

FORM L-26-INVESTMENT ASSETS(LIFE INSURERS)-3A
(Read with Regulation 10)
Name of the Insurer: Aditya Birla Sun Life Insurance Company Limited
Registration Number: 109
Statement as on: September 30, 2020
Statement of Investment Assets (Life Insurers)
(Business within India)
Periodicity of Submission: Quarterly

PART - A

Rs. Thousands

Section I		Sch	Amount
No	Particulars		
1	Investments (Shareholders)	8	22,928,608.55
	Investments (Policyholders)	8A	188,604,773.85
	Investments (Linked Liabilities)	8B	257,433,914.25
2	Loans	9	1,864,875.26
3	Fixed Assets	10	932,907.97
4	Current Assets		
a.	Cash & Bank Balance	11	1,971,348.98
b.	Advances & Other Assets	12	13,217,372.11
5	Current Liabilities		
a.	Current Liabilities	13	9,897,443.66
b.	Provisions	14	891,255.24
c.	Misc. Exp not Written Off	15	0.00
d.	Debit Balance of P&L A/c		0.00
Application of Funds as per Balance Sheet (A)			<u>476,165,102.08</u>
Less: Other Assets		SCH	Amount
1	Loans (if any)	9	1,864,875.26
2	Fixed Assets (if any)	10	932,907.97
3	Cash & Bank Balance (if any)	11	1,971,348.98
4	Advances & Other Assets (if any)	12	13,217,372.11
5	Current Liabilities	13	9,897,443.66
6	Provisions	14	891,255.24
7	Misc. Exp not Written Off	15	-
8	Investments held outside India		-
9	Debit Balance of P&L A/c		-
TOTAL (B)			<u>7167805.43</u>
Investment Assets			<u>(A-B)</u> <u>468967296.65</u>

Reconciliation of Investment Assets
Total Investment Assets (as per Balance Sheet)
Balance Sheet Value of:
A. Life Fund
B. Pension & General Annuity and Group Business (includes group non linked AUM of INR 52,084,462 thousands)
C. Unit Linked Funds (includes group linked AUM of INR 70,392,557 thousands)

<u>468,967,296.65</u>
157,352,277.11
54,181,100.00
257,433,919.54
<u>468,967,296.65</u>

Section II

NON - LINKED BUSINESS

A. LIFE FUND		% as per Reg	SH		PH			Book Value (SH+PH)	Actual % (g) = [(f) - (a)]%	FVC Amount (h)	Total Fund (i)=(f+h)	Market Value (j)
			Balance	FRSM*	UL-Non Unit Res	PAR	NON PAR#					
			(a)	(b)	(c)	(d)	(e)	(f) = [a+b+c+d+e]				
1	Central Govt. Sec	Not Less than 25%		4,619,310.95	21,868,462.55	16,692,690.34	28,974,908.10	72,155,371.95	46.13%		72,155,371.95	78,876,177.38
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)	Not Less than 50%		6,813,716.03	23,640,058.80	19,892,740.46	31,322,207.93	81,668,723.22	52.21%		81,668,723.22	88,929,230.99
3	Investment subject to Exposure Norms											
a.	Infrastructure/ Social/ Housing Sector											
	1. Approved Investments	Not Less than 15%		8,192,571.92	10,400,307.62	10,479,641.74	13,780,024.86	42,852,546.14	27.40%	-250,100.40	42,602,445.75	46,442,957.80
	2. Other Investments			259,548.01	281,126.45	287,575.94	372,482.20	1,200,732.60	0.77%	24,477.65	1,225,210.25	1,253,383.91
b.	i) Approved Investments	Not exceeding 35%		5,364,624.27	6,005,170.57	6,991,943.40	7,956,630.01	26,318,368.26	16.83%	928,537.69	27,246,905.95	29,266,050.75
	ii) Other Investments			2,191,178.12	624,422.21	727,879.24	827,336.44	4,370,816.01	2.79%	239,018.61	4,609,834.62	4,720,867.53
TOTAL LIFE FUND		100%		22,821,638.34	40,951,085.65	38,379,780.78	54,258,681.45	156,411,186.23	100.00%	941,933.55	157,353,150.00	170,612,490.98

B. PENSION & GENERAL ANNUITY AND GROUP BUSINESS		% as per Reg	PH		Book Value	Actual %	FVC Amount	Total Fund	Market Value
			PAR	NON PAR#					
			(a)	(b)	(c)= (a+b)	(d)	(e)	(f)=(c+e)	(g)
1	Central Govt. Sec	Not Less than 20%		17,948,275.09	17,948,275.09	32.98%		17,948,275.09	19,572,541.47
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i)	Not Less than 40%		23,225,839.49	23,225,839.49	42.88%		23,225,839.49	25,131,005.97
3	Balance in Approved investment	Not Exceeding 60%		31,198,258.06	31,198,258.06	57.32%	-242,963.92	30,955,294.15	33,233,597.21
TOTAL PENSION, GENERAL ANNUITY FUND		100%		54,424,097.56	54,424,097.56	100.00%	242,963.92	54,181,101.00	58,364,603.18

LINKED BUSINESS

C. LINKED FUNDS		% as per Reg	PH		Total Fund	Actual %
			PAR	NON PAR#		
			(a)	(b)	(c)= (a+b)	(d)
1	Approved Investments	Not Less than 75%		245,982,223.25	245,982,223.25	95.57%
2	Other Investments	Not More than 25%		11,411,955.89	11,411,955.89	4.43%
TOTAL LINKED INSURANCE FUND		100%		257,394,179.14	257,394,179.14	100.00%

Including Group business of INR 52,084,462 thousands under non linked business and 70,392,557 thousands under linked business respectively.

CERTIFICATION:

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: Oct 15, 2020

- Note:**
- (+) FRSM refers to 'Funds representing Solvency Margin'
 - Funds beyond Solvency Margin shall have a separate Custody Account.
 - Other Investments' are as permitted under Section 27A(2) of Insurance Act, 1938
 - Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
 - Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account

Note: In Unit Linked Funds Investment as per Form 3A and total Investment as per Balance sheet varies due to last day collection mentioned below

Amt in Thousands	
Portfolio Value	257,394,179.14
Last Day Collection	39,740.40
	257,433,919.54
Balance as per 8 B	257,433,919.54
Difference	0.0

Note: In Non-Linked Fund Investment as per Form 3A and total Investment as per Balance sheet varies due to provision on loans as mentioned below

Amt in Thousands	
Portfolio Value (Life+Pen)	211,534,253.42
Provision for Loans	(871.55)
	211,533,381.89
Balance as per 8 & 8A	211,533,381.89
Difference	0.0

Signature: _____
Full name: Amit Jain
Chief Finance Officer