(Read with Regulation 10)

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Statement as on: September 30, 2020

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name	of	the	Fund:	Life

	y of Submission: Quarterly			Current Quarter				Year to Date (current year)				Veen to Date (enoughers	3	Rs Thousands
				Current Quarter				rear to Date (current year)				Year to Date (previous	/ear) -	1
No.	Category of investment	Category Code	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%)2	Investment (Rs.) ¹	Income on investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Central Govt. Securities													
	Central Government Bonds	CGSB	68,662,711.64	1,317,254.45	1.92%	1.92%	66,711,708.37	2,565,603.54	3.85%	3.85%	51,765,550.56	2,045,390.42	3.95%	3.95%
	Special Deposits	CSPD		-	-	-	-	=	-	-	-	-	-	-
	Deposit under Section 7 of Insurance Act, 1938 Treasury Bills	CTRB	493,783.17	1.573.42	0.32%	0.32%	493,783.17	1.573.42	0.32%	0.32%	-	-	-	-
	Treasury Ditto	OTTLE	100,700127	1,070.42	0.0270	0.0270	100,700.17	1,575.42	0.0270	0.0270				
2	Central Govt. Sec, State Govt Sec or Other Approved Sec													
	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	-	_	-	-	-	-	-	-
	State Government Bonds	SGGB	8,827,284.63	170,519.42	1.93%	1.93%	8,558,588.82	330,203.90	3.86%	3.86%	5,731,410.54	227,545.69	3.97%	3.97%
	State Government Guaranteed Loans	SGGL		-	-	-	-	-	-	-	-	-	-	-
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	413,659.42	8,022.57	1.94%	1.94%	400,781.08	15,490.98	3.87%	3.87%	387,029.73	15,217.50	3.93%	3.93%
	Guaranteed Equity	SGGE	-	-	-	-	-	-	-	-	=	-	-	-
3	Investments subject to Exposure Norms													
	Investments subject to Exposure Norms (a) Housing & Loans to State Govt for Housing / FFE													
	1. Approved Investments													
	Loans to State Government for Housing	HLSH	-	-	-	-	-	-	-	-	-	-	-	-
	Loans to State Government for Fire Fighting Equipments	HLSF	=	-	-	-	-	-	-	-	-	-	-	-
	Term Loan - HUDCO / NHB / Institutions accredited by NHB	HTLH	-	-	-	-	-	-	-	-	-	-	-	-
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
	Housing - Securitised Assets	HMBS	-	_	-	_	-	_	-	-	-	-	-	-
	Debentures / Bonds / CPs / Loans - (Promoter Group)	HDPG	99,943.56	2,096.82	2.10%	2.10%	99,965.48	4,215.70	4.22%	4.22%	100,000.00	4,252.93	4.25%	4.25%
	TAXABLE BONDS													
	Bonds / Debentures issued by HUDCO	HTHD	149,997.60	3,198.57	2.13%	2.13%	149,989.82	6,320.07	4.21%	4.21%	150,000.00	6,323.27	4.22%	4.22%
	Bonds / Debentures issued by NHB / Institutions	HTDN	9,536,295.54	200,931.24	2.11%	2.11%	8,931,960.14	373,964.88	4.19%	4.19%	5,941,383.16	258,791.94	4.36%	4.36%
	accredited by NHB Bonds / Debentures issued by Authority constituted	IIIDN	9,000,290.04	200,931.24	2.1170	2.1170	6,931,900.14	373,904.88	4.1970	4.1970	3,941,363.10	230,791.94	4.50%	4.30%
	under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
	Central / State Act TAX FREE BONDS													
	Bonds / Debentures issued by HUDCO	HFHD	-	-	-	_	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions	HFDN	_	_	_	_	_	_			-	_	_	_
	accredited by NHB Bonds / Debentures issued by Authority constituted	111 011												
	under any Housing / Building Scheme approved by	HFDA	_	_	_	_	_	_	_	_	_	_	_	-
	Central / State / any Authority or Body constituted by													ĺ
	Central / State Act 2. Other Investments													
	Debentures / Bonds / CPs / Loans	HODS	-	_	-	_	-	_	_	_	_	-	-	-
	Housing - Securitised Assets	HOMB	-	-	-	-	-	-	-	-	-	-	-	-
	Debentures / Bonds / CPs / Loans - (Promoter Group)	HOPG	-	-	-	-	-	-	-	-	-	-	-	-
	(b) Infrastructure Investments													
	1. Approved Investments													
	Infrastructure - Other Approved Securities	ISAS	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Equity shares - Quoted	ITPE	69,527.06	1,288.43	1.85%	1.85%	70,539.19	- 6,714.36	-9.52%	-9.52%	130,818.01	1,724.70	1.32%	1.32%
	Infrastructure - Corporate Securities - Equity shares- Quoted	ITCE	180,185.68	1,544.59	0.86%	0.86%	164,624.28	1,544.59	0.94%	0.94%	195,191.75	1,734.01	0.89%	0.89%
	Infrastructure - Equity (Promoter Group)	IEPG	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Securitised Assets	IESA	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Debentures / Bonds / CPs / loans - (Promoter Group)	IDPG	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure Bonds	EIIT	398,474.63	- 59,668.12	-14.97%	-14.97%	390,068.20	- 59,668.12	-15.30%	-15.30%	521,748.81	-	0.00%	0.00%
	Infrastructure - Infrastructure Development Fund (IDF)	IDDF	99,953.56	2,266.71	2.27%	2.27%	99,957.84	4,651.90	4.65%	4.65%	100,000.00	4,655.99	4.66%	4.66%
	TAXABLE BONDS Infrastructure - PSU - Debentures / Bonds	IPTD	26,789,176.27	549,347.54	2.05%	2.05%	25,321,565.78	1,037,258.73	4.10%	4.10%	17,979,523.42	763,378.66	4.25%	4.25%
	Infrastructure - PSU - CPs	IPCP	-	_	_		-	_		_	-	-	-	-

(Read with Regulation 10)

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Statement as on: September 30, 2020

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Thousands

Periodic	city of Submission: Quarterly													Rs Thousands
				Current Quarter				Year to Date (current year)				Year to Date (previous	year) ³	
No.	Category of Investment	Category Code	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%)2
	Infrastructure - Other Corporate Securities -	ICTD	2,405,199.51	53,881.01	2.24%	2.24%	2,405,171.02	107,952.42	4.49%	4.49%	1,888,071.98	82,479.98	4.37%	4.37%
	Dehentures / Bonds	ILBI	1.811.415.86	38,985.86	2.15%	2.15%	1.811.359.09	76,799.35	4.24%	4.24%	1.725.556.31	73.646.43	4.27%	4.27%
	Infrastructure - Long Term Bank Bonds Infrastructure - Other Corporate Securities - CPs	ICCP	1,011,413.00	38,985.86	2.15%	2.15%	1,011,009.09	76,799.35	4.24%	4.24%	1,723,330.31	73,040.43	- 4.2770	4.2770
	Infrastructure - Term Loans (with Charge)	ILWC	-		-	-	-			-	-	_	-	_
	TAX FREE BONDS		-	-	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Other Corporate Securities - Debentures/Bonds	ICFD	-	-	-	-	-	-	-	-	-	-	-	-
	2. Other Investments													
	Infrastructure - Equity (including unlisted)	IOEQ	-		-	-	-		-	-	-	-	-	
	Infrastructure - Debentures / Bonds / CPs / loans	IODS	470,718.63	6,085.77	1.29%	1.29%	475,755.29	14,253.95	3.00%	3.00%	516,864.23	14,445.23	2.79%	2.79%
	Infrastructure - Securitised Assets	IOSA	-		-	-	-	-	-	-	-	-	-	-
	Infrastructure - Equity (Promoter Group) Infrastructure - Debentures / Bonds / CPs / Ioans -		-		-	-	-		-	-	-	-	-	-
	(Promoter Group)	IOPD	349,720.45	-	- 0.100/	- 0.4.00/	349,730.64	-	-	-	302.190.47	13,015.57	4.31%	4.31%
	Reclassified Approved Investments - Debt (Point Reclassified Approved Investments - Equity (Poin	IORE	126,507.82	7,630.92	2.18%	2.18%	116,186.23	15,040.80	4.30%	4.30%	302,190.47	13,015.57	4.51%	4.51%
	reclassified Approved investments - Equity (Poin	IORE	120,307.82	474.83	0.38%	0.38%	110,100.23	474.83	0.41%	0.41%		+		
	(c) Approved Investments													
	PSU - Equity shares - Quoted	EAEQ	91,570.85	- 3,389.52	-3.70%	-3.70%	82,793.87	- 3,389.52	-4.09%	-4.09%	99,878.94	1,146.46	1.15%	1.15%
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	4,786,999.24	173,403.65	3.62%	3.62%	4,429,594.88	199,452.54	4.50%	4.50%	2,621,019.99	24,161.69	0.92%	0.92%
	Equity Shares - Companies incorporated outside India (invested prior to IRDA Regulations)	EFES	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares - Promoter Group	EEPG	33,811.37	113.03	0.33%	0.33%	32,116.04	113.03	0.35%	0.35%	6,050.67	1,494.41	24.70%	24.70%
	Additional Tier 1 Perpetual Bond Basel 3	EAPB	1,850,562.29	40,700.55		2.20%	1,839,280.42	- 11,634.55		-0.63%	2,052,780.43		-0.02%	-0.02%
	Corporate Securities - Bonds - (Taxable)	EPBT	1,185,761.11	24,725.58		2.09%	1,075,141.66	45,162.10		4.20%	963,174.86	41,565.01	4.32%	4.32%
	Corporate Securities - Bonds - (Tax Free)	EPBF	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Preference Shares	EPNQ	-	-	-	-	-	-	-	-	338.06	18.19	5.38%	5.38%
	Corporate Securities - Investment in Subsidiaries	ECIS	390,000.00		0.00%	0.00%	375,792.35	-	0.00%	0.00%	339,672.13	-	0.00%	0.00%
	Corporate Securities - Debentures Corporate Securities - Debentures / Bonds/ CPs /Loan -	ECOS EDPG	10,054,681.99 2,421,330.91	222,740.78 52.981.82	2.22%	2.22%	9,928,245.43 2.311.533.07	437,314.02 102,793.24	4.40% 4.45%	4.40% 4.45%	7,984,240.31 2,058,650.02	353,825.95 90.551.80	4.43% 4.40%	4.43% 4.40%
	(Promoter Group)	FCDI	, , ,	,			, , , , , , , , , , , , , , , , , , , ,				,,	,		_
	Corporate Securities - Derivative Instruments Municipal Bonds - Rated	EMUN	200.004.89	5.163.10	2.58%	2,58%	199,986.28	10.246.71	5.12%	5.12%	200,000,00	2.180.16	1.09%	1.09%
	Investment properties - Immovable	EINP	200,004.89	5,165.10	2.58%	2.58%	199,900.20	10,246.71	5.12%	5.12%	200,000.00	2,100.16	1.09%	1.09%
	Loans - Policy Loans	ELPL	_		1 - 1	-	_	 	-	-	-		-	_
	Loans - Secured Loans - Mortgage of Property in India (Term Loan)	ELMI	-	-	-	-	-	-	-	-	-	-	-	-
	Loans - Secured Loans - Mortgage of Property outside India (Term Loan)	ELMO	-	-	-	-	-	-	-	-	-	-	-	-
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment). CCIL. RBI	ECDB	310,978.26	- 50,387.99	-16.20%	-16.20%	327,398.91	- 40,480.23	-12.36%	-12.36%	346,202.19	20,110.32	5.81%	5.81%
	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-
	Deposits - Repo / Reverse Repo - Govt Securities	ECMR	4,428,819.27	34,928.34	0.79%	0.79%	4,247,772.21	64,278.76	1.51%	1.51%	2,062,457.57	57,863.23	2.81%	2.81%
	Deposits - Repo / Reverse Repo - Corporate Securities	ECCR	-	-	-	-	-	-	-	-	-	-	-	-
	Deposit with Primary Dealers duly recognised by Reserve Bank of India	EDPD	-	-	-	-	-	-	-	-	-	-	-	-
	CCIL - CBLO	ECBO	-	-	_	-	-	-	-	-	-	-	-	-
	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
	Application Money Perpetual Debt Instruments of Tier I & II Capital issued	ECAM	284,970.00	60,136.75	21.10%	21.10%	284,970.00	60,136.75	21.10%	21.10%	8,707.82	9.32	0.11%	0.11%
	by PSU Banks Perpetual Debt Instruments of Tier I & II Capital issued	EUPD	-	-	-	-	=	-	-	-	-	-	-	-
	hv Non-PSU Banks Perpetual Non-Cum. P.Shares & Redeemable	EPPD	-	-	-	-	-	-	-	-	-	-	-	-
	Cumulative P.Shares of Tier 1 & 2 Capital issued by PSU Banks	EUPS	-	-	-	-	-	-	-	-	-	-	-	-
	Perpetual Non-Cum. P.Shares & Redeemable Cumulative P.Shares of Tier 1 & 2 Capital issued by Non- PSI I Ranks	EPPS	-	-	-	-	-	-	-	-	-	-	-	=
	Foreign Debt Securities (invested prior to IRDA Regulations)	EFDS	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-	-	-	-	_	-	-	-	-	-	-

(Read with Regulation 10)

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Statement as on: September 30, 2020

Statement of Investment and Income on Investment

Name of the Fund: Life

Periodicity of Submission: Quarterly

Rs Thousands

	-			Current Quarter				Year to Date (current year)				Year to Date (previous	vear) ³	
No.	Category of Investment	Category Code	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on investment (Rs.)		Net Yield (%) ²
	Passively Managed Equity ETF (Promoter Group)	EETP	=	-	-	-	-	-	-	-	-	-	-	-
	Debt Capital Instruments (DCI - Base III)	EDCI	-	-	-	-	-	-	-	-	-	-	-	-
	Redeemable Non- Cumulative Preference Shares (RNCPS - Base III)	ERNP	-	-	-	-	-	-	-	-	=	-	-	-
	Redeemable Cumulative Preference Shares (RCPS - Base III)	ERCP	-	-	-	-	=	-	-	-	=	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	-	-	-	-	-	-	-	-	1,108,534.23	36,375.56	3.28%	3.28%
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	666,372.36	5,806.41	0.87%	0.87%	877,839.67	25,061.24	2.85%	2.85%	2,014,881.97	68,230.86	3.39%	3.39%
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds	EAPS	-	-	-	-	-	-	-	-	-	-	-	-
	Units of Real Estate Investment Trust (REITs)	ERIT	202,707.41	-	0.00%	0.00%	191,847.99	-	0.00%	0.00%	-	-	-	-
	(d) Other Investments							<u> </u>						
	Bonds - PSU - Taxable	OBPT	150,029.08	3,528.55	2.35%	2.35%	149,992.02	6,967.68	4.65%	4.65%	150,039.39	6,855.07	4.57%	4.57%
	Bonds - PSU - Tax Free	OBPF		-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl Co-op Societies)	OESH	850,235,77	_	0.00%	0.00%	721,488,89	_	0.00%	0.00%	913,831.71	71.713.77	7.85%	7.85%
	Equity Shares (PSUs & Unlisted)	OEPU	-	-	-	-	-	_	-	-	-		-	-
	Equity Shares - Promoter Group	OEPG	2,202.49	_	0.00%	0.00%	1,973.18	-	0.00%	0.00%	3,268.50	-	0.00%	0.00%
	Debentures	OLDB	749,825.60	20.013.52		2.67%	749,771.58	39,388.64	5.25%	5.25%	750,000.00	39,357.15	5.25%	5,25%
	Debentures / Bonds/ CPs / Loans etc (Promoter Group)	ODPG	-	-	-	-	-	-	-	-	-	-	-	-
	Municipal Bonds	OMUN	_		_		_	_	_	_	_	_	_	_
	Commercial Papers	OACP	_		_		-	_	_	-	_	-	-	-
	Preference Shares	OPSH	_		_		_	_	_	-	-	0.11	-	-
	Venture Fund / SEBI approved Alternate Investment Fund (Category I)	OAFA	204,855.20	3,399.33	1.66%	1.66%	204,828.71	6,838.09	3.34%	3.34%	225,186.83	3,455.87	1.53%	1.53%
	Venture Fund / SEBI approved Alternate Investment Fund (Category II)	OAFB	943,715.17	15,900.05	1.68%	1.68%	946,092.32	31,149.63	3.29%	3.29%	845,681.04	42,525.55	5.03%	5.03%
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	_	-	-		-	-	-
	Term Loans (without Charge)	OTLW	-	=	-	-	-	_	-	-	-	_	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Schemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	=	-	-	-	_	-	-	-	_	-	-
	Passively Managed Equity ETF (Non Promoter Group)	OETF	10,073.70	=	0.00%	0.00%	9,527.11		0.00%	-	13,550.72	-	-	-
	Passively Managed Equity ETF (Promoter Group)	OETP	-	=	-	-	-	_	-	-	_	_	-	-
	Derivative Instruments	OCDI	-	-	-	-	-	_	-	-	-	-	-	-
	Securitised Assets	OPSA	=	-	-	-	-	-	-	-	-	-	-	-
	Investment properties - Immovable	OIPI	=		-	-	-	_	-	-	-	-	-	-
	Reclassified Approved Investments - Debt	ORAD	550,921.87	13,116.37	2.38%	2.38%	550,884.75	25,958.11	4.71%	4.71%	321,006.54	7,224.19	2.25%	2.25%
	Reclassified Approved Investments - Debt	ORAE	158,609.53	- 11,206.97	-7.07%	-7.07%	155,498.64	- 11,206.97	-7.21%	-7.21%	125,722.17	104.27	0.08%	0.08%
	Units of Infrastructure Investment Trust	OIIT	255,617.99		0.00%	0.00%	229,576.13	_	0.00%	0.00%	180,810.21	-	0.00%	0.00%
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds – [Private Banks]	OAPB	631,484.91	8,373.40	1.33%	1.33%	682,236.14	1,727.72	0.25%	0.25%	717,285.05	8,818.35	1.23%	1.23%
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds – [PSU Banks]	OAPS	417,749.07	- 13,738.25	-3.29%	-3.29%	505,701.83	- 9,825.28	-1.94%	-1.94%	612,938.79	- 9,355.22	-1.53%	-1.53%
	TOTAL		152,718,445.37	2,911,742.54	1.91%	1.91%	147,663,618.53	5,469,018.29	3.70%	3.70%	114,161,249.10	4,380,390.63	3.84%	3.84%

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 15, October 2020

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

- 1 Based on daily simple Average of Investments
- 2 Yield netted for Tax
- ${\it 3}$ In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown
- 4 Income includes term income from inception to maturity as it was received in the current quarter as realised income
- ⁵ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

Signature

Full Name

Amit Jain

Chief Finance Officer

(Read with Regulation 10)

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Statement as on: September 30, 2020

Statement of investment and income on investment

Name of the Fund: Pension & General Annuity

	ty of Submission: Quarterly			Orange Orange			v	t- D-t- (v	. ,3	Rs Thousand
				Current Quarter			Y	ear to Date (current y	ear)			Year to Date (prev	ious year)"	
No.	Category of Investment	Category Code	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%)1	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%)
1	Central Govt. Securities													
	Central Government Bonds	CGSB	17,530,643.53	341,806.93	1.95%	1.95%	16,828,365.68	651,987.91	3.87%	3.87%	16,156,423.77	631,161.96	3.91%	3.91%
	Special Deposits	CSPD	-	-	-	-			-	-	-	-	-	-
	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	=		-	-	-	-	-	-
	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Govt. Sec, State Govt Sec or Other Approved Sec													
	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	_	0	-	-	-	-	-	-
	State Government Bonds	SGGB	4,428,730.98	87,072.78	1.97%	1.97%	4,042,601.99	160,097.20	3.96%	3.96%	2,995,242.93	122,665.76	4.10%	4.10%
	State Government Guaranteed Loans	SGGL	-	-	-	-	-		-	-	-	-	-	=
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	634,727.45	13,141.67	2.07%	2.07%	626,341.44	25,696.07	4.10%	4.10%	617,427.10	25,794.64	4.18%	4.18%
	Guaranteed Equity	SGGE	-	-	-	-	-	-	-	-	-	-	-	-
3	Investments subject to Exposure Norms (a) Housing & Loans to State Govt for Housing / FFF													
	1. Approved Investments													
	Loans to State Government for Housing	HLSH	-	-	-	-	_	0	-	-	-	-	- 1	-
	Loans to State Government for Fire Fighting	HLSF	-	-	-	-	-	-	-	-	-	-	-	=
	Term Loan - HUDCO / NHB / Institutions accredited by NHB	HTLH	-	-	-	-	-	-	-	-	-	-	-	-
	Commercial Papers - NHB / Institutions accredited by NHB	HILN	-	-	-	-	-	-	-	-	-	-	-	-
	Housing - Securitised Assets	HMBS	-	-	-	-	=	1	-	-	-	-	-	-
	Debentures / Bonds / CPs / Loans - (Promoter Group)	HDPG	49,948.17	1,116.06	2.23%	2.23%	49,959.85	2,196.57	4.40%	4.40%	50,000.00	2,237.50	4.48%	4.48%
	TAXABLE BONDS	LITUD	00.000.40		/	/	22.227.22				100 000 00	4045.54	4.000/	4.000/
	Bonds / Debentures issued by HUDCO Bonds / Debentures issued by NHB / Institutions	HTHD	99,998.40	2,132.38		2.13%	99,993.22	4,213.38		4.21%	100,000.00	4,215.51	4.22%	4.22%
	accredited by NHB	HTDN	8,388,704.71	179,477.40	2.14%	2.14%	7,851,647.86	335,904.03	4.28%	4.28%	6,573,174.33	293,588.75	4.47%	4.47%
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
	TAX FREE BONDS													
	Bonds / Debentures issued by HUDCO	HFHD	-		-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HFDN	-	-	-	-	-	1	-	-	-	-	-	-
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HFDA	-	-	-	-	-	-	-	-	-	-	-	-
	2. Other Investments												1	
	Debentures / Bonds / CPs / Loans	HODS	-	-	-	-	-	-	-	-	-	-	-	-
	Housing - Securitised Assets	HOMB	-	-	-	-	-	-	-	-	-	-	- 1	-
	Debentures / Bonds / CPs / Loans - (Promoter Group)	HOPG	-	-	-	-	-	-	-	-	-	-	-	-
	(b) Infrastructure Investments								-			<u> </u>		
	1. Approved Investments			-	1									

(Read with Regulation 10)

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Statement as on: September 30, 2020

Statement of investment and income on investment

Name of the Fund: Pension & General Annuity

				Current Quarter			Y	ear to Date (current y	ear)			Year to Date (previ	ous year) ³	
No.	Category of Investment	Category Code	Investment (Rs.) ¹	Income on investment (Rs.)	Gross Yield (%) ¹	Net Yield (%)²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
	astructure - Other Approved Securities	ISAS	-	-	-	-	=	-	-	-	=	-	-	-
	astructure - PSU - Equity shares - Quoted	ITPE	65,609.56	2,191.16	3.34%	3.34%	60,837.91	2,191.16	3.60%	3.60%	78,141.86	1,514.22	1.94%	1.94%
	structure - Corporate Securities - Equity	ITCE	49,727.45	4,347.86	8.74%	8.74%	49,727.45	4,347.86	8.74%	8.74%	-	-	-	-
	es-Ouoted estructure - Equity (Promoter Group)	IEPG	_	_	_	-	-	-	_	-	-	-	-	-
	structure - Securitised Assets	IESA	-	-	-	-	=	-	-	1	1	-	-	-
	structure - Debentures / Bonds / CPs / loans -	IDPG	_	_		_		_	_	-	_	_	_	=
(Pron	moter Group)		70.047.00		/	/	75 004 40		/	/	007.057.70		0.000/	0.000/
Infras	astructure Bonds astructure - Infrastructure Development Fund	EIIT	38,843.80	-	0.00%	0.00%	35,991.42	-	0.00%	0.00%	203,653.38	-	0.00%	0.00%
(IDF)		IDDF	149,930.34	3,400.06	2.27%	2.27%	149,936.75	6,977.84	4.65%	4.65%	150,000.00	6,983.98	4.66%	4.66%
	YABLE BONDS													
	structure - PSU - Debentures / Bonds	IPTD	8,747,580.13	177,283.26	2.03%	2.03%	7,962,707.55	325,997.60	4.09%	4.09%	6,479,530.71	274,703.56	4.24%	4.24%
	structure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	-	-	-
	estructure - Other Corporate Securities - entures/ Bonds	ICTD	1,305,712.96	29,331.87	2.25%	2.25%	1,339,578.00	60,418.35	4.51%	4.51%	1,380,316.49	61,791.61	4.48%	4.48%
	entures/ Bonds estructure - Long Term Bank Bonds	ILBI	2,155,956.96	46,447.77	2.15%	2.15%	2,155,529.25	91,615.03	4.25%	4.25%	2,084,357.73	88,826.31	4.26%	4.26%
	astructure - Other Corporate Securities - CPs	ICCP	-,	-	-	-	-	-	-	-	-	-	-	-
Infras	structure - Term Loans (with Charge)	ILWC	-	-	-	-	-	=	-	1	-	-	-	-
	FREE BONDS													
Infras	astructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-
	estructure - Other Corporate Securities - entures/ Bonds	ICFD	-	-	-	-	-	=	-	-	-	-	-	-
Debe	2. Other Investments													
Infras	structure - Equity (including unlisted)	IOEQ	-	-	-	-	=	-	-	ı	1	-	-	-
Infras	astructure - Debentures / Bonds / CPs / loans	IODS	_	-	_	_		_	_	-	-	_	_	_
	structure - Securitised Assets	IOSA				-	-	-		-	-	_	-	
	estructure - Securitised Assets	IOPE			1 -	-	-					_	- 1	_
	structure - Debentures / Bonds / CPs / Ioans -	IOPD												
(Pron	moter Group)			-	-	-	=	-	-	-	-	-	-	<u>-</u>
	Reclassified Approved Investments - Debt (F	IORD	-	-	-	-	-	-	-	-	-	-	-	-
	Approved Investments - Equity shares - Quoted	EAEQ	76,640.10	- 24,175.27	-31.54%	-31.54%	73,911.42	- 24,175.27	-32.71%	-32.71%	32,188.99	1,092.00	3.39%	3.39%
	orate Securities - Equity shares (Ordinary)-	EACE	1,020,126.66	16,540.77	1.62%	1.62%	1,021,034.48	17,254.75	1.69%	1.69%	443,195.86	6,468.78	1.46%	1.46%
Equit	ty Shares - Companies incorporated outside	EFES	-	-	-	-	-	=	-	-	-	-	-	-
	a (invested prior to IRDA Regulations) ity Shares - Promoter Group	EEPG	73,434.05	5,488.87	7.47%	7.47%	68,906.96	5,488.87	7.97%	7.97%	-	-	-	-
	itional Tier 1 Perpetual Bond Basel 3	EAPB	666,545.88	14,656.99	2.20%	2.20%	662,639.63	19,999.18		3.02%	640,161.40	19,930.48	3.11%	3.11%
	porate Securities - Bonds - (Taxable)	EPBT	1,378,015.55	30,155.36	2.19%	2.19%	1,267,168.23	55,890.74	4.41%	4.41%	1,155,604.10	52,077.94	4.51%	4.51%
	porate Securities - Bonds - (Tax Free)	EPBF	-	-	-	-	-	-	-	-	-	-		
	porate Securities - Preference Shares	EPNQ ECIS	-	-	-	-	<u> </u>	-	-	-	921.37	49.58	5.38%	5.38%
	porate Securities - Investment in Subsidiaries porate Securities - Debentures	ECOS	3,089,159.27	70.255.33	2,27%	2.27%	2.939.497.05	132,848.09	4.52%	4.52%	3.022.980.52	137.536.87	4.55%	4.55%
	porate Securities - Debentures / Bonds/ CPs	EDPG	1,468,653.82				1,398,194.52		4.44%	4.44%	1,384,387.02	61,119.93	4.41%	4.41%
	an - (Promoter Group)		1,460,655.62	32,126.52	2.19%	2.19%	1,398,194.52	62,110.66	4.44%	4.44%		61,119.93	4.4170	4.4170
	porate Securities - Derivative Instruments	ECDI	-	-	-	-	=	-	-	-	-	-	-	-
	icipal Bonds - Rated	EMUN EINP	=	-	-	-	-	-	-	-	-	-	-	
	stment properties - Immovable ns - Policy Loans	ELPL			-	-			-	-	-	-	-	
Loan	ns - Secured Loans - Mortgage of Property in a (Term Loan)	ELMI	-	-	-	-	-	=	-	=	-	-	-	-
Loan	ns - Secured Loans - Mortgage of Property ide India (Term Loan)	ELMO	-	-	-	-	=	-	-	-	-	-	-	-
Depo	osits - Deposit with Scheduled Banks, FIs (incl. k Balance awaiting Investment), CCIL, RBI	ECDB	6,000.00	359.36	5.99%	5.99%	6,000.00	706.34	11.77%	11.77%	6,000.00	641.30	10.69%	10.69%
Depo	osits - CDs with Scheduled Banks	EDCD	-	=	-	-	-	=	-	-	-	-	-	-
	osits - Repo / Reverse Repo - Govt Securities	ECMR	1,717,837.49	13,525.04	0.79%	0.79%	1,914,659.53	28,974.08	1.51%	1.51%	720,829.89	4,357.62	0.01	
Depo	osits - Repo / Reverse Repo - Corporate urities	ECCR	_	_	_	_	_	_			_	_	_	_

(Read with Regulation 10)

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Statement as on: September 30, 2020

Statement of investment and income on investment

Name of the Fund: Pension & General Annuity

	of Submission: Quarterly													Rs Thousand
				Current Quarter			Υ	ear to Date (current y	ear)			Year to Date (prev	ious year) ³	
ło.	Category of Investment	Category Code	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%)1	Net Yield (%
	Deposit with Primary Dealers duly recognised by	EDPD	=	-	-	-	=	-	-	-	=	-	-	_
	Reserve Bank of India CCIL - CBLO	ECBO	_	_	-					_	-	_	_	
		ECCP		1	-	-	<u> </u>	-	-	_	-	-		
	Commercial Papers Application Money	ECAM			-	-		-	-	-	-		-	
	Perpetual Debt Instruments of Tier I & II Capital		-		<u> </u>	-		-	-	-	-	-	- -	
	issued by PSU Banks	EUPD	-	=	-	-	-	-	-	-	-	-	-	-
F	Perpetual Debt Instruments of Tier I & II Capital issued by Non-PSU Banks	EPPD	-	-	-	-	-	-	-	-	-	-	-	-
F	Perpetual Non-Cum. P.Shares & Redeemable Cumulative P.Shares of Tier 1 & 2 Capital issued	EUPS	-	-	-	-	-	-	-	-	-	-	-	-
	by PSU Banks Perpetual Non-Cum. P.Shares & Redeemable													
C	Cumulative P.Shares of Tier 1 & 2 Capital issued	EPPS	-	-	-	-	-	-	-	=	-	=	-	-
F	Foreign Debt Securities (invested prior to IRDA Regulations)	EFDS	-	-	-	-	-	-	-	-	-	-	-	-
F	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-	-	-	-	-	-	-	=	-	-	=
	Passively Managed Equity ETF (Promoter Group)	EETP	-	-	-	-	-	-	-	-	-	-	-	
	Debt Capital Instruments (DCI - Base III)	EDCI	-	-	-	-	-	-	-	-	-	-	-	-
F	Redeemable Non- Cumulative Preference Shares (RNCPS - Base III)	ERNP	-	-	-	-	-	-	-	-	-	-	-	-
F	Redeemable Cumulative Preference Shares (RCPS Base III)	ERCP	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	260,697.29	1.563.77	0.60%	0.60%	352,393.51	7,009.73	1.99%	1.99%	1,352,872.97	43,593.88	3.22%	3.22%
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	200,644.00	1,264.57		0.63%	183,093.62	6,656.34	3.64%	3.649
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds	EAPS	-	-	-	-	-	=	=	-	-	-	-	-
- ((d) Other Investments													
	Bonds - PSU - Taxable	OBPT	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds - PSU - Tax Free	OBPF	-	-	-	-	<u> </u>	÷	-	-	-	-	-	-
	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	<u> </u>	-	-	-	-	-	-	-
	Equity Shares (PSUs & Unlisted)	OEPU	-	-	-	-	-	-	-	-	-	-		-
	Equity Shares - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
	<u>Debentures</u> Debentures / Bonds/ CPs / Loans etc (Promoter	OLDB ODPG	-	-	-	-	<u>-</u>	-	-	-		-	-	-
	Group)												1	
	Municipal Bonds	OMUN	-	-	-	-	-	-	-	-	-	-	-	-
	Commercial Papers	OACP OPSH	-	=	-	-	-	-	-	-	-	0.30		-
	Preference Shares	UPSH	-	-	-	-	-	-	-	-	-	0.30	-	-
	Venture Fund / SEBI approved Alternate Investment Fund (Category I)	OAFA	-	-	-	-	-	-	-	-	-	-	-	-
	Venture Fund / SEBI approved Alternate Investment Fund (Category II)	OAFB	-	-	-	-	-	-	-	-	-	-	-	-
5	Short term Loans (Unsecured Deposits)	OSLU	-	=	-	-	-	-	-	-	-	-	-	-
	Term Loans (without Charge)	OTLW	-	=	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Schemes	OMGS	-	-	-	-	-	-	-	-	=	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
F	Passively Managed Equity ETF (Non Promoter Group)	OETF	=	-	-	-	-	-	-	-	-	_	-	-

(Read with Regulation 10)

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Statement as on: September 30, 2020

Name of the Fund: Pension & General Annuity

Statement of investment and income on investment

Periodicit	y of Submission: Quarterly													Rs Thousands
				Current Quarter			Y	ear to Date (current y	ear)			Year to Date (previ	ous year) ³	
No.	Category of Investment	Category Code	Investment (Rs.) ¹	Income on investment (Rs.)	Gross Yield (%) ¹	Net Yield (%)²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%)1	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%)1	Net Yield (%) ²
	Passively Managed Equity ETF (Promoter Group)	OETP	ı	-	-	-	=	-	-	-	-	-	-	-
	Derivative Instruments	OCDI	-	-	-	-	=	-	-	-	-	-	-	-
	Securitised Assets	OPSA	-	_	_	-	=	_	-	-	-	-	-	-
	Investment properties - Immovable	OIPI	ı	-	-	-	-	-	-	-	1	-	-	-
	Reclassified Approved Investments - Debt	ORAD	-	-	-	-	=	_	-	-	201,318.59	2,466.61	1.23%	1.23%
	Reclassified Approved Investments - Debt	ORAE	,	-	_	-	=	_	-	-		-	-	-
	Units of Infrastructure Investment Trust	OIIT		-	-	-	-	-	-	-	-	-	-	-
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds – [Private Banks]	OAPB	=	-	-	-	-	-	-	1	=	-	-	=
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds – [PSU Banks]	OAPS	=	-	-	-	=	-	-	-	-	=	-	=
	TOTAL	-	53,403,224.56	1,048,245.94	1.96%	1.96%	51,158,267.70	1,979,014.72	3.87%	3.87%	46,011,822.64	1,849,475.43	4.02%	4.02%

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 15, October 2020

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

- 1 Based on daily simple Average of Investments
- 2 Yield netted for Tax
- ³ In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown
- 4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 5 Income includes term income from inception to maturity as it was received in the current quarter as realised income

Signature Full Name Amit Jain

Chief Finance Officer

(Read with Regulation 10)

Name of the insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Statement as on: September 30, 2020

Statement of investment and income on investment

Name of the Fund: Linked

Periodicity of Submission: Quarterly

Rs Thousands

	of Submission: Quarterly													Rs Thousand
				Current Quarter				Year to Date (current ye	ear)			Year to Date (previou	ıs year) ³	
lo.	Category of Investment	Category Code	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%)1	Net Yield (%) ²	investment (Rs.)1	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%)
	Central Govt. Securities													
	Central Government Bonds	CGSB	49,733,075.94	231,206.59	0.46%	0.46%	48,115,550.05	2,235,218.93		4.65%	46,370,023.65	3,240,569.21	6.99%	6.99%
	Special Deposits	CSPD	-	-	-	-	-	-	-	-	-	-	-	-
	Deposit under Section 7 of Insurance Act, 1938	CDSS	4,783,796.59	-	-	-	4,861,740.54		-	-	8,961,530.79	247,905.17	2.77%	2,77%
1	reasury Bills	CIRB	4,783,796.59	48,303.28	1.01%	1.01%	4,861,740.54	107,990.65	2.22%	2.22%	8,961,550.79	247,905.17	2.77%	2.77%
2 (Central Govt. Sec, State Govt Sec or Other Approved Sec													
(Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	-	-	-	-	-	-	-	-
5	State Government Bonds	SGGB	2,997,605.73	17,617.23	0.59%	0.59%	2,948,321.18	162,293.09	5.50%	5.50%	2,395,806.65	171,275.61	7.15%	7.15%
	State Government Guaranteed Loans	SGGL	-	-	-	-	-	-	-	-	-	-	-	-
0	Other Approved Securities (excluding Infrastructure	SGOA	786,702.10	9,619.60	1.22%	1.22%	783,443.05	38,813.00	4.95%	4.95%	730,444.73	41,065.98	5.62%	5.62%
1	nvestments)		780,702.10	9,619.60	1.2270	1.2270	783,443.03	38,813.00	4.55%	4.55%	730,444.73	41,003.98	3.0270	3.0270
	Suaranteed Equity	SGGE	-	-	-	-	-	-	-	-	-	-	-	-
	investments subject to Exposure Norms													
- (a) Housing & Loans to State Govt for Housing / FFE													
	1. Approved Investments													
	oans to State Government for Housing	HLSH	-	-	-	-	-	-	-	-	-	-	-	-
L	oans to State Government for Fire Fighting Equipments	HLSF	-	-	-	-	-	-	-	-	-	-	-	-
7	erm Loan - HUDCO / NHB / Institutions accredited by NHB	HTLH	-	-	-	-	-	-	-	-	-	-	-	-
(Commercial Papers - NHB / Institutions accredited by NHB	HTLN	580,845.40	8,532.25	1.47%	1.47%	512,878.58	15,635.23	3.05%	3.05%	665,922.14	26,376.42	3.96%	3.96%
F	Housing - Securitised Assets	HMBS	-		-	-	-	-	-	-	-	-	-	-
	Debentures / Bonds / CPs / Loans - (Promoter Group)	HDPG	-		-	-	-	_	-	-	-	-	-	_
	AXABLE BONDS													
	Bonds / Debentures issued by HUDCO	HTHD	-	-	-	-	-	-	-	-	-	-	-	-
	Sonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	12,462,641.87	204,903.48	1.64%	1.64%	12,769,230.90	655,226.49	5.13%	5.13%	14,565,067.99	758,875.12	5.21%	5.21%
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State	HTDA	_		_		_				_	_	_	_
	any Authority or Body constituted by Central / State Act	IIIDA	_	_	-	-		_	_	-			_	
1	AX FREE BONDS													
	Bonds / Debentures issued by HUDCO	HFHD	-	-	-	-	-	-	-		_	-	-	-
E	Sonds / Debentures issued by NHB / Institutions accredited by NHB	HFDN	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by Authority constituted under													
	iny Housing / Building Scheme approved by Central / State any Authority or Body constituted by Central / State Act	HFDA	-	-	-	-	-	-	-	-	-	-	-	-
_														
- 2	2. Other Investments Debentures / Bonds / CPs / Loans	HODS			-									
	Housing - Securitised Assets	HOMB	-	-	-	-	-	-	-	-	-	-	-	
	Debentures / Bonds / CPs / Loans - (Promoter Group)	HOPG	-			-	-		- -	-		-		
		порв	-	-	-	-	-	-	-		-	-		
	b) Infrastructure Investments					ļ			ļ					
	1. Approved Investments													
	Infrastructure - Other Approved Securities	ISAS	997,971.65	11,044.35	1.11%	1.11%	660,637.41	15,237.37		2.31%	680,638.29	24,130.61	3.55%	3.55%
	nfrastructure - PSU - Equity shares - Quoted	ITPE	2,910,883.33	- 265,356.07 147,058.55	-9.12% 2.47%	-9.12%	2,954,066.98	121,983.10		4.13%	5,253,009.75	- 300,677.04	-5.72% 5.72%	-5.72%
	nfrastructure - Corporate Securities - Equity shares-Quoted	IEPG	5,942,981.61	147,058.55	2.4/%	2.47%	5,138,120.23	1,004,910.96	19.56%	19.56%	6,284,254.92	359,411.98	5.72%	5.72%
	nfrastructure - Equity (Promoter Group)	IESA	-	-	-	-	-	-	-	-		-		
	nfrastructure - Securitised Assets nfrastructure - Debentures / Bonds / CPs / loans -	IDPG		-	-	-		-	-	-		-		
	Promoter Group)	IDFO	-	-	_	-	_	1	1 -	_	-	-		
		FIIT	-	-	-		-	_	-		-	-	-	-
I	nfrastructure Bonds nfrastructure - Infrastructure Development Fund (IDF)	EIIT	- 112,379.56	949.01	- 0.84%	0.84%	- 111,786.68	5,363,45	4.80%	4.80%	106,716.39	5,957.59	5.58%	5,58%

(Read with Regulation 10)

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Statement as on: September 30, 2020

Statement of investment and income on investment

Name of the Fund: Linked

Periodicity of Submission: Quarterly

Periodici	ity of Submission: Quarterly													Rs Thousands
				Current Quarter				Year to Date (current ye	ar)			Year to Date (previou	ıs year) ³	
No.	Category of Investment	Category Code	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%)¹	Net Yield (%) ²	investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
	Infrastructure - PSU - Debentures / Bonds	IPTD	31,679,028.25	465,524.69	1.47%	1.47%	31,503,628.81	1,696,485.57	5.39%	5.39%	31,475,946.28	1,699,296.66		5.40%
	Infrastructure - PSU - CPs	IPCP	434,227.87	2,632.98	0.61%	0.61%	334,564.03	5,624.08	1.68%	1.68%	475,044.99	16,874.75	3.55%	3.55%
	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	3,908,226.07	53,491.71	1.37%	1.37%	4,189,932.54	187,444.31	4.47%	4.47%	4,792,169.11	239,280.52	4.99%	4.99%
	Infrastructure - Long Term Bank Bonds	ILBI	1,636,857.34	22,241.17	1.36%	1.36%	1,620,939.73	93,141.91	5.75%	5.75%	1,189,667.74	74,409.71	6.25%	6.25%
	Infrastructure - Other Corporate Securities - CPs	ICCP	325,537.95	3,384.50	1.04%	1.04%	325,042.65	4,371.12	1.34%	1.34%	-	-	-	-
	Infrastructure - Term Loans (with Charge) TAX FREE BONDS	ILWC	-	-	-	-	-	-	-	-	-	-	- 1	-
	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-		-	-	-	-	-	-
	Infrastructure - Other Corporate Securities - Debentures/	ICFD	-	_	-	-	-	_	_	_	_	_	-	-
	Bonds 2. Other Investments													
	Infrastructure - Equity (including unlisted)	IOEQ	297,141.03	- 19,766.90	-6.65%	-6.65%	295,026.60	32,145.25	10.90%	10.90%	300,437.07	- 69,822.88	-23.24%	-23.24%
	Infrastructure - Debentures / Bonds / CPs / loans	IODS	120,758.25	1,653.34	1.37%	1.37%	126,847.29	5,951.43	4.69%	4.69%	174,117.18	8,318.81	4.78%	4.78%
	Infrastructure - Securitised Assets	IOSA	-	-	-	-		-	-	-	-	-	-	-
	Infrastructure - Equity (Promoter Group) Infrastructure - Debentures / Bonds / CPs / Ioans -		-	-	-	-	-	-	-	-			-	
	(Promoter Group)	IOPD	-	-	-	-	-	-	-	-	-	-	-	-
	Reclassified Approved Investments - Debt (Point 6 under	IORD	103,212.38	1,671.13	1.62%	1.62%	102,704.63	5,123.50	4.99%	4.99%	99,854.69	5,420.19	5.43%	5.43%
	Note for Regulation 4 to 9) Reclassified Approved Investments - Equity (Point 6 to 1)	IORE	2,716,693.99	- 753.956.38	-27.75%	-27.75%	2,719,269.98	- 156,789.54	-5.77%	-5.77%	36,588.40	596.32	1.63%	1.63%
	(c) Approved Investments	EAEO	2.415.522.02				2.023.725.17				2.137.926.55	7.857.87	0.37%	0.37%
	PSU - Equity shares - Quoted Corporate Securities - Equity shares (Ordinary) - Quoted	EACE	69,441,154.65	63,053.45 9,358,582.43		2.61% 13.48%	65,487,001.26	369,569.52 22,070,796.09	18.26% 33.70%	18.26% 33.70%	68,507,411.81	421,066.38	0.61%	0.61%
	Equity Shares - Companies incorporated outside India		05,441,154.05	9,336,362.43	13.4670	13.4670	05,407,001.20	22,070,790.09			00,007,411.01	421,000.50	0.0170	0.0170
	(invested prior to IRDA Regulations)	EFES	-	-	-	-			-	-		-	-	
	Equity Shares - Promoter Group	EEPG EAPB	1,193,930.57	53,659.87	4.49%	4.49%	1,262,907.44	304,116.74	24.08%	24.08%	871,272.88	18,140.84	2.08%	2.08%
	Additional Tier 1 Perpetual Bond Basel 3 Corporate Securities - Bonds - (Taxable)	EPBT	3,111,697.22	54.894.62	1.76%	1.76%	3,008,160.12	174.098.45	5.79%	5.79%	2,827,878.20	155,361.55	5.49%	5.49%
	Corporate Securities - Bonds - (Tax Free)	EPBF	-	-	-	-	-		-	-	-	-	-	-
	Corporate Securities - Preference Shares	EPNQ	11,842.52	335.03	2.83%	2.83%	11,423.91	2,981.75	26.10%	26.10%	57,114.04	- 56,010.83		-98.07%
	Corporate Securities - Investment in Subsidiaries Corporate Securities - Debentures	ECIS ECOS	10.970.192.34	143.432.64	1.31%	1.31%	10.617.385.32	538.918.26	5.08%	5.08%	10.308.012.90	557.630.77	5.41%	5.41%
	Corporate Securities - Debentures / Bonds/ CPs /Loan -	EDPG	4,354,322.05	64,262.84	1.48%	1.48%	4,180,914.23	229,920.48	5.50%	5.50%	3,810,980.02	186,568.23	4.90%	4.90%
	(Promoter Group) Corporate Securities - Derivative Instruments	ECDI	-			_			_	_		-	-	
	Municipal Bonds - Rated	EMUN	-	-	-	-	-		-	-	-	-	-	-
	Investment properties - Immovable	EINP FLPL	-	-	-	-	-	-	-	-	-	-	-	-
	Loans - Policy Loans Loans - Secured Loans - Mortgage of Property in India		-	-	-	-	-	-	-	-	-	-	-	-
	(Term Loan)	ELMI	-	-	-	-	-	-	-	-	-	-	-	-
	Loans - Secured Loans - Mortgage of Property outside India (Term Loan)	ELMO	-	-	-	-	-	-	-	-	-	-	-	-
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank	ECDB	988.646.74	19.513.79	1.97%	1.97%	1,033,079.23	40.828.35	3.95%	3.95%	1,057,519.67	63,293,84	5,99%	5,99%
	Balance awaiting Investment), CCIL, RBI Deposits - CDs with Scheduled Banks	EDCD	3,328,702.41	49,623.30	1.49%	1.49%	3,605,343.94	109,343.81	3.03%	3.03%	2,743,453.45	91,852.68	3,35%	3,35%
	Deposits - Repo / Reverse Repo - Govt Securities	ECMR	20,259,510.37	159,617.18	0.79%	0.79%	18,571,358.46	282,670.63	1.52%	1.52%	6,241,470.89	171,785.36	2.75%	2.75%
	Deposits - Repo / Reverse Repo - Corporate Securities	ECCR	-	-	-	-	-	-	-	-	-	-	-	-
	Deposit with Primary Dealers duly recognised by Reserve	EDPD	-	-	-	-	-	-	-	-	-	-	-	-
	Bank of India CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-
	Commercial Papers	ECCP	1,511,272.36	21,680.74	1.43%	1.43%	1,636,440.83	48,527.30	2.97%	2.97%	629,086.63	25,026.94	3.98%	3.98%
	Application Money	ECAM	29,344.01	26.65	0.09%	0.09%	29,344.01	26.65	0.09%	0.09%	296,834.52	515.01	0.17%	0.17%
	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	100,204.90	1,592.86	1.59%	1.59%	100,438.79	3,382.26	3.37%	3.37%	1,344,640.62	37,338.52	2.78%	2.78%
	Perpetual Debt Instruments of Tier I & II Capital issued by Non-PSU Banks	EPPD	-	-	-	-	-	-	-	-	-	-	-	-
	Perpetual Non-Cum. P.Shares & Redeemable Cumulative P.Shares of Tier 1 & 2 Capital issued by PSU Banks	EUPS	-	-	-	-	-	-	-	-	-	-	-	-
	Perpetual Non-Cum. P.Shares & Redeemable Cumulative P.Shares of Tier 1 & 2 Capital issued by Non-PSU Banks	EPPS	-	-	-	-	-	-	-	-	-	-	-	-
	Foreign Debt Securities (invested prior to IRDA Regulations)	EFDS	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-		-			-	-		-		
	Passively Managed Equity ETF (Promoter Group)	EETP	-	-	-	-	-	-	-	-	-	-	-	-
	Debt Capital Instruments (DCI - Base III) Redeemable Non- Cumulative Preference Shares (RNCPS -	EDCI	-	-	-	-	-	-	-	-	-	-	-	-
	Base III)	ERNP	-	-	-	-	-	-	-	-	-	-	-	-
	Redeemable Cumulative Preference Shares (RCPS - Base III)	ERCP	-	-	-	-	-	-	-	-	-	-	-	-

		JENTS-1

(Read with Regulation 10)

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Statement as on: September 30, 2020

Statement of Investment and Income on Investment

Name of the Fund: Linked

Rs Thousands

rationally of obtaining qualitary														RS THOUSAINS
	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
No. Category of Inv			Investment (Rs.)1	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
Mutual Funds - Gilt / G Sec / Liqu	uid Schemes	EGMF	2,169,473.07	17,567.05	0.81%	0.81%	2,471,732.51	51,710.67	2.09%	2.09%	5,916,422.02	191,808.15	3.24%	3.24%
Mutual Funds - (under Insurer's Pr	Mutual Funds - (under Insurer's Promoter Group)		897,938.26	7,349.38	0.82%	0.82%	1,048,794.43	23,035.41	2.20%	2.20%	1,737,476.36	58,041.15	3.34%	3.34%
Additional Tier 1 (Basel III Complia	int) Perpetual Bonds	EAPS	-	-	-	-	-	-	-	-	-	-	-	-
Net Current Assets (Only in respec	et of ULIP Business)	ENCA	2,050,890.28	-	0.00%	0.00%	2,050,890.28	-	0.00%	0.00%	3,943,316.53	-	0.00%	0.00%
(d) Other Investments	-													
Bonds - PSU - Taxable		OBPT	-	-	-	-	-	-	-	-	-	-	-	-
Bonds - PSU - Tax Free		OBPF	-	-	-	-	-	-	-	-	-	-	-	-
Equity Shares (incl Co-op Societie	(2)	OESH	1.570.232.96	679,666,74	43.28%	43.28%	1.544.391.80	928,725,97	60.14%	60.14%	3.135.024.05	- 238.814.27	-7.62%	-7.62%
Equity Shares (PSUs & Unlisted)	-,	OEPU	315.552.15	- 35,901.02	-11.38%	-11.38%	222,138.54	29,033.71	13.07%	13.07%	277,266,93	- 96.823.37	-34.92%	-34,92%
Equity Shares - Promoter Group		OEPG	39.341.16	- 688.01	-1.75%	-1.75%	35,245,24	12,540,49	35,58%	35.58%	58,382,51	- 7.724.44	-13.23%	-13.23%
Debentures		OLDB	689,122.77	7,579.01	1.10%	1.10%	685,201.25	36,709,50	5,36%	5,36%	817,507.29	60,027.71	7.34%	7.34%
Debentures / Bonds/ CPs / Loans	etc (Promoter Group)	ODPG	-	-	-	-	-	-	-	-	-	-	-	-
Municipal Bonds		OMUN	-	_	-	-	-	-	-	-	-	-	-	
Commercial Papers		OACP		_	-	-		_	-	-		_	-	-
Preference Shares		OPSH	-	_	_	-	-	_	_	_	-	53,322,18	-	
Venture Fund / SEBI approved Alt (Category I)	ernate Investment Fund	OAFA	-	-	-	-	-	-	-	-	-	-	-	-
Venture Fund / SEBI approved Alt (Category II)	ernate Investment Fund	OAFB	-	-	-	-	-	-	-	-	-	-	-	-
Short term Loans (Unsecured Dep	nsits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
Term Loans (without Charge)	,	OTLW	-	-	-	-	-	-	-	-	-	-	-	-
Mutual Funds - Debt / Income / S Schemes	Gerial Plans / Liquid	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
Mutual Funds - (under Insurer's Pr	omoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
Passively Managed Equity ETF (No	n Promoter Group)	OETF	3,483,088.42	71.649.34	2.06%	2.06%	3,425,408.70	403.327.92	11.77%	11.77%	4,008,222.35	- 54,147.44	-1.35%	-1.35%
Passively Managed Equity ETF (Pro		OETP	7.564.37	- 364.90	-4.82%	-4.82%	7,227,36	- 738,28	-10.22%	-10.22%		-	-	-
Derivative Instruments	,	OCDI	-	-	-	-	-	-	-	-	-	-	-	-
Securitised Assets		OPSA		-	-	-	-	-	-	_	-	-	-	-
Investment properties - Immovabl	e	OIPI			-	-			-	-	-	-	-	-
Reclassified Approved Investments		ORAD	520.811.44	7,779.08	1.49%	1.49%	649,228,66	32,534.60	5.01%	5.01%	1.065.825.14	40,336,92	3.78%	3,78%
Reclassified Approved Investments		ORAE	2.967,779,27	206,655,19		6.96%	2.664.904.82	448.043.34	16.81%	16.81%	4.343.911.07	- 743,177,89	-17.11%	-17.11%
Units of Infrastructure Investment		OIIT		200,033.13	- 0.3070	-	-,,,	440,043.34	10.0170	-	-	-	-	-
Additional Tier 1 (Basel III Complia		OAPB	-	-	-	-	-	-	-	-	-	-	-	-
Additional Tier 1 (Basel III Complia	int) Perpetual Bonds -	OAPS	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL		254,958,703.21	11,142,321.75	4.37%	4.37%	246,446,418.14	32,376,273.50	13.14%	13.14%	250,694,197.23	7,492,540.58	2.99%	2.99%

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

- 1 Based on daily simple Average of Investments
- 2 Yield netted for Tax

Date: 15, October 2020

- 3 Income includes term income from inception to maturity as it was received in the current quarter as realised income
- ⁴ In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown
- 5 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

Full Name

Amit Jain

Chief Finance Officer