





## FORM L-34-YIELD ON INVESTMENTS-1

(Read with Regulation 10)

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Statement as on: September 30, 2020

Name of the Fund: Life

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Thousands

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) <sup>3</sup>			
			Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>
	Passively Managed Equity ETF (Promoter Group)	EETP	-	-	-	-	-	-	-	-	-	-	-	-
	Debt Capital Instruments (DCI - Base III)	EDCI	-	-	-	-	-	-	-	-	-	-	-	-
	Redeemable Non- Cumulative Preference Shares ( RNCPS - Base III)	ERNP	-	-	-	-	-	-	-	-	-	-	-	-
	Redeemable Cumulative Preference Shares ( RCPS - Base III)	ERCP	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	666,372.36	5,806.41	0.87%	0.87%	877,839.67	25,061.24	2.85%	2.85%	1,108,534.23	36,375.56	3.28%	3.28%
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds	EAPS	-	-	-	-	-	-	-	-	-	-	-	-
	Units of Real Estate Investment Trust (REITs)	ERIT	202,707.41	-	0.00%	0.00%	191,847.99	-	0.00%	0.00%	-	-	-	-
	(d) Other Investments													
	Bonds - PSU - Taxable	OBPT	150,029.08	3,528.55	2.35%	2.35%	149,992.02	6,967.68	4.65%	4.65%	150,039.39	6,855.07	4.57%	4.57%
	Bonds - PSU - Tax Free	OBPF	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (Incl Co-op Societies)	OESH	850,235.77	-	0.00%	0.00%	721,488.89	-	0.00%	0.00%	913,831.71	71,713.77	7.85%	7.85%
	Equity Shares (PSUs & Unlisted)	OEPJ	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares - Promoter Group	OEPG	2,202.49	-	0.00%	0.00%	1,973.18	-	0.00%	0.00%	3,268.50	-	0.00%	0.00%
	Debentures	OLDB	749,825.60	20,013.52	2.67%	2.67%	749,771.58	39,388.64	5.25%	5.25%	750,000.00	39,357.15	5.25%	5.25%
	Debentures / Bonds/ CPs / Loans etc. - (Promoter Group)	ODPG	-	-	-	-	-	-	-	-	-	-	-	-
	Municipal Bonds	OMUN	-	-	-	-	-	-	-	-	-	-	-	-
	Commercial Papers	OACP	-	-	-	-	-	-	-	-	-	-	-	-
	Preference Shares	OPSH	-	-	-	-	-	-	-	-	0.11	-	-	-
	Venture Fund / SEBI approved Alternate Investment Fund (Category I)	OAFI	204,855.20	3,399.33	1.66%	1.66%	204,828.71	6,838.09	3.34%	3.34%	225,186.83	3,455.87	1.53%	1.53%
	Venture Fund / SEBI approved Alternate Investment Fund (Category II)	OAFB	943,715.17	15,900.05	1.68%	1.68%	946,092.32	31,149.63	3.29%	3.29%	845,681.04	42,525.55	5.03%	5.03%
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
	Term Loans (without Charge)	OTLW	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Schemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	OETF	10,073.70	-	0.00%	0.00%	9,527.11	-	0.00%	-	13,550.72	-	-	-
	Passively Managed Equity ETF (Promoter Group)	OETP	-	-	-	-	-	-	-	-	-	-	-	-
	Derivative Instruments	OCDI	-	-	-	-	-	-	-	-	-	-	-	-
	Securitized Assets	OPSA	-	-	-	-	-	-	-	-	-	-	-	-
	Investment properties - Immovable	OIPI	-	-	-	-	-	-	-	-	-	-	-	-
	Reclassified Approved Investments - Debt	ORAD	550,921.87	13,116.37	2.38%	2.38%	550,884.75	25,958.11	4.71%	4.71%	321,006.54	7,224.19	2.25%	2.25%
	Reclassified Approved Investments - Debt	ORAE	158,609.53	11,206.97	-7.07%	-7.07%	155,498.64	11,206.97	-7.21%	-7.21%	125,722.17	104.27	0.08%	0.08%
	Units of Infrastructure Investment Trust	OIIT	255,617.99	-	0.00%	0.00%	229,576.13	-	0.00%	0.00%	180,810.21	-	0.00%	0.00%
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Private Banks]	OAPB	631,484.91	8,373.40	1.33%	1.33%	682,236.14	1,727.72	0.25%	0.25%	717,285.05	8,818.35	1.23%	1.23%
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [PSU Banks]	OAPS	417,749.07	13,738.25	-3.29%	-3.29%	505,701.83	9,825.28	-1.94%	-1.94%	612,938.79	9,355.22	-1.53%	-1.53%
	<b>TOTAL</b>		<b>152,718,445.37</b>	<b>2,911,742.54</b>	<b>1.91%</b>	<b>1.91%</b>	<b>147,663,618.53</b>	<b>5,469,018.29</b>	<b>3.70%</b>	<b>3.70%</b>	<b>114,161,249.10</b>	<b>4,380,390.63</b>	<b>3.84%</b>	<b>3.84%</b>

## CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 15, October 2020

Signature

Full Name

Amit Jain

Chief Finance Officer

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple Average of Investments

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown

4 Income includes term income from inception to maturity as it was received in the current quarter as realised income

5 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.







## FORM L-34-YIELD ON INVESTMENTS-1

(Read with Regulation 10)

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Statement as on: September 30, 2020

Name of the Fund: Pension &amp; General Annuity

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Thousands

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) <sup>5</sup>			
			Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>2</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>2</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>2</sup>	Net Yield (%) <sup>2</sup>
	Passively Managed Equity ETF (Promoter Group)	OETP	-	-	-	-	-	-	-	-	-	-	-	-
	Derivative Instruments	OCDI	-	-	-	-	-	-	-	-	-	-	-	-
	Securitized Assets	OPSA	-	-	-	-	-	-	-	-	-	-	-	-
	Investment properties - Immovable	OIPI	-	-	-	-	-	-	-	-	-	-	-	-
	Reclassified Approved Investments - Debt	ORAD	-	-	-	-	-	-	-	201,318.59	2,466.61	1.23%	1.23%	-
	Reclassified Approved Investments - Debt	ORAE	-	-	-	-	-	-	-	-	-	-	-	-
	Units of Infrastructure Investment Trust	OIIT	-	-	-	-	-	-	-	-	-	-	-	-
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Private Banks]	OAPB	-	-	-	-	-	-	-	-	-	-	-	-
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [PSU Banks]	OAPS	-	-	-	-	-	-	-	-	-	-	-	-
	<b>TOTAL</b>		<b>53,403,224.56</b>	<b>1,048,245.94</b>	<b>1.96%</b>	<b>1.96%</b>	<b>51,158,267.70</b>	<b>1,979,014.72</b>	<b>3.87%</b>	<b>3.87%</b>	<b>46,011,822.64</b>	<b>1,849,475.43</b>	<b>4.02%</b>	<b>4.02%</b>

**CERTIFICATION**

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 15, October 2020

Signature

Full Name

Amit Jain

Chief Finance Officer

**Note:** Category of Investment (COI) shall be as per Guidelines, as amended from time to time<sup>1</sup> Based on daily simple Average of Investments<sup>2</sup> Yield netted for Tax<sup>3</sup> In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown<sup>4</sup> FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.<sup>5</sup> Income includes term income from inception to maturity as it was received in the current quarter as realised income





## FORM L-34-YIELD ON INVESTMENTS-1

(Read with Regulation 10)

Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited

Registration Number: 109

Statement as on: September 30, 2020

Name of the Fund: Linked

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Thousands

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) <sup>3</sup>			
			Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>2</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>2</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>2</sup>	Net Yield (%) <sup>2</sup>
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	2,169,473.07	17,567.05	0.81%	0.81%	2,471,732.51	51,710.67	2.09%	2.09%	5,916,422.02	191,808.15	3.24%	3.24%
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	897,938.26	7,349.38	0.82%	0.82%	1,048,794.43	23,035.41	2.20%	2.20%	1,737,476.36	58,041.15	3.34%	3.34%
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds	EAPS	-	-	-	-	-	-	-	-	-	-	-	-
	Net Current Assets (Only in respect of ULIP Business)	ENCA	2,050,890.28	-	0.00%	0.00%	2,050,890.28	-	0.00%	0.00%	3,943,316.53	-	0.00%	0.00%
	(d) Other Investments													
	Bonds - PSU - Taxable	OBPT	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds - PSU - Tax Free	OBPF	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl Co-op Societies)	OESH	1,570,232.96	679,666.74	43.28%	43.28%	1,544,391.80	928,725.97	60.14%	60.14%	3,135,024.05	238,814.27	-7.62%	-7.62%
	Equity Shares (PSUs & Unlisted)	OEPU	315,552.15	35,901.02	-11.38%	-11.38%	222,138.54	29,033.71	13.07%	13.07%	277,266.93	96,823.37	-34.92%	-34.92%
	Equity Shares - Promoter Group	OEPG	39,341.16	688.01	-1.75%	-1.75%	35,245.24	12,540.49	35.58%	35.58%	58,382.51	7,724.44	-13.23%	-13.23%
	Debentures	OLDB	689,122.77	7,579.01	1.10%	1.10%	685,201.25	36,709.50	5.36%	5.36%	817,507.29	60,027.71	7.34%	7.34%
	Debentures / Bonds/ CPs / Loans etc. - (Promoter Group)	ODPG	-	-	-	-	-	-	-	-	-	-	-	-
	Municipal Bonds	OMUN	-	-	-	-	-	-	-	-	-	-	-	-
	Commercial Papers	OACP	-	-	-	-	-	-	-	-	-	-	-	-
	Preference Shares	OPSH	-	-	-	-	-	-	-	-	-	53,322.18	-	-
	Venture Fund / SEBI approved Alternate Investment Fund (Category I)	OAFI	-	-	-	-	-	-	-	-	-	-	-	-
	Venture Fund / SEBI approved Alternate Investment Fund (Category II)	OAFB	-	-	-	-	-	-	-	-	-	-	-	-
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
	Term Loans (without Charge)	OTLW	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Schemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	OETF	3,483,088.42	71,649.34	2.06%	2.06%	3,425,408.70	403,327.92	11.77%	11.77%	4,008,222.35	54,147.44	-1.35%	-1.35%
	Passively Managed Equity ETF (Promoter Group)	OETP	7,564.37	364.90	-4.82%	-4.82%	7,227.36	738.28	-10.22%	-10.22%	-	-	-	-
	Derivative Instruments	OCDI	-	-	-	-	-	-	-	-	-	-	-	-
	Securitized Assets	OPSA	-	-	-	-	-	-	-	-	-	-	-	-
	Investment properties - Immovable	OIPI	-	-	-	-	-	-	-	-	-	-	-	-
	Reclassified Approved Investments - Debt	ORAD	520,811.44	7,779.08	1.49%	1.49%	649,228.66	32,534.60	5.01%	5.01%	1,065,825.14	40,336.92	3.78%	3.78%
	Reclassified Approved Investments - Debt	ORAE	2,967,779.27	206,655.19	6.96%	6.96%	2,664,904.82	448,043.34	16.81%	16.81%	4,343,911.07	743,177.89	-17.11%	-17.11%
	Units of Infrastructure Investment Trust	OIIT	-	-	-	-	-	-	-	-	-	-	-	-
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - (Private Banks)	OAPB	-	-	-	-	-	-	-	-	-	-	-	-
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - (PSU Banks)	OAPS	-	-	-	-	-	-	-	-	-	-	-	-
	<b>TOTAL</b>		<b>254,958,703.21</b>	<b>11,142,321.75</b>	<b>4.37%</b>	<b>4.37%</b>	<b>246,446,418.14</b>	<b>32,376,273.50</b>	<b>13.14%</b>	<b>13.14%</b>	<b>250,694,197.23</b>	<b>7,492,540.58</b>	<b>2.99%</b>	<b>2.99%</b>

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Chief Finance Officer

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1. Based on daily simple Average of Investments

2. Yield netted for Tax

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