



**ADITYA BIRLA  
CAPITAL**

PROTECTING INVESTING FINANCING ADVISING

24<sup>th</sup> October, 2017

**BSE Limited**

Phiroze Jeejeebhoy Towers,

Dalai Street,

Mumbai- 400001

Scrip Code: 540691

**National Stock Exchange of India Limited**

Exchange plaza, Plot no. C/1, G-Block,

Bandra Kurla Complex, Bandra (East)

Mumbai- 400051

Scrip Code: ABCAPITAL

Dear Sirs,

**Sub: Compliance Certificate pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30<sup>th</sup> September, 2017**

Pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the certificate obtained from M/s. Dilip Bharadiya & Associates, Practicing Company Secretaries for the half year ended 30<sup>th</sup> September, 2017 is attached.

This is for your information and record, please.

Thanking you,

Yours sincerely,

For Aditya Birla Capital Limited,  
(Formerly known as Aditya Birla Financial Services Limited)

*Sailesh Kumar Daga*  
Sailesh Daga  
Company Secretary



Encl: a/a

Aditya Birla Capital Ltd.

(Formerly known as Aditya Birla Financial Services Ltd.)

One Indiabulls Centre, Tower 1, 18th Floor, Jupiter Mill Compound,

841, Senapati Bapat Marg, Elphinstone Road, Mumbai 400 013

+91 22 4356 7000 | abc.secretarial@adityabirla.com | www.adityabirlacapital.com

Registered Office:

Indian Rayon Compound, Veraval - 362 266. Gujarat.

+91 28762 45711

CIN: U67120GJ2007PLC058890



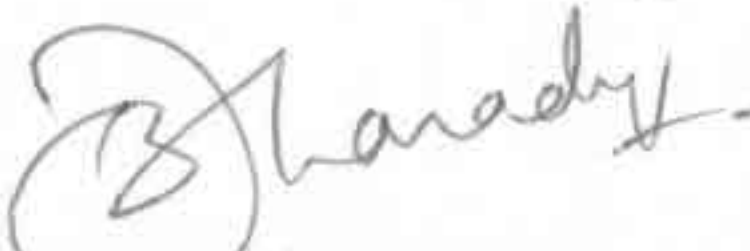
**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE  
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2017**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **ADITYA BIRLA CAPITAL LIMITED** (Formerly known as **Aditya Birla Financial Services Limited**) maintained by **KARVY COMPUTERSHARE PRIVATE LIMITED** (The Registrar and Transfer Agent) pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> September, 2017** (Date of listing) to **30<sup>th</sup> September, 2017** for the purpose of issuing Certificate as per Regulation 40(9) of the Listing Obligations and Disclosure Requirement, Regulations 2015 entered into by **ADITYA BIRLA CAPITAL LIMITED** with the Stock Exchanges (i.e. The BSE Limited and National Stock Exchange of India Limited) and based on the information provided by the Company, I hereby certify that:

- A) The Company has delivered Share Certificates relating to the transfer of Shares received during the period from **1<sup>st</sup> September, 2017** (Date of listing) to **30<sup>th</sup> September 2017** as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, deletion, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) The Company has delivered Share Certificates relating to the requests received for transmission/issue of Duplicate share Certificate during the period from **1<sup>st</sup> September, 2017** (Date of listing) to **30<sup>th</sup> September 2017** as entered in the Memorandum of Transmissions have been issued within 21 days from date of lodgement of each deed except those rejected on technical grounds.

For **DILIP BHARADIYA & ASSOCIATES**  
Company Secretaries

  
**DILIP BHARADIYA**  
Proprietor  
FCS No. 7956, C.P. No. 6740



Date: October 23, 2017  
Place: Mumbai