Claims Rejected	0					
6	Claims Written Back	0	4			3	14
7	Claims Unclaimed** (in the qtr.)	0					-
8	Claims O/S at End of the period	879	609	688	2382	511	690
	Less than 3 months	786	271	629	483	511	293
	3 months to 6 months	93	46	43	446	0	53
	6 months to 1 year	0	87	13	714	0	96
	1year and above	0	205	3	739	0	248

** Pending claims which are transferred to Unclaimed A/c. after a mandatory period as per the internal policy of the insurer

PERIODIC DISCLOSURES	
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FORM L-41 GRIEVANCE PROPOSAL

GRIEVANCE PROPOSAL UPTO THE QUARTER ENDING : 30-Sep-2021

Insurer: ADITYA BIRLA SUN LIFE INSURANCE COMPANY LTD (Regn. No 109) Date : 30-Sep 2021

Insurer: ADITYA BIRLA SUN LIFE INSURANCE COMPANY LTD (Regn. No 109) Date : 30-Sep 2021

[illegible]

I. Individual Business

II. Group Business	Range (Minimum to Maximum) of parameters used for valuation
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Type	Category of business	Interest Rate		Mortality Rate		Morbidity Rate		Fixed Expenses ²		Variable Expenses ³		Inflation Rate		Withdrawal rates ⁴		Future Bonus Rates (Assumption)	
		As at for the year X	As at for the year X-1	As at for the year X	As at for the year X-1	As at for the year X	As at for the year X-1	As at for the year X	As at for the year X-1	As at for the year X	As at for the year X-1	As at for the year X	As at for the year X-1	As at for the year X	As at for the year X-1	As at for the year X	As at for the year X-1
Par	Non-Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Non-Linked -Others																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Linked-Others																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Non-Par	Non-Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Non-Linked -Others																
	Life	5.35%	5.50%	24.84%*- 309.38%*	37.25%*- 294.93%*	NA	NA	5.63- 215.38	5.63- 205.12	0%-8.00%	0%-3.00%	5%	5%	0%-2.40%	1.80%- 2.40%		
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Linked-Others																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		

¹ Individual and Group Business are to be reported separately

² Fixed per policy expenses

³ Premium related expenses

⁴ Restricted to Lapse and Surrender

In addition, insurers may also voluntarily disclose Product and Type wise Actual Bonus Declared separately.

Note:

*Mortality Rates are a % of IALM 2012-14 Ultimate Table

**Mortality Rates are a % of Annuitant Table 2012-15

***Mortality Rates are a % of Annuitant Table 1996-98

1 Brief details on valuation data covering its accuracy,completeness and reasonableness and how the data flows to the valuation system

A. Completeness and Reasonableness checks

a. All policy details are extracted from Ingenium, our policy administration system. The information extracted includes policy identification, coverage type, date of commencement, date of birth, gender, plan type, investment option, policy term, premi

b. Checks for Data completeness/accuracy/reasonableness include:

For Linked business, the number of units from valuation data is equal to units from Investment Data.

Number of new business records reconciled with those from alternate source.

The last policy number in the valuation data is cross-checked with an independent source.

All linked policies should have fund option.

No policy has unidentified product code.

RPU sum assured is correctly calculated for Paid-Up policies.

No matured policies should appear as Inforce.

Reasonableness checks based on average policy term, average premium term, average outstanding term, average age, average premium and average benefit.

Changes in demographics like Age, Gender, Term, etc.

B. Data flowing into Valuation system.

a. A set of DCS codes are run to convert each input data into Prophet readable format (Model point files).

b. All model point files from previous step are linked into the Prophet models. Prophet models are run as on valuation date.

2 Brief mention of any significant change in the valuation basis and /or methodology

There have been no changes to valuation basis and/or methods.

Form L 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: Aditya Birla Sun Life Insurance

For the Quarter ending: September 2021

Date: 26/10/2021

Meeting Date	Investee Company	Type of Meeting	Proposal of Management /	Description of the proposal	Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the
08 th September 2021	Sona BLW Precision Forgings Ltd	AGM	Resolution by Postal Ballot	The resolution requires approval for the Exit Return Incentive Plan (ERI) for the company by Singapore VII Topco III PTE. Ltd.	To approve the Exit Return Incentive Plan (ERI) for the employees of the Company by Singapore VII Topco III PTE. Ltd.	FOR	The ER plan aligns the incentives of key employees with minority shareholders from a value creation standpoint

Aditya Birla Sun Life Insurance Company Limited

Date: 30.09.2021

Sl. No.	Information		Number
1	No. of offices at the beginning of the year		386
2	No. of branches approved during the year		0
3	No. of branches opened during the year	Out of approvals of previous year	0
4		Out of approvals of this year	0
5	No. of branches closed during the year		25
6	No of branches at the end of the year		361
7	No. of branches approved but not opened		0
8	No. of rural branches		0
9	No. of urban branches		361
10	No. of Directors:-		
	(a) Independent Director		3
	(b) Executive Director		1
	(c) Non-executive Director		7
	(d) Women Director		1
11	(e) Whole time director		1
	No. of Employees		
	(a) On-roll:		20,699
	(b) Off-roll:		240
12	(c) Total		20,939
	No. of Insurance Agents and Intermediaries:		
	(a) Individual Agents,		71126
	(b) Corporate Agents-Banks		12
	(c) Corporate Agents- Others		12
	(d) Insurance Brokers		140
	(e) Web Aggregators		7
	(f) Insurance Marketing Firm		0
	(g) Micro Agents		6
	(h) Point of Sales persons (DIRECT)		3648
	(i) Other as allowed by IRDAI (To be specified)		0

Employees and Insurance Agents and Intermediaries -Movement

Particulars	Employees	Insurance Agents and Intermediaries
Number at the beginning of the quarter	19,817	68456
Recruitments during the quarter	4,428	3150
Attrition during the quarter	3,306	480
Number at the end of the quarter	20,939	71126